

SPRECKELS UNION SCHOOL DISTRICT

Spreckels Elementary School 4th and Hatton, Spreckels, California

| IDENTIFICATION STAMP DIV. OF THE STATE ARCHITECT | | | | |
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| APP: 01-119869 INC: | | | | |
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| DATE: | 01/12/2022 | | | |
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PROJECT MANUAL

Date: 18 November 2021

DSA #01-119869

Spreckels Elementary School New Toilet Building

SPRECKELS ELEMENTARY SCHOOL

4th and Hatton, Spreckels, California New Toilet Building



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PART I - GENERAL

1.1 STARTING AND COMPLETION DATES

- A. Start Date: Contractor shall commence the work on the date indicated in the Owner-Contractor Agreement.
- B. Completion Date: Contractor shall complete the work within the number of days listed in the Instruction to Bidders.

1.2 DOCUMENT DISCREPANCIES - PRIORITIES

- A. In the event of conflicts or discrepancies among the Contract Documents, interpretations will be based on the following priorities.
 - 1. The Agreement.
 - 2. Addenda, with those of later date having precedence over those of earlier date.
 - 3. The Special Conditions.
 - 4. The General Conditions.
 - 5. Codes and Standards per section 1.10 below.
 - 6. Drawings and Specifications.
- B. In the case of an inconsistency between Drawings and Specifications or within either Document not clarified by addendum, the better quality or greater quantity of work shall be provided in accordance with the Architect's interpretation.

1.3 LIQUIDATED DAMAGES AND PENALTIES

- A. The Contractor shall forfeit the sum, per calendar day the dollar amount and terms indicated in the Instruction to Bidders for each day that completion is delayed beyond the time of completion specified; or as extended in accordance with the Specifications shall be withheld from progress payments and documented in a deductive change order.
- B. Interim Liquidated Damages: In addition to any penalties described above the contractor shall forfeit the sum, listed below, for each day that the specified task is delayed beyond the time of completion specified. Payment of all Interim Liquidated Damages shall be withheld from progress payments and documented in a deductive change order r.
 - 1. Contractor's submission of the specified construction schedules, as listed in the Preliminary Notice to Proceed, per the Instruction to Bidders:
 - a. Completion: No later than **Fifteen (15)** calendar days after the date established in the Notice to Proceed for commencement of the work.
 - b. Penalty: **\$100.00** per submittal calendar day for each day beyond the day listed above.
 - 3. Contractor's submission of specified Submittal Schedule:
 - a. Completion: No later than **Fifteen** (15) calendar days after the date established for commencement of the work.
 - b. Penalty: **\$100.00** per submittal per calendar day for each day beyond the day listed above.
 - 3. Contractor's submission of specified submittals and samples:

- a. Completion: All submittals must be received by the Architect no later than **Thirty (30)** calendar days after the date established for commencement of the work.
- b. Penalty: **\$50.00** per submittal per calendar day for each day beyond the day listed above.
- C. Additional penalties: Refer to the following sections for:
 - 1. Unjustified or Frivolous RFI's: See General Conditions.
 - 2. Non-responsive re-submittals: See section 013300, Submittal Procedures.
 - 3. Payments shall be as stated for liquidated damages

1.4 CONTRACTOR'S SUPERINTENDENT

A. See General Conditions Article -. The Contractor shall employ a competent superintendent, experienced in similar type & scale projects and necessary assistants who shall be in attendance at the Project site during the progress of the work. The Superintendent shall be satisfactory to both the Architect and the Owner's Representative and shall not be changed except with the consent of the Architect and the Owner's Representative. The Superintendent shall represent the Contractor and all communications given by or to the Superintendent shall be binding as if given to the Contractor. Important communications will be confirmed in writing. For the purposes of this section the term Superintendent means the person at the site that is in charge of the construction project and whose working title may be project manager, project engineer, superintendent or some other such title.

In order for the Architect to accept the Contractor's superintendent, the candidate shall provide sufficient documentation, to the Architect's satisfaction, that they can manage this project. This will include how they:

- 1. Have managed other projects of comparable size and complexity
- 2. Proactively manages, coordinates and takes responsibility for all trades and personnel working for the contractor.
- 3. Proactively maintain a time schedule.
- 4. Determine the critical path of the schedule & maintain CPM, look-a-head to other scheduling tools.
- 5. Communicate and forward directives provided by the Architect to construction personnel
- 6. Determine what constitutes a justifiable RFI & ability to prepare recommended solutions and reject non-justifiable or nuisance RFI's.
- 7. Organize and manage the construction site office and keep current hard file copies of all documentation.
- 8. Organize as-built information and keep as-built drawings complete and accurate, graphically compliant & fully up to date
- 9. Understand that the contract documents are fully inclusive of the scope of work and the contractor is responsible for coordinating and conducting all the work, wherever indicated in the construction documents.
- 10. Determine all critical product lead times and ability to plan ahead.
- 11. Fully understand all specification Division 1 requirements and how to conform to same.
- 12. Understands roles & duties of Owner, Architect, IOR, Owner's Consultants, Architect's Consultants, etc.
- 13. Understand responsibility to coordinate & schedule timely inspections, mock-ups, field certifications, pre-installation meetings & other requirements.

If, in the Architect's opinion, the superintendent fails to provide the specified level of competency the Architect will inform the Contractor, in writing, that they must replace the superintendent. The Architect's decision in matters relating to this will be final if consistent with the intent of the Contract Documents

B. The Contractor's superintendent is required to be on site anytime work occurs, whether it is by the Contractor's own forces or those of their subcontractors. If Contractor fails to have such superintendent

on the site at any time during the progress of the work, a penalty of **Six Hundred Dollars** (**\$600.00**) per day shall be deducted from the compensation otherwise due to Contractor, for each day on which such failure occurs. Such penalty shall not apply to temporary, short-term absences approved in advance by the Architect.

1.5 COMMUNICATIONS

- A. See General Conditions.
- B. Communications on the site:
 - 1. Between the Contractor and the Owner: Shall be through the Architect unless specifically indicated otherwise.
 - 2. Between Subcontractors and Suppliers and all other parties: Shall be through the General Contractor.
 - 3. Between Consultants to the Architect and all other parties: Shall be through the Architect.
 - 4. The Testing Lab of Record may communicate directly with the Owner, Contractor, IOR and/or Architect. All written communications from the Testing Lab will be sent to all parties.

1.6 ARTISTIC EFFECT

A. See General Conditions. The Architect's decision in matters relating to artistic effect will be final if consistent with the intent of the Contract Documents

1.7 TIME EXTENSIONS

- A. See General Conditions.
- B. A request for a time extension will be considered only if it is occasioned by an event which can be measured and demonstrated by the Critical Path network to have caused a delay in job completion; and only then, in accordance with the provisions of the General Conditions. See Division 1 Section, Construction Progress Documentation, for additional information on the Critical Path of the project. Concurrent delays will not be allowed.
- C. Approved time extensions will include no associated costs unless the contractor is still mobilized and working on the site during the approved extension and after the original contract duration has expired.
- D. Weather: No extension of time will be allowed for delays caused by Contractor's failure or neglect to construct and maintain all weather approaches or to provide specified pumping and drainage. No extension of time will be allowed for "normal" weather (Historic average plus 10%) conditions for the particular time of year.

1.8 CHANGES IN WORK

A. Reasonable Allowance for Overhead and Profit: See General Conditions.

1.9 INSURANCE AND BONDS

A. See General Conditions and the Agreement.

1.10 CODES AND STANDARDS

- A. This project shall conform to applicable requirements prescribed by governmental bodies having jurisdiction and is to be in accordance with:
 - 1. 2019 California Administrative Code (CAC), Part 1, Title 24, CCR.
 - 2. 2019 California Building Code (CBC), Volumes 1 & 2, Part 2, Title 24 CCR.
 - 3. 2019 California Electrical Code (CEC), Part 3, Title 24 CCR
 - 4. 2019 California Mechanical Code (CMC), Part 4, Title 24 CCR
 - 5. 2019 California Plumbing Code (CPC), Part 5, Title 24 CCR
 - 6. 2019 California Energy Code, Part 6, Title 24 CCR
 - 7. 2019 California Fire Code (CFC), Part 9, Title 24 CCR
 - 8. 2019 California Referenced Standards Code, Part 12, Title 24, CCR.
 - 9. 2019 California Green Building Standards Code
- B. Should any part of the design fail to comply with such requirements, the discrepancy shall be called to the attention of the Architect as quickly as possible.
- C. Should there be any direct conflict between the Drawings and/or Specifications and the codes and standards indicated herein or in force, the codes and standards shall take precedence. However, when the indicated materials, workmanship, arrangement or construction is of a superior quality or capacity to that required by the codes and standards, the Drawings and/or Specifications shall take precedence. Rulings and interpretations of enforcing agencies shall be considered as part of the regulations.
- E. Standards
 - 1. Any material specified by reference to the number, symbol, or title of a specific standard such as a Commercial Standard, American National Standard, a Federal Specification, Industry or Government Code, a trade association code or standard, or other similar standard, shall comply with the requirements in the latest revisions thereof and any amendments or supplements thereto in effect on the date of these Specifications, except as limited to type, class or grade, or modified in such reference.

The standard referred to, except as modified in the Specifications, shall have full force and effect as though printed in these Specifications. These standards are not furnished to Bidders since manufacturers and trades involved assumed to be familiar with their requirements. The Architect shall furnish, upon request, information as to how copies of the standards referred may be obtained.

1.11 DEFERRED APPROVAL - Not Used

1.12 PERMITS AND FEES

A. See General Conditions: The Owner shall pay for all permits and fees of governing agencies directly to these agencies.

PART II - PRODUCTS (NOT APPLICABLE)

PART III - EXECUTION (NOT APPLICABLE)

END OF SECTION C

SECTION 011000 - SUMMARY

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Special Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Project information.
 - 2. Work covered by Contract Documents.
 - 3. Phased construction.
 - 4. Work by Owner.
 - 5. Work under separate contracts.
 - 6. Future work.
 - 7. Purchase contracts.
 - 8. Owner-furnished products.
 - 9. Contractor-furnished, Owner-installed products.
 - 10. Access to site.
 - 11. Coordination with occupants.
 - 12. Work restrictions.
 - 13. Specification and Drawing conventions.
- B. Related Requirements:
 - 1. Section 015000 "Temporary Facilities and Controls" for limitations and procedures governing temporary use of Owner's facilities.

1.3 PROJECT INFORMATION

- A. Project Identification: Spreckels Elementary School New Toilet Building
 - 1. Project Location: 4th and Hatton Avenue, Spreckels, CA 93962
- B. Owner: Spreckels Union School District.

Owner's Representative: Veronica Flournoy, Chief Business Official

- C. Architect: Kasavan Architects, 60 West Market Street, Ste. 300, Salinas, Ca 93901
 - 1. Architect's Representative: Barbara Chagnon

1.4 WORK COVERED BY CONTRACT DOCUMENTS

- A. The Work of Project is defined by the Contract Documents and consists of the following:
 - 1. Demolition of existing 12'x40' modular toilet building.
 - 2. Site excavation for New Modular toilet building and site work.
 - 3. Site utilities associated with Modular building.
 - 4. Select utility work on and inside the Modular buildings.
 - 5. Site finishes.

- B. Type of Contract:
 - 1. Project will be constructed under a single prime contract.

1.5 PHASED CONSTRUCTION

- A. The Work shall be conducted in three (3) phases.
 - 1. Phase 1: Before construction start of modular buildings. Work includes:
 - a. Site demolition.
 - b. Preparation of building pads
 - 2. Phase 2: While modular buildings are under construction. Work includes:
 - a. Provide crawl space drain & piping.
 - b. Provide site utility work for building and connect to building.
 - c. Complete all electrical and low voltage work in building.
 - d. Backfill around buildings.
 - 3. Phase 3: After modular buildings are substantially complete. Work includes:
 - a. Complete associated site paving.
- B. See Drawings, sheet A1.1 for sequence of Work.

1.6 WORK BY OWNER

- A. General: Cooperate fully with Owner so work may be carried out smoothly, without interfering with or delaying work under this Contract or work by Owner. Coordinate the Work of this Contract with work performed by Owner.
- 1.7 WORK UNDER SEPARATE CONTRACTS
 - A. Owner has contracted with Silver Creek Industries for the 12'x40' Modular Toilet Building.
 - B. Contractor shall coordinate delivery to the site and shall keep Silver Creek Industries (SCI) informed of the overall schedule including when the site is ready for SCI to start.
- 1.8 FUTURE WORK NOT USED
- 1.9 PURCHASE CONTRACTS NOT USED
- 1.10 OWNER-FURNISHED PRODUCTS NOT USED
- 1.11 CONTRACTOR-FURNISHED, OWNER-INSTALLED PRODUCTS NOT USED
- 1.12 ACCESS TO SITE
 - A. General: Contractor shall have limited use of Project site for construction operations as indicated on Drawings by the Contract limits and as indicated by requirements of this Section.
 - B. Use of Site: Limit use of Project site to areas within the Contract limits indicated. Do not disturb portions of Project site beyond areas in which the Work is indicated.
 - 1. Driveways, Walkways and Entrances: Keep driveways, loading areas, and entrances serving premises clear and available to Owner, Owner's employees, and emergency vehicles at all times. Do not use these areas for parking or for storage of materials.

- a. Schedule deliveries to minimize use of driveways and entrances by construction operations.
- b. Schedule deliveries to minimize space and time requirements for storage of materials and equipment on-site.
- 2. Existing facility will remain occupied during construction.
 - a. Maintain secure/dust-proof/acoustical temporary partitions (min NRC 95%) between occupied spaces & construction activities.
 - b. Maintain all entries & exits in existing building.
 - c. Protect all existing mechanical equipment from dust or other products generated by construction activities.
 - d. Protect all existing utilities serving existing facilities and schedule any cut-overs outside normal hours of Owner operation including night or weekend activities.
- C. Condition of Existing Grounds: Maintain portions of existing grounds, landscaping, irrigation, and hardscaping & off-site improvements affected by construction operations throughout construction period. Repair damage caused by construction operations.

1.13 COORDINATION WITH OCCUPANTS

- A. Full Owner Occupancy: Owner will occupy the premises during entire construction period, with the exception of areas under construction. Cooperate with Owner during construction operations to minimize conflicts and facilitate Owner usage. Perform the Work so as not to interfere with Owner's operations. Maintain existing exits unless otherwise indicated.
 - 1. Maintain access to existing walkways, corridors, and other adjacent occupied or used facilities. Do not close or obstruct walkways, corridors, or other occupied or used facilities without written permission from Owner and authorities having jurisdiction.
 - 2. Notify Owner not less than 72 hours in advance of activities that will affect Owner's operations.

1.14 WORK RESTRICTIONS

- A. Work Restrictions, General: Comply with restrictions on construction operations.
 - 1. Comply with limitations on use of public streets and with other requirements of authorities having jurisdiction.
- B. On-Site Work Hours: Limit work in the existing building to normal business working hours of 7:00 a.m. to 4:00 p.m., Monday through Friday, unless otherwise indicated & approved by Owner in writing a minimum of 96 hours in advance.
 - 1. Weekend Hours: 8:00 a.m. to 6:00 p.m.
 - 2. Early Morning Hours: As approved by Owner a minimum of Seven (7) calendar days in advance of activity.
 - 3. Hours for Utility Shutdowns: As approved by Owner a minimum of Seven (7) calendar days in advance of activity.
 - 4. Hours for abnormally noisy or disruptive work: As approved by Owner a minimum of Seven (7) calendar days in advance of activity.
- C. Existing Utility Interruptions: Do not interrupt utilities serving facilities occupied by Owner or others unless permitted under the following conditions and then only after providing temporary utility services according to requirements indicated:
 - 1. Notify Architect and Owner not less than one (1) week in advance of proposed utility interruptions.
 - 2. Obtain Owner's written permission before proceeding with utility interruptions.

- 3. Schedule utility outages and shutdowns to nights, weekends, school holidays or times and dates acceptable to and approved by the Owner's Representative. Major outages shall occur during semester breaks or at other periods as approved by the Owner.
- D. Noise, Vibration, and Odors: Coordinate operations that may result in high levels of noise and vibration, odors, or other disruption to Owner occupancy with Owner.
 - 1. Notify Architect and Owner not less than one (1) week in advance of proposed disruptive operations.
 - 2. Obtain Owner's written permission before proceeding with disruptive operations.
- E. Restricted Equipment: Use of radios, speakers, similar equipment on project site is not permitted.
- F. Restricted Substances: Use of tobacco, alcohol, cannabis products and other controlled substances on Project site is not permitted.
- G. Employee Identification: Provide identification tags for Contractor personnel working on Project site. Require personnel to use identification tags at all times.
- H. Employee Screening: Comply with Owner's requirements for background screening of Contractor personnel working on Project site.
 - 1. Maintain list of approved screened personnel with Owner's representative.

1.15 SPECIFICATION AND DRAWING CONVENTIONS

- A. Specification Content: The Specifications use certain conventions for the style of language and the intended meaning of certain terms, words, and phrases when used in particular situations. These conventions are as follows:
 - 1. Imperative mood and streamlined language are generally used in the Specifications. The words "shall," "shall be," or "shall comply with," depending on the context, are implied where a colon (:) is used within a sentence or phrase.
 - 2. Specification requirements are to be performed by Contractor unless specifically stated otherwise.
- B. Division 01 General Requirements: Requirements of Sections in Division 01 apply to the Work of all Sections in the Specifications and apply to every sub-contractor conducting any of the Work of the contract. It is the General Contractor's responsibility to inform & enforce these requirements with it's sub-contractors. No allowance shall be made for failing to effectively provide this training & enforcement.
- C. Drawing Coordination: Requirements for materials and products identified on Drawings are described in detail in the Specifications. One or more of the following are used on Drawings to identify materials and products:
 - 1. Terminology: Materials and products are identified by the typical generic terms used in the individual Specifications Sections or on the Drawings.
 - 2. Abbreviations: Materials and products are identified by abbreviations scheduled on Drawings or per industry standards.
 - 3. Keynoting: Materials and products are identified by reference keynotes referencing Specification Section numbers found in this Project Manual.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 011000

SECTION 012500 - SUBSTITUTION PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Special Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for substitutions.
- B. Related Requirements:
 - 1. Section 016000 "Product Requirements" for requirements for submitting comparable product submittals for products by listed manufacturers.

1.3 DEFINITIONS

- A. Substitutions: Changes in products, materials, equipment, and methods of construction from those required by the Contract Documents and proposed by Contractor.
 - 1. Substitutions for Cause: Changes proposed by Contractor that are required due to changed Project conditions, such as unavailability of product, regulatory changes, or unavailability of required warranty terms.
 - 2. Substitutions for Convenience: Changes proposed by Contractor or Owner that are not required in order to meet other Project requirements but may offer compelling and demonstratable costs and or performances advantage to Contractor or Owner.
 - 3. Substitutions due to bidders disregarding specific product or system without prior approval during the bid period will not be considered.

1.4 ACTION SUBMITTALS

- A. Substitution Requests: Within thirty (30) days of the date of the Preliminary Notice to Proceed, submit three copies of each request for consideration. Identify product or fabrication or installation method to be replaced. Include Specification Section number and title and Drawing numbers and titles.
 - 1. Documentation: Show compliance with requirements for substitutions and the following:
 - a. Statement indicating why specified product or fabrication, or installation cannot be provided, if applicable with substantiating evidence.
 - b. Coordination information, including impact on schedule and costs and a list of changes or revisions needed to other parts of the Work and to construction performed by Owner and separate contractors that will be necessary to accommodate proposed substitution.
 - c. Detailed **side-by-side** written list comparing all significant qualities of proposed substitution with those of the Work specified. Include annotated copy of applicable Specification Section and Drawings. Significant qualities may include but not be limited to, performance, weight, thickness, gauge, tolerances, size and shape, fasteners, construction and assembly details durability, visual effect, finishes, color match, sustainable design characteristics, warranties, manufacturers and service providers location, specific features, qualities, ASTM numbers, test data, costs, warranties and requirements indicated and/or listed herein.
 - b. Conspicuously indicate all differences and deviations from the Work specified with a discussion about their significance and impact. Include discussion of any impact, change or

effect on aesthetics, substrates, sequence of work and/or other systems integrating or in contact with specified product or system and proposed substitution. Provide details graphically matching quality and standards of Contract Documents indicating any such impacts, required changes and/or affects.

- Submit product information for specified product and substitution, identify attributes using same terminologies and applicable test results e.g. ASTM reports.
 Requests for substitution without complete side by side comparison will be returned without consideration and specified product will be
- d. Product Data, including drawings, photographs and descriptions of products and fabrication and installation procedures.
- e. Samples, and/or mark-ups where applicable or requested.
- f. Certificates and qualification data, where applicable or requested.
- g. List of similar installations for completed projects within last three years prior to bid dates with project names and addresses and names and addresses of architects and owners.
- h. Material test reports from a qualified testing agency indicating and interpreting test results for compliance with requirements indicated.
- i. Research reports evidencing compliance with building code in effect for Project, from CBC or ICC-ES.
- j. Detailed impact on Contractor's construction schedule using proposed substitution with products specified for the Work, including effect on the overall Contract Time. If specified product or method of construction cannot be provided within the Contract Time, include letter from manufacturer, on manufacturer's letterhead, stating date of receipt of purchase order, lack of availability, or delays in delivery.
- k. Cost information, including a proposal of change, if any, in the Contract Sum and any proposed additional costs or credit to Owner presented in a line-item take-off. Including labor and material costs.
- 1. Submittal shall include the following signed statement on company letterhead:

| Project: (project name) |
|---|
| Substitution request number: |
| Date: |
| Substitute proposed: (proposed product and/or system) |
| <i>For:(specified product and/or system)</i> |
| Reference Specification Sections: |
| Reference Drawing Sheets and Detail numbers |
| "I <u>(corporate officer)</u> , <u>(title)</u> of <u>(company)</u> of |

"I (corporate officer), (title) of (company) confirm that we have thoroughly reviewed and researched this proposed substitution in its entirety and certify it fully complies with the Contract Documents and that it is in all ways equal to or greater than the specified product in quality, durability, warrantability and serviceability for this project and is fully compatible with the related materials and is appropriate for the applications indicated and furthermore that this submitted request "Complete and conforms with all the substitution procedures."

| <i>By</i> : | | |
|-------------|--|--|
| Name: | | |
| Title: | | |
| Company: | | |
| Date: | | |

- m. Contractor's waiver of rights to additional payment or time that may subsequently become necessary because of failure of proposed substitution to produce indicated results.
- 2. Architect's Action:
 - a. If necessary, Architect will request additional information or documentation for evaluation within one week of receipt of a comparable product request. Architect will notify Contractor

of acceptance or rejection of proposed comparable product request within fifteen (15) days of receipt of request, or seven (7) days of receipt of additional information or documentation, whichever is later.

- 1) Form of Acceptance: Architect's written directive.
- 2) Only one request for substitution or comparable product will be considered for each product. If the proposed substitution or comparable product is not accepted the Contractor will provide the specified product.
- 3) With respect to finishes, visual or aesthetic effect is a significant basis for determining equivalency and may be the single cause for rejection based solely on the Architect's determination.
- 4) The burden of proof for equivalency rests entirely with the Contractor. The opinion of the Architect, as the original specifier, shall be the final determination.
- b. Use product specified if Architect does not issue a decision on use of a comparable product request within time allocated.
- c. Forms of Acceptance: Change Order, Construction Change Directive, or Architect's Supplemental Instructions for minor changes in the Work.
- d. Use product specified if Architect does not issue a decision on use of a proposed substitution within time allocated.
- e. Architect shall consider only one product as substitution for specified product. Architect retains right to reject proposed substitution, to solely aesthetic consideration or without cause. In case of rejection use of specified product is required without alteration to the overall construction period or contract amount.

1.5 QUALITY ASSURANCE

A. Compatibility of Substitutions: Investigate and document compatibility of proposed substitution with related products and materials. Engage a qualified testing agency to perform compatibility tests recommended by manufacturers.

1.6 PROCEDURES

A. Coordination: Include proposal for any required revisions and/or adjustments to affected or adjacent work as necessary to accommodate and/or integrate work of the substitutions. Provide shop drawings as required herein using actual details from contract documents to document such revisions and/or adjustments specific to this project.

1.7 SUBSTITUTIONS

- A. Substitutions for Unforeseen Cause: Submit requests for substitution immediately on discovery of need for change, but not later than fifteen (15) days prior to time required for preparation and review of related submittals.
 - 1. Conditions: Architect will consider Contractor's request for substitution when the following conditions are satisfied. If the following conditions are not satisfied, Architect will return requests without action, except to record noncompliance with these requirements:
 - a. Requested substitution is consistent with the Contract Documents and will produce indicated and intended results.
 - b. Request is timely submitted.
 - c. Requested substitution provides sustainable design characteristics that specified product provided.
 - d. Substitution request is fully substantiated and documented, complete, properly submitted and certified as indicated herein.

- e. Requested substitution will not adversely affect Contractor's construction schedule.
- f. Requested substitution has received necessary approvals of authorities having jurisdiction.
- g. Requested substitution is compatible with other portions of the Work.
- h. Requested substitution has been coordinated with other portions of the Work.
- i. Requested substitution provides specified warranty.
- j. If requested substitution involves more than one contractor, requested substitution has been coordinated with other portions of the Work, is uniform and consistent, is compatible with other products, and is acceptable to all contractors involved.
- k. Required substitution is null. The result of contractors for hire to order or secure specifies product or materials in timely fashion or other delays caused by contractor or its sub-contractors.
- 1. Requested substitution is not submitted to reduce costs to contractor or its sub-contractors.
- m. Substitution for the specified product or system has been previously rejected.
- B. Substitutions for Convenience: Not allowed.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 012500

SECTION 012600 - CONTRACT MODIFICATION PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Special Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for handling and processing Contract modifications.
- B. Related Requirements:
 - 1. Section 012500 "Substitution Procedures" for administrative procedures for handling requests for substitutions made after the Contract award.
 - 2. Section 016000 "Product Requirements' for changes in products and product requirements.

1.3 MINOR CHANGES IN THE WORK

A. Architect will issue Architect's Supplemental Instructions (ASI) authorizing minor changes in the Work, not involving adjustment to the Contract Sum or the Contract Time, on Architect's standard form.

1.4 PROPOSAL REQUESTS

- A. Owner-Initiated Proposal Requests (OPR): Architect will issue a detailed description of proposed changes in the Work that may require adjustment to the Contract Sum or the Contract Time. If necessary, the description will include supplemental or revised Drawings and Specifications.
 - 1. Owner-Initiated Proposal Requests (OPR) issued by Architect are not instructions either to stop work in progress or to execute the proposed change.
 - 2. Within fourteen (14) calendar days after receipt of OPR, submit a quotation estimating cost adjustments to the Contract Sum and the Contract Time necessary to execute the change.
 - a. Include a detailed list of quantities of products required or eliminated and unit costs and extensions, with total amount of purchases and credits to be made. Costs overhead (otherwise included in contract), for small tools (less than or equal to \$1000.00) work vehicles and equipment normally on-site will not be allowed. If requested, furnish survey data to substantiate quantities including subcontractor and supplier proposals and invoices.
 - b. Indicate applicable taxes, delivery charges, unusual or additional specific to request, equipment delivery and rental, and amounts of trade discounts.
 - c. Include hourly costs of labor and additional supervision directly attributable to the change. Time normally associated with overhead will not be allowed.
 - d. Include an updated Contractor's construction schedule that indicates the effect of the change, including, but not limited to, changes in activity duration, start and finish times, and activity relationship. Identify and use available total float before requesting an extension of the Contract Time.

- B. Contractor-Initiated Proposals (CIP) and Value Engineering Change Proposals (VECP): If latent or changed conditions require modifications to the Contract, or Contractor identifies possible cost saving, value engineering or methods to expedite construction progress, Contractor may initiate a change or claim by submitting a request for a change to Architect. Do not proceed with work associated with this change until authorized in writing by the Architect. Contractor-Initiated Proposals and Value Engineering Change Proposals are not RFI's and such shall constitute of frivolous RFI
 - 1. Include a statement outlining reasons for the change and the effect of the change on the Work. Provide a complete description of the proposed change. Indicate the effect of the proposed change on the Contract Sum and the Contract Time. Provide references to applicable specification sections and drawings.
 - 2. Include a list of quantities of products required or eliminated and unit costs, with total amount of purchases and credits to be made. Costs for small tools, work vehicles and equipment normally onsite will not be allowed. If requested, furnish survey data to substantiate e.g. supplier proposed costs and invoices list quantities and unit prices for each product.
 - 3. Indicate applicable taxes, delivery charges, equipment rental, and amounts of trade discounts.
 - 4. Include hourly costs of labor and supervision directly attributable to the change. Time for normal breaks will not be allowed.
 - 5. Include an updated Contractor's construction schedule that indicates the effect of the change, including, but not limited to, changes in activity duration, start and finish times, and activity relationship. Identify and use available total float before requesting an extension of the Contract Time.
 - 6. Comply with requirements in Section 012500 "Substitution Procedures" if the proposed change requires substitution of one product or system for product or system specified.

1.5 CHANGE ORDER PROCEDURES

- A. On Owner's approval of a Work Changes Proposal Request, Formal Change Orders may accumulate multiple items and be issued periodically based upon quantity and value of change order items. Architect will prepare and issue Contract Change Orders for signatures of Owner, Contractor, Architect and (as needed) Consulting Engineers and Division of the State Architect (DSA) approval on Architect's standard form.
- B. Based upon prior authorization Architect will prepare a Formal Change Order issued for signature, in order, as follows:
 - 1. Contractor's signature, agreement to terms and conditions as identified within the formal document.
 - 2. Owner's signature, agreement to the terms and conditions identified within the formal document.
 - 3. Architect and (as needed) Consulting Engineer's signature, agreement to the design and or product changes identified with the formal document.
- C. Owner's authorization to proceed indicates Owner's agreement with the contract change.

1.6 CONSTRUCTION CHANGE DIRECTIVE

- A. Definitions:
 - 1. Construction Change Document (CCD). A Construction Change Document is a DSA term that is utilized to address changes to the DSA approved Plans and Specifications. There are two types of Construction Change Documents.
 - a. DSA approved CCD Category A (DSA Form 140) for Work affecting structural, access compliance or fire/life safety of the Project which will require a DSA approval; and,

- b. CCD category B (DSA form 141) for work NOT affecting structural safety, access compliance or fire/life safety that will NOT require a DSA approval (except to confirm that no approval is required).
- 2. Immediate Change Directive (ICD). An Immediate Change Directive is a written order to the Contractor prepared by the Architect and signed by the District and the Architect, directing a change in the Work and stating a proposed basis for adjustment, if any, in the Contract or Contract Time, or both. The District may by ICD, without invalidating the Contract, unilaterally direct immediate changes in the Work within the general scope of the Contract consisting of additions, deletions, or other revisions within. If applicable, the Contract Sum and Contract Time will be adjusted accordingly.

In the case of an Immediate Change Directive being issued, Contractor must commence Work immediately or delays from failure to perform the ICD shall be the responsibility of the Contractor and the failure to move forward with Work immediately shall also be grounds for Termination.

- 3. And ICD does not automatically trigger a Dispute or Claim. Contractor must timely follow the procedures outlined in the General Conditions, where applicable.
- B. How Used to Direct Change:
 - 1. An ICD shall be used to move work forward immediately and to avoid delay. In some cases, an ICD shall be issued in the absence of agreement on the terms of the CO, COR, or RFP. The anticipated not to exceed price for the Work will be inserted into the ICD. In the case of a an ICD issued to correct Contractor Deficiencies or correct a Contractor caused Notice of Non-Compliance, the ICD may be issued with zero dollars (\$0) and no additional time. Contractor may prepare a COR associated with the ICD pursuant to the Contract Documents. However, Contractor shall proceed with all Work required under a Approved ICD immediately upon issuance. Failure to proceed with the Work under an ICD shall be grounds for Termination for Cause or takeover of the Work under the General Conditions.

If adequate time exists, an ICD may be subject of an owner-initiated proposal request (OPR) for pricing and determination if any additional time may be required. However, if an owner OPR is not completed, Contractor shall immediately commence work when an ICD is issued. If the RFP is incomplete, it may still be completed to be submitted for pricing purposes as long as the RFP is submitted within the timeline provided or within 10 days following issuance of the ICD.

- C. ICD Issued Over a Notice of Non-Compliance or to Cover Work Subject to a DSA 152 Sign Off:
 - 1. In some cases, and ICD shall be for purposes of proceeding with Work to keep the Project on schedule and as an acknowledgment by the Owner that the Contractor is proceeding with Work contrary to a Notice of Non-Compliance, prior to issuance of a DSA approved CCD Category A, or to direct the covering of Work which has not yet received a DSA 152 Inspection Approval to move forward.
 - 2. Contractor compliance with all aspects of an ICD. Contractor is to undertake the ICD and comply with all aspects of the Work outlined in ICD. Inspector is to inspect the Work pursuant to the ICD. Failure to follow the ICD may result in deduction of the ICD work or Termination of the Contractor pursuant to the General Conditions.
 - 3. Exception in the Case of DSA Issued Work Order. Contractor must proceed with an ICD even If a CCD has not been approved by DSA except in the case of a DSA issued Stop Work Order. If a DSA Stop Work Order is issued, Contractor must stop work and wait for the direction from the Owner.
 - 4. ICD Due to Contractor Deficiency or Contractor Cause Notice of Non-Compliance. If an ICD is issued to correct a Contractor Deficiency or a Contractor caused notice of Non-Compliance, Contractor specifically acknowledges responsibility for all consequential damages associated with

the Contractor Deficiencies or Contractor caused Notice of Non-Compliance and all consequential damages and costs incurred to correct the deficiencies under the General Conditions

- D. Construction Change Directive: Architect may issue a Construction Change Directive on Architect's standard form. Construction Change Directive instructs Contractor to proceed with a change in the Work, for subsequent inclusion in a Change Order, if warranted.
 - 1. Construction Change Directive contains a complete description of change in the Work. It also designates method to be followed to determine change in the Contract Sum or the Contract Time.
 - 2. For work done on a "time and material" (T&M) basis: T&M directives will presume that labor will be billed at standard hourly rates and material delivery will use standard shipping rates. If the Contractor anticipates the change will require additional cost for overtime labor or additional cost for expedited delivery of materials, they must secure the approval of the Owner and Architect before proceeding.
- E. Documentation: Maintain detailed records, with Project Inspector's verification of each, Time and Material, while proceeding work on a time and material basis as required by the Construction Change Directive. Include supplier invoices and certified records of expended labor.
 - 1. After completion of change, submit an itemized account and supporting data necessary to substantiate cost and time adjustments to the Contract.
 - 2. Submit the itemized cost and time proposal with **fourteen** (14) calendar days of completing the work.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 012600

SECTION 012900 - PAYMENT PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Special Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements necessary to prepare and process Applications for Payment.
- B. Related Requirements:
 - 1. Section 012600 "Contract Modification Procedures" for administrative procedures for handling changes to the Contract.
 - 2. Section 013200 "Construction Progress Documentation" for administrative requirements governing the preparation and submittal of the Contractor's construction schedule.

1.3 DEFINITIONS

A. Schedule of Values: A statement furnished by Contractor allocating portions of the Contract Sum to various portions of the Work and used as the basis for reviewing Contractor's Applications for Payment.

1.4 SCHEDULE OF VALUES

- A. Coordination: Coordinate preparation of the schedule of values with preparation of Contractor's construction schedule.
 - 1. Coordinate line items in the schedule of values with other required administrative forms and schedules, including the following:
 - a. Application for Payment forms with continuation sheets.
 - b. Submittal schedule.
 - c. Items required to be indicated as separate activities in Contractor's construction schedule.
 - 2. Submit the schedule of values to Architect at earliest possible date, but no later than 30 days following award of contract and no less than seven (7) days before the date scheduled for submittal of initial Applications for Payment.
 - 3. Sub-schedules for Separate Elements of Work: Where the Contractor's construction schedule defines separate elements of the Work; provide sub-schedules showing values coordinated with each element.
- B. Format and Content: Use Project Manual table of contents as a guide to establish line items for the schedule of values. Provide at least one line item for each Specification Section and two line items for work involving labor, separate labor cost from material costs.
 - 1. Identification: Include the following Project identification on the schedule of values:
 - a. Project name and location.
 - b. Name of Architect.
 - c. Architect's project number.
 - d. Contractor's name and address.
 - e. Date of submittal.

- 2. Arrange the schedule of values in tabular form with separate columns to indicate the following for each item listed:
 - a. Related Specification Section or Division.
 - b. Description of the Work.
 - c. Name of subcontractor.
 - d. Name of manufacturer or fabricator.
 - e. Name of supplier.
 - f. Change Orders (numbers) that affect value.
 - g. Dollar value of each of the following, as a percentage of the Contract Sum to nearest onehundredth percent, adjusted to total 100 percent.
 - 1) Labor.
 - 2) Materials.
 - 3) Equipment.
- 3. Provide a breakdown of the Contract Sum in enough detail to facilitate continued evaluation of Applications for Payment and progress reports. Coordinate with Project Manual table of contents. Provide multiple line items for principal subcontract amounts in excess of five percent of the Contract Sum.
- 4. Round amounts to nearest whole dollar; total shall equal the Contract Sum.
- 5. Provide a separate line item in the schedule of values for each part of the Work where Applications for Payment may include materials or equipment purchased or fabricated and stored, but not yet installed.
 - a. Differentiate between items stored on-site and items stored off-site. If required, include evidence of insurance.
 - b. Differentiate product cost and labor cost, e.g. framing materials and framing labor, equipment rental costs and equipment operator cost.
- 6. Provide separate line items in the schedule of values for initial cost of materials, for each subsequent stage of completion, and for total installed value of that part of the Work.
- 7. Allowances: Provide a separate line item in the schedule of values for each allowance. Show lineitem value of unit-cost allowances, as a product of the unit cost, multiplied by measured quantity. Use information indicated in the Contract Documents to determine quantities.
- 8. Purchase Contracts: Provide a separate line item in the schedule of values for each purchase contract. Show line-item value of purchase contract. Indicate owner payments or deposits, if any, and balance to be paid by Contractor.
- 9. Each item in the schedule of values and Applications for Payment shall be complete. Include total cost and proportionate share of general overhead and profit for each item.
 - a. Temporary facilities and other major cost items that are not direct cost of actual work-inplace may be shown either as separate line items in the schedule of values or distributed as general overhead expense, at Contractor's option.
- 10. Schedule Updating: Update and resubmit the schedule of values before the next Applications for Payment when Change Orders or Construction Change Directives result in a change in the Contract Sum. Include current schedule of values with each application for payment.

1.5 APPLICATIONS FOR PAYMENT

- A. Each Application for Payment following the initial Application for Payment shall be consistent with previous applications and payments as certified by Architect and paid for by Owner.
 - 1. Initial Application for Payment, Application for Payment at time of Substantial Completion, and final Application for Payment involve additional requirements.

- B. Payment Application Times: Submit Application for Payment to Architect by the date established at the pre-construction conference. The period covered by each Application for Payment is one month, ending on the last day of the month
 - 1. Review draft of application for payment with the Project Inspector and obtain project inspector's approval of application prior to submitting the application for architect's certification.
 - 2. Review status of submittals, schedules, corrective measures and Project Record Drawings (paper and electronic versions) with the Project Inspector before each application for payment. Owner may with-hold portions of payments for failures to keep these items current.
 - 3. Review draft Application for Payment with Project Inspector seven (7) days prior to due date for review by Architect.
- C. Application for Payment Forms: Use AIA Document G702 and AIA Document G703 as form for Applications for Payment.
- D. Application Preparation: Complete every entry on form. Notarize and execute by a person authorized to sign legal documents on behalf of Contractor. Architect will return incomplete applications without action
 - 1. Entries shall match data on the schedule of values and Contractor's construction schedule. Use updated schedules if revisions were made.
 - 2. Include percentage amounts for work completed following previous Application for Payment, whether or not payment has been received. Include only amounts for work completed at time of Application for Payment.
 - 3. Include amounts of Change Orders and Construction Change Directives issued before last day of construction period covered by application. Identify separate line item for each Change Order.
 - 4. Indicate separate amounts for work being carried out under Owner-requested project acceleration.
- E. Stored Materials: Include in Application for Payment amounts applied for materials or equipment purchased or fabricated and stored, but not yet installed. Differentiate between items stored on-site and items stored off-site.
 - 1. Provide certificate of insurance, evidence of transfer of title to Owner, and consent of surety to payment, for stored materials.
 - 2. Provide supporting documentation that verifies amount requested, such as paid invoices, photographs of materials stored documenting shipping labels and substantiating amounts and reports of on-site visual inspections by Project Inspector. Match amount requested with amounts indicated on documentation; do not include overhead and profit on stored materials.
 - 3. Provide summary documentation for stored materials indicating the following:
 - a. Value of materials previously stored and remaining stored as of date of previous Applications for Payment.
 - b. Value of previously stored materials put in place after date of previous Application for Payment and on or before date of current Application for Payment.
 - c. Value of materials stored since date of previous Application for Payment and remaining stored as of date of current Application for Payment.
 - d. Environmental controls in spaces where stored.
- F. Transmittal: Submit **three (3)** signed original copies of each Application for Payment to Architect by a method ensuring receipt. Include same number of waivers of lien and similar attachments if required.
 - 1. Transmit each copy with a transmittal form listing attachments and recording appropriate information about application.
- G. Waivers of Mechanic's Lien: With each Application for Payment, submit waivers of mechanic's lien from entities lawfully entitled to file a mechanic's lien arising out of the Contract and related to the Work covered by the payment.

- 1. Submit partial unconditional waivers on each item for amount requested in previous application, after deduction for retention, on each item from each trade that received payment.
- 2. When an application shows completion of an item, submit conditional final or full waivers.
- 3. Owner reserves the right to designate which entities involved in the Work must submit waivers.
- 4. Waiver Forms: Submit executed waivers of lien on forms acceptable to Owner.
- H. Initial Application for Payment: Administrative actions and submittals that must precede or coincide with submittal of first Application for Payment include the following:
 - 1. List of subcontractors, with address of headquarter office.
 - 2. Schedule of values.
 - 3. Contractor's construction schedules (required with Preliminary Notice to Proceed).
 - 4. Submittal schedule (preliminary for first application if not final).
 - 5. List of Contractor's staff assignments.
 - 6. Certificates of insurance and insurance policies.
 - 7. Performance and payment bonds.
- I. Application for Payment at Substantial Completion: After Architect issues the Certificate of Substantial Completion, submit an Application for Payment showing 100 percent completion for portion of the Work claimed as substantially complete.
 - 1. Include documentation supporting claim that the Work is substantially complete and a statement showing an accounting of changes to the Contract Sum.
 - 2. This application shall reflect Certificate(s) of Substantial Completion issued previously for Owner occupancy of designated portions of the Work.
- J. Final Payment Application: After completing Project closeout requirements, submit final Application for Payment with releases and supporting documentation not previously submitted and accepted, including, but not limited, to the following:
 - 1. Evidence of completion of Project closeout requirements.
 - 2. Insurance certificates for products and completed operations where required and proof that taxes, fees, and similar obligations were paid.
 - 3. Updated final statement, accounting for final changes to the Contract Sum.
 - 4. AIA Document G706, "Contractor's Affidavit of Payment of Debts and Claims."
 - 5. AIA Document G706A, "Contractor's Affidavit of Release of Liens."
 - 6. AIA Document G707, "Consent of Surety to Final Payment."
 - 7. Evidence that claims have been settled.
 - 8. Final liquidated damages settlement statement.
 - 9. All outstanding Unconditional Waivers of Mechanic's Liens.
 - 10. Training Videos and written transcripts
 - 11. Record Drawings.
 - 12. O & M Manuals.
 - 13. Photographic Records.
 - 14. Required Certifications, warranties, reports, test results and all other documentation required in contract documents.
- K. Other Material required by Contract Documents.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 012900

SECTION 013100 - PROJECT MANAGEMENT AND COORDINATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Special Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative provisions for coordinating construction operations on Project including, but not limited to, the following:
 - 1. General coordination procedures.
 - 2. Coordination drawings.
 - 3. Requests for Information (RFIs).
 - 4. Digital project management procedures.
 - 5. Project meetings.
- B. Each contractor shall participate in coordination requirements. Certain areas of responsibility are assigned to a specific contractor.
- C. Related Requirements including all specification sections but not limited to:
 - 1. Section 012500 "Substitution Procedures" for request to substitute products.
 - 2. Section 012600 "Contract Modification & Changes in Work Procedures"
 - 3. Section 013200 "Construction Progress Documentation" for preparing and submitting Contractor's construction schedule.
 - 4. Section 013300 "Submittal Procedures" for submittal and project schedule requirements
 - 5. Section 013180 "Request for Information (RFI)
 - 6. Section 014000 "Quality Requirements"
 - 7. Section 015000 "Temporary Facilities and Controls"
 - 8. Section 016000 "Product Requirements"
 - 9. Section 017300 "Execution" for procedures for coordinating general installation and fieldengineering services, including establishment of benchmarks and control points.
 - 10. Section 017419 "Construction Waste Management and Disposal"
 - 11. Section 017700 "Closeout Procedures" for coordinating closeout of the Contract.
 - 12. Section 017839 "Project Record Documents"
 - 13. Section 017900 "Demonstration and Training"

1.3 DEFINITIONS

A. RFI: Request for Information from Contractor seeking information, interpretation or clarifications of the Contract Documents. See Section 013180 "Request for Information"

1.4 INFORMATIONAL SUBMITTALS

- A. Contractor and Subcontract List: Prepare a written summary identifying individuals or firms proposed for each portion of the Work, including those who are to furnish products or equipment fabricated to a special design. Include the following information in tabular form:
 - 1. Company name, responsible contact person, address, telephone, cell phone number and email address of person and entity performing contract, subcontract or supplying products.

- B. Key Personnel Names: Within fifteen (15) days of starting construction operations, submit a list of all key personnel assignments, including Project Manager, Superintendent, Project Engineer, Schedule Consultant, Foreman and other personnel in attendance at Project site. Identify individuals and their duties and responsibilities; include resumes documenting all experience in the last five (5) years with project names and construction values, list addresses and cellular telephone numbers and e-mail addresses. Provide names, addresses, and telephone numbers of individuals assigned as alternates in the absence of individuals assigned to Project.
 - 1. Contractor's Key Personnel: contractor shall assign key personal as Project Manager, Project Superintendent, Project Engineer & Schedule Consultant. As required for continuity and in accordance with California Labor Laws, Key Personnel shall remain on project assignment throughout project duration. Substitution may take place with adequate time for substitute to become familiar with the project and maintain project knowledge.
 - 2. Provide to Owner, Architect and Project Inspector list of subcontractors' key personal, post copy of complete list in project meeting room & in temporary field office. Keep list current at all times.
- C. Owner shall have the right to approve all personnel admitted to the site and may with cause demand removal and replacement of any personnel without any change to contract price or schedule. Such right shall not be exercised without cause.

1.5 GENERAL COORDINATION PROCEDURES

- A. Coordination: Coordinate construction operations included in different Sections of the Specifications to ensure efficient and orderly installation of each part of the Work. Coordinate construction operations included in different Sections that depend on each other for proper installation, connection, and operation.
 - 1. Schedule construction operations in sequence required to obtain the best results where installation of one part of the Work depends on installation of other components, before or after its own installation.
 - 2. Coordinate and monitor submittals, ordering & delivery dates with construction schedule.
 - 3. Coordinate installation of different components to ensure maximum performance and accessibility for required maintenance, service, and repair.
 - 4. Make adequate provisions to accommodate items scheduled for later installation.
- B. Prepare memoranda for distribution to each party involved, outlining special procedures required for coordination. Include such items as required notices, reports, and list of attendees at meetings.
 - 1. Prepare similar memoranda for Owner and separate contractors if coordination of their Work is required.
- C. Administrative Procedures: Coordinate scheduling and timing of required administrative procedures with other construction activities to avoid conflicts and to ensure orderly progress of the Work. Such administrative activities include, but are not limited to, the following:
 - 1. Preparation of Contractor's construction schedule.
 - 2. Preparation of the schedule of values.
 - 3. Installation and removal of temporary facilities and controls.
 - 4. Delivery and processing of submittals, including submitting & updating scheduling of deliveries, review times and ordering dates.
 - 5. Progress meetings.
 - 6. Preinstallation conferences and minutes.
 - 7. Project closeout activities.
 - 8. Startup, commissioning and adjustment of systems.

1.6 COORDINATION DRAWINGS

- A. Coordination Drawings, General: Prepare coordination drawings according to requirements in individual Sections or as required to coordinate work of various subcontractor, and additionally where installation is not completely indicated on Shop Drawings, where limited space availability necessitates coordination, or if coordination is required to determine routing or sequencing to facilitate integration of products and materials fabricated or installed by more than one entity.
 - 1. Conduct pre-installation conferences to identify areas and conditions that will require coordination drawings including but not limited to subsurface utility routing, main distribution routing, roof-top routing, etc.
 - 2. Content: Project-specific information, drawn accurately to a scale large enough to indicate and resolve conflicts. Do not base coordination drawings on standard printed data. Include the following information, as applicable:
 - a. Use applicable Drawings as a basis for preparation of coordination drawings. Prepare sections, elevations, dimensions and details as needed to describe relationship and document sequencing of various systems and components.
 - b. Coordinate the addition of trade-specific information to the coordination drawings by multiple subcontractors in a sequence that best provides for coordination of the information and resolution of conflicts between installed components before submitting for review.
 - c. Indicate functional and spatial relationships of components of architectural, structural, civil, mechanical, and electrical systems.
 - d. Indicate space requirements for routine maintenance and for anticipated replacement of components during the life of the installation.
 - e. Show location and size of access doors required for access to concealed dampers, valves, and cleanouts, filters, other devices and/or controls whether indicated on drawings or required by code or manufacturers recommendations.
 - f. Indicate required installation sequences.
 - g. Indicate dimensions shown on Drawings. Specifically note dimensions that appear to be in conflict with submitted equipment and minimum clearance requirements. Provide alternative sketches to Architect indicating proposed resolution of such conflicts. Minor dimension changes and difficult installations will not be considered changes to the Contract.
- B. Coordination Drawing Organization: Organize coordination drawings as follows:
 - 1. Floor Plans and Reflected Ceiling Plans: Show architectural and structural elements, and mechanical, plumbing, fire-protection, fire-alarm, and electrical Work. Show locations of visible ceiling-mounted devices relative to acoustical ceiling grid. Supplement plan drawings with section drawings where required to adequately represent the Work.
 - 2. Plenum Space: Indicate sub-framing for support of ceiling and wall systems, mechanical and electrical equipment, and related Work. Locate components within plenums to accommodate layout of light fixtures and other components indicated on Drawings. Indicate areas of conflict between light fixtures and other components.
 - 3. Mechanical Rooms: Provide coordination drawings for mechanical rooms showing plans and elevations of mechanical, plumbing, fire-protection, fire-alarm, and electrical equipment.
 - 4. Structural Penetrations: Indicate penetrations and openings required for all disciplines.
 - 5. Slab Edge and Embedded Items: Indicate slab edge locations and sizes and locations of embedded items for metal fabrications, sleeves, anchor bolts, bearing plates, angles, door floor closers, slab depressions for floor finishes, curbs and housekeeping pads, and similar items.
 - 6. Mechanical and Plumbing Work: Show the following:
 - a. Sizes and bottom elevations of ductwork, piping, and conduit runs, including insulation, bracing, flanges, and support systems.

- c. Fire-rated enclosures around ductwork.
- 7. Electrical Work: Show the following:
 - a. Runs of vertical and horizontal conduit 1-1/4 inches in diameter and larger.
 - b. Light fixture, exit light, emergency battery pack, smoke detector, and other fire-alarm locations.
 - c. Panel board, switch board, switchgear, transformer, busway, generator, electrical distribution equipment and motor-control center locations.
 - d. Location of pull boxes and junction boxes, dimensioned from column center lines.
- 8. Fire-Protection System: Show the following:
 - a. Locations of standpipes, piping mains, branch lines, pipe drops, seismic connections and sprinkler heads.
- 9. Review: Architect will review coordination drawings to confirm that in general the Work is being coordinated, but not for the details of the coordination, which are Contractor's responsibility. If Architect determines that coordination drawings are not being prepared in sufficient scope or detail, or are otherwise deficient, Architect will so inform Contractor, who shall make suitable modifications and resubmit.
- 10. Coordination Drawing Prints: Prepare coordination drawing prints according to requirements in Section 013300 "Submittal Procedures."
- C. Site Utility Drawings: In addition to information listed above, show adequate information to assure proper sequencing and coordination of utility installations including storm drain, water services (domestic, fire and irrigation) electrical, gas, sanitary sewer, etc. to avoid conflicts and/or installation interferences showing at a minimum the following:
 - 1. Sizes, locations, inverts, trench sizes and bottom of trench elevations for all underground utilities and associated work.
 - 2. Trench sections showing all elements to be installed including sizes, clearances and depths.
 - 3. Dimensions locating this work including off-sets from buildings and clearances between and among the various components of each system.
 - 4. Dimensions from all foundations showing installation will clear areas of foundation influence and foundation depth shown on structural drawings OR, if required, locations where footings will be deepened if necessitated by utility locations. If routing of utilities, whether inside or outside the building, requires deepening of footings to accommodate specified burials, slopes, etc. to avoid placing utilities in foundation area of influence, this will be provided by the contractor at no additional cost.
 - 5. Routing of all utilities through foundations to coordinate foundations, equipment and main utility runs.
 - 6. Routing of all utilities within buildings to coordinate walls and ceilings with duct runs, piping, electrical, etc.
 - 7. Routing of all new utilities through existing utility banks, where existing data is known, to coordinate clearances to existing.
- D. Submission requirements:
 - 1. Sheet Size: At least 8-1/2 by 11 inches but no larger than 24 by 36 inches.
 - 2. Number of Copies: Submit two (2) opaque and one (1) electronic copy of each submittal. Architect will return one copy.
 - 3. Timing: Submit coordination drawings as required to avoid interruption in project schedule but, at least two (2) weeks before work associated with the tasks is scheduled. See Section C, Special Conditions, for Interim liquidated damages associated with failure to meet this requirement.
 - 4. Quality: Professionally drawn matching quality and graphic standards shown in Contract Documents.

- 5. Items greater than four (4) inches in any direction shall be shown to scale with double lines.
- E. Review:
 - 1. Architect will review coordination drawings to confirm that the Work is being coordinated, but not for the details of the coordination, which are Contractor's responsibility. If Architect determines that coordination drawings are not being prepared in sufficient scope, quality or detail, or are otherwise deficient, Architect will so inform Contractor, who shall make changes as directed and resubmit.
 - a. Coordination Drawing Prints: Prepare coordination drawing prints according to requirements in Section 013300 "Submittal Procedures.".

1.7 REQUEST FOR INFORMATION (RFI)

- A. General: Immediately on discovery of the need for additional information, clarification, or interpretation of the Contract Documents, Contractor shall prepare and submit an RFI in the form specified.
 - 1. Architect will return without response those RFIs submitted to Architect by other entities controlled by Contractor.
 - 2. Coordinate and submit RFIs in a prompt manner so as to avoid delays in Contractor's work or work of subcontractors.
- B. See Specification Section 013180, "Requests for Information (RFI)":

1.8 DIGITAL PROJECT MANAGEMENT PROCEDURES

- A. Use of Architect's Digital Data Files: A limited number of digital data files of Architect's CAD drawings will be provided by Architect for Contractor's use during construction.
 - 1. Digital data files may be used by Contractor in preparing coordination drawings, Shop Drawings, and Project record Drawings.
 - 2. Architect makes no representations as to the accuracy or completeness of digital data files as they relate to Contract Drawings.
 - 3. Digital Drawing Software Program: Contract Drawings are available in AutoCad 2018.
 - 4. Contractor shall execute a data licensing agreement in the form of Agreement form acceptable to Owner and Architect.
 - a. Subcontractors, and other parties granted access by Contractor to Architect's digital data files shall execute a data licensing agreement in the form of Agreement acceptable to Owner and Architect.
 - 5. The following digital data files will be furnished:
 - a. Architectural Site Plan
 - b. Architectural Floor plans.
 - c. Architectural Reflected ceiling plans.
 - B. PDF Document Preparation: Where PDFs are required to be submitted to Architect, prepare as follows:
 - 1. Assemble complete submittal package into a single indexed file incorporating submittal requirements of a single Specification Section and transmittal form with links enabling navigation to each item.
 - 2. Name file with submittal number or other unique identifier, including revision identifier.
 - 3. Certifications: Where digitally submitted certificates and certifications are required, provide a digital signature with digital certificate on where indicated.

1.9 PROJECT MEETINGS

A. General: Schedule and conduct meetings and conferences at Project site unless otherwise indicated.

- 1. Notify Project Inspector and Architect of scheduled meeting dates and times. Submit a list and schedule of all Coordination meetings including subjects to be discussed
- 2. Attendees: General contractor shall inform subcontractors others involved, and individuals whose presence is required, of date and time of each meeting.
- 3. Agenda: Prepare the meeting agenda in writing. Distribute the agenda to all invited attendees and Project Inspector and Architect.
- 4. Minutes: Entity responsible for conducting meeting will record significant discussions and agreements achieved. Distribute the meeting minutes to everyone concerned, including Project Inspector and Architect, within three (3) days of the meeting.
- C. Preconstruction Conference: Schedule a preconstruction conference before starting construction, at a time convenient to Owner, Project Inspector and Architect, but no later than fifteen (15) days after execution of the Agreement. Include senior representatives of all major subcontractors in this meeting.
 - 1. Architect will conduct the conference to review responsibilities and personnel assignments
 - 2. Attendees: Authorized representatives of Owner, Owner's Commissioning Authority, Project Inspector, Architect, and their consultants; Contractor and its superintendent and Project Engineer; major subcontractors; suppliers; and other concerned parties shall attend the conference. Participants at the conference shall be familiar with Project and authorized to conclude matters relating to the Work.
 - 3. Agenda: Discuss items of significance that could affect progress, including the following:
 - a. Construction schedules. Updates.
 - b. Phasing.
 - c. Critical work sequencing and long-lead items.
 - d. Off-site work schedule, coordination requirements and impact on Project.
 - e. Environmental Mitigation Monitoring Program and EIR
 - f. Designation and submittal of qualifications for Contractors key personnel (Superintendent, Project Engineer, Scheduling Consultant, etc.) their duties and contact information.
 - g. Designation of key off-site construction personnel and their duties and contact information.
 - h. Lines of communications including Organizational Chart with names and company.
 - i. Documents, logs & electronic information management, including on-site files.
 - j. Procedures for processing Change Orders.
 - k. Procedures for RFIs including Certification Form.
 - 1. Procedures for Substitution Requests including signed statement.
 - m. Procedures for Quality Control, testing and inspecting.
 - n. Moisture-Protection Plan (MPP) including procedures for moisture and mold control.
 - o. Additional special inspections.
 - p. Manufacturer's Technical Representative inspection requirements.
 - q. Procedures for processing Applications for Payment.
 - r. Record Drawings, paper and electronic.
 - s. Distribution of the Contract Documents.
 - t. Submittal procedures & schedule.
 - u. Use of the premises.
 - v. Work restrictions.
 - w. Radio, speaker-free work site.
 - x. Smoke, alcohol and controlled substance-free work site.
 - y. Working hours.
 - z. Owner's occupancy requirements.
 - aa. Responsibility for temporary facilities and controls.
 - bb. Procedures for disruptions and shutdowns.
 - cc. Construction waste management and recycling.
 - dd. Parking availability and staging.

- ee. Office, work, and storage areas.
- ff. Equipment deliveries and priorities.
- gg. Mock-ups.
- hh. First aid & safety.
- ii. Security.
- jj. Progress cleaning.
- kk. Pre-Installation conferences.
- ll. Warranty log.
- mm. Environmental controls & monitoring.
- nn. General Commissioning.
- oo. Demonstration & Training.
- pp. Other items to be determined.
- 4. Minutes: Architect will conduct meeting and record and distribute meeting minutes.
- D. Pre-installation Conferences: General Contractor to conduct pre-installation conferences at Project site before each construction activity indicated or that requires coordination with other construction. Conferences shall be scheduled sufficiently in advance of activity to provide time to resolve questions, prepare modifications & submit minutes a minimum of fourteen (14) calendar days in advance of conference. Submit a list & schedule of all such conferences and keep updated log of all pre-installation conferences including dates, participants work discussed. Discuss log at each project meeting.
 - 1. Attendees: Installer and approved representatives of manufacturers and fabricators involved in or affected by the installation and its coordination or integration with other materials and installations that have preceded or will follow, shall attend the meeting. Confirm with Project Inspector and Architect the scheduled meeting dates or any change to the schedule a minimum of five (5) working days in advance.
 - 2. Agenda: Review progress of other construction activities and preparations for the particular activity under consideration, including requirements for the following:
 - a. Contract Documents plans & specifications.
 - b. Options.
 - c. Related RFIs.
 - d. Related Change Orders.
 - e. Purchases.
 - f. Deliveries.
 - g. Submittals.
 - h. Review of mockups.
 - i. Possible conflicts.
 - j. Compatibility requirements.
 - k. Time schedules.
 - 1. Weather and/or environmental limitations.
 - m. Moisture-Protection Plan procedures.
 - n. Manufacturer's written instructions.
 - o. Manufacturer's Technical Representative Inspection Certifications where required
 - p. Warranty requirements.
 - q. Compatibility of materials.
 - r. Acceptability of substrates by manufacturers representative.
 - s. Acceptability of adjacent work affecting installation.
 - t. Temporary facilities and controls.
 - u. Space and access limitations.
 - v. Regulations of authorities having jurisdiction.
 - w. Testing and inspecting requirements.
 - x. Installation procedures.
 - y. Coordination with other work.

- z. Required performance results.
- aa. Protection of adjacent work.
- bb. Protection of construction and personnel.
- cc. Other items to be determined.
- 3. Record significant conference discussions, agreements, and disagreements, including required corrective measures and actions.
- 4. Reporting: Distribute minutes of the meeting to each party present and to other parties requiring information.
- 5. Pre-Installation Certification Inspection (PCI): Prior to proceeding with work secure specified manufacturer's representative written acceptance of substrate & conditions based on field observations. Make any revisions or corrections required by representative & schedule subsequent field observations until accepted by representative.
- 6. Do not proceed with installation if the conference cannot be successfully concluded. Initiate whatever actions are necessary to resolve impediments to performance, serviceability and ability to fully warrantee Work and reconvene the conference at earliest feasible date.
- E. Project Closeout Conference: Schedule a minimum of two (2) project closeout conferences, at times convenient to Owner and Architect, with the first, no later than 90 days prior to the scheduled date of Substantial Completion and second no later than 45 days prior to project completion.
 - 1. Conduct the conference to review requirements and responsibilities related to Project closeout.
 - 2. Attendees: Authorized representatives of Owner, Owner's Commissioning Authority, Project Inspector, Architect, and consultants, Contractor and its Project Superintendent; major subcontractors; suppliers; and other concerned parties shall attend the meeting. Participants at the meeting shall be familiar with Project and authorized to conclude matters relating to the Work.
 - 3. Agenda: Discuss items of significance that could affect or delay Project closeout, including the following:
 - a. Preparation of record documents.
 - b. Procedures required prior to inspection for Substantial Completion and for final inspection for acceptance.
 - c. Submittal of Certifications and written warranties.
 - d. Requirements for preparing operations and maintenance data.
 - e. Requirements for delivery of material samples, attic stock, and spare parts.
 - f. Requirements for demonstration and training.
 - g. Preparation of Contractor's punch list.
 - h. Procedures for processing Applications for Payment at Substantial Completion and for final payment.
 - i. Submittal procedures.
 - j. Owner's partial occupancy requirements.
 - k. Installation of Owner's furniture, fixtures, and equipment.
 - 1. Responsibility for removing temporary facilities and controls.
 - m. Outstanding Project Inspection issues
 - n. Outstanding Punchlist issues.
 - o. Test results.
 - p. Other items to be determined.
 - 4. Minutes: Architect will conduct and record meetings and distribute meeting minutes.
- F. Progress Meetings: Schedule progress meetings at weekly intervals.
 - 1. Attendees: Owner's representative, General Contractor, Architect and Project Inspector. In addition, at Contractor's discretion, subcontractors, suppliers and other entities concerned with current progress or involved in planning, coordination or performance of future activities shall be present. At architect's discretion design or consulting engineers, and others concerned with current progress or involved in planning, coordination, or performance of future activities shall be present. ALL

participants at the meeting shall be familiar with Project Requirements and authorized to conclude matters relating to the Work.

- 2. Agenda: Review and correct or approve minutes of previous progress meeting. Review other items of significance that could affect progress. Include topics for discussion as appropriate to status of Project.
 - a. Contractor's Construction Schedule: Review progress since the last meeting. Determine whether each activity is on time, ahead of schedule, or behind schedule, in relation to Contractor's construction schedule. Determine how construction behind schedule will be expedited; secure commitments from parties involved to do so. Discuss whether schedule revisions are required to ensure that current and subsequent activities will be completed within the Contract Time.
 - 1) Review schedule for next period. Each week provide a "3 week look-ahead" schedule that describes in detail the tasks scheduled for the next 3 weeks. Provide in 8 ½" x 11" format for inclusion with meeting minutes.
 - 2) Each week the Contractor shall confirm the current critical path task as described in the CPM schedule.
 - b. Review present and future needs of each entity present, including the following:
 - 1) Interface requirements.
 - 2) Sequence of operations.
 - 3) Status of submittals.
 - 4) Deliveries.
 - 5) Off-site fabrication.
 - 6) Access.
 - 7) Site utilization.
 - 8) Temporary facilities and controls.
 - 9) Progress cleaning.
 - 10) Quality and work standards.
 - 11) Status of correction of deficient items.
 - 12) Field observations.
 - 13) Status of RFIs.
 - 14) Status of proposal requests.
 - 15) Pending changes.
 - 16) Status of Change Orders.
 - 17) Pending claims and disputes.
 - 18) Documentation of information for payment requests.
 - 19) Status of Record Drawings.
 - 20) Status of Coordination Drawings.
 - 21) Status of Project Inspector's issues.
 - 22) Status of testing lab issues and results.
 - 23) Status of certifications and reports.
 - 24) Other to be determined.
- 3. Minutes: Architect will conduct, record and distribute the meeting minutes to each party present and to parties requiring information.
 - a. Schedule Updating: Revise Contractor's construction schedule after each progress meeting where revisions to the schedule have been made or recognized. Issue revised schedule concurrently with the report of each meeting.
- G. Coordination Meetings: General Contractor shall conduct project coordination meetings at regular intervals. Project coordination meetings are in addition to specific meetings held for other purposes, such as progress meetings and pre-installation conferences.

- 1. Attendees: In addition to representatives of Owner, Architect and Project Inspector, each contractor, subcontractor, supplier, and other entity concerned with current progress or involved in planning, coordination, or performance of future activities shall be represented at these meetings including manufacturer's technical representative. All participants at the meetings shall be familiar with Project and fully understand their specific contract responsibilities and authorized to conclude matters relating to the Work.
- 2. Agenda: Review and correct or approve minutes of the previous coordination meeting. Review other items of significance that could affect progress. Include topics for discussion as appropriate to status of Project.
 - a. Combined Contractor's Construction Schedule: Review progress since the last coordination meeting. Determine whether each contract is on time, ahead of schedule, or behind schedule, in relation to combined Contractor's construction schedule. Determine how construction behind schedule will be expedited; secure commitments from parties involved to do so. Discuss whether schedule revisions are required to ensure that current and subsequent activities will be completed within the Contract Time.
 - b. Schedule Updating: Revise combined Contractor's construction schedule after each coordination meeting where revisions to the schedule have been made or recognized. Issue revised schedule concurrently with report of each meeting.
 - c. Review present and future needs of each contractor present, including the following:
 - 1) Interface requirements.
 - 2) Sequence of operations.
 - 3) Status of submittals.
 - 4) Deliveries.
 - 5) Off-site fabrication.
 - 6) Access.
 - 7) Site utilization.
 - 8) Temporary facilities and controls.
 - 9) Work hours.
 - 10) Hazards and risks.
 - 11) Progress cleaning.
 - 12) Quality and work standards.
 - 13) Change Orders.
 - 14) Mock-ups
 - 15) Acceptability of substrates, adjacent materials and other conditions that may affect proper installation, serviceability and warrantability.
 - 16) Inspections and tests
 - 17) Certifications
 - 18) Project Inspection issues
- 3. Reporting: Record meeting results and distribute copies to everyone in attendance and to others affected by decisions or actions resulting from each meeting as well as Owner, Architect and Project Inspector.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 013100

SECTION 013180 – REQUESTS FOR INFORMATION (RFI)

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Special Conditions and Division 01 Specification Sections, apply to this Section

1.2 SUMMARY

- A. In the event the Contractor determines that some provisions or requirement of the drawings, specifications or other Contract Document requires clarification or interpretation, the Contractor shall submit an RFI in writing to the Architect. RFI shall only be submitted by the General Contractor and shall be limited to a single subject of inquiry.
- B. Pre-Bid Requests for Information (PRFI): The Contractor shall use its best good-faith effort to fully examine the Contract Documents in detail during the Bid Period to identify areas that raise questions and require clarification or interpretation and submit these as PRFIs in a timely fashion during the Bid Period to allow responses to be incorporated into the Contract Documents by Addendum. The submittal of a large number of RFIs after the Contract award may be taken as an indication that these questions have been intentionally withheld during the bid period or that the Contractor failed to provide in good faith effort.
- C. The Contractor shall clearly and concisely set forth the issue for which clarification or interpretation is sought and why a response is needed and when it is needed. In the RFI the Contractor shall set forth their own interpretation or understanding of the requirement along with reasons why they have reached such understanding. The Contractor shall also reference the relevant Contract Documents (drawings and specifications) and include a comprehensive proposed solution complete with photos and drawings as required or requested.
- D. Contractor shall not use RFI process as a strategy to create or support a delay claim and furthermore stipulates that the number of RFIs shall not be allowed to demonstrate or document a claim that the Contract Documents are inadequate, negligently prepared or not coordinated or complete based on the Standard of Care or that the number of RFIs have had a cumulative impact on the schedule or the work.
- E. Responses from the Architect will not change any requirements of the Contract Documents unless so noted by the Architect in the response to the RFI. In the event the Contractor believes that a response to an RFI will cause a change to the requirements of the Contract Documents the Contractor shall provide a written notice to the Architect stating that the Contractor considers the response to be a change to the requirements of the Contractor shall provide such written notice within 5-days of the date of the response shall waive the Contractor's right to seek additional time or cost under the Contract.

1.3 SUBMISSION PROCEDURES

- A. General: Request for Information beyond that set forth in the Contract Documents will be considered only when the request is in writing and fully documented.
- B. Time: The Contractor shall timely submit an RFI as soon as the Contractor becomes aware of the need for the information requested so as not to affect the progress of the work. Contractor shall avoid submitting extraordinary numbers of RFIs or "batching" or "time clustering" large numbers of RFIs or be subject to additional charges for Architect's services as outlined in the General Conditions. If the Contractor submits an RFI on a schedule activity within 14 calendar days or less of float on the current

project schedule, the Contractor shall not be entitled to any time extension due to the time it takes the Architect to respond to the request provided that the Owner responds within the 14 working calendar days set forth above.

- C. Pre-Submission Review: Before submitting an RFI to the Architect, no matter what the source, the Contractor shall conduct a comprehensive good-faith effort to research the record and locate the information in the Contract Documents including addenda, modification drawings, change orders, prior RFI's, verification and documentation of actual field conditions, industry standards referenced and meeting minutes. Review RFIs with Project Inspector prior to submittal to the Architect. The Contractor shall not forward RFIs without applying these efforts and shall be prepared to demonstrate or document this research upon request. RFI's may be returned without action if these efforts have not been demonstrated to Architect's satisfaction.
- D. The Architect will review all RFI's to determine whether they are justifiable, not justifiable or frivolous. An RFI is justifiable if a question, concern or observation cannot be explained or answered in the Contract Documents. An RFI is unjustified or frivolous if it questions means or methods, requests a design change, submits a proposal or asks a question whose answer is provided or referenced anywhere in the Contract Documents or represents a different category of document including but not limited to:
 - a. Shop drawings, samples and other submittals.
 - b. Routine Project communications (i.e., confirmation of meeting dates, transmittal of meeting notes or minutes, confirmation of telephone or face-to-face discussions, etc.).
 - c. Schedules and schedule updates submittals.
 - d. Submittal of plans and reports.
 - e. Substitution proposals.
 - f. Contract Modification proposals.
 - g. Change Order Proposal or Value Engineering Change Proposal.
 - h. Drawing Clarification/Specification Clarification.
 - i. Information called for in other Specification sections
- E. Category of Request:

General: Submit requests for information when one or more of the following conditions occur:

- 1. Need for Clarification: When information shown or indicated in the Contract Documents is substantially unclear in its intent.
- 2. Unforeseeable Condition: Discovery of unforeseeable condition or circumstance that is not shown or indicated or inferable within the Contract Documents.
- 3. Conflict Within Documents: Discovery of an apparent inconsistency, conflict or discrepancy between different portions of the Contract Documents, where the intent cannot be reasonably inferred from information shown or indicated or as prioritized in the Special Conditions.
- 4. Omission: Discovery of what appears to be an omission in the Contract Documents, where the intent cannot be reasonably inferred from information shown or indicated or by industry standards.
- 5. Sequencing Problem: Discovery of unforeseen condition in sequencing or placement of work that is specifically related to the Contract Documents.
- 6. Drawing Clarification/Specification Clarification: Contractor shall pose simple and/or straight forward questions that may not require a formal RFI or changes to the Contract Documents, Project Cost or Schedule through informal processes. These inquiries may be answered by sketches, annotations, drawings or be in narrative form including mark-ups on field copies of plans and specifications or verbal answers provided during construction meetings or field visit. Recording answers in minutes or field memo shall be considered adequate to constitute a written record of response and direction relative to these types of questions.

- F. Unacceptable Unjustified or Frivolous Requests:
 - 1. General:
 - a. Do not submit requests for information for confirmation of any action already taken by the Contractor. Requests will not be accepted that imply confirmation of any unauthorized change to the Work.
 - b. Do not submit Request for Information items that constitute substitutions, contractor-initiated proposals, change order proposals or other unacceptable RFI's.
 - 2. Untimely Submission: A request for information that is submitted in a belated manner without proper coordination and scheduling of the Work of related subcontractors will not be reviewed and will be returned to the Contractor.
 - 3. Charges for Unjustified or Frivolous RFI: Contractor shall be charged all costs for unacceptable, unjustified and/or frivolous RFI's including fees for professional services of Architect, but not less than stipulated **two hundred fifty dollars** (**\$250.00**) for costs associated with submittal of each RFI determined to be unjustified or frivolous. Among other remedies, the District can deduct this amount from progress payments. The Architect shall be solely responsible for determining whether a RFI is unjustified, unacceptable or frivolous. Basis for determining an unjustified or frivolous RFI includes but is not limited to one of the following factors:
 - a. Lack of the Contractor exercising due diligence to locate required information in the Contract Documents;
 - b. Request for Information that is apparent from field observations, or is contained in the Contract Documents or is reasonably inferable from them;
 - c. Request for Information that is repetitive or is substantially incomplete;
 - Request for Information that are not RFI's, but specified elsewhere including but not limited to Section 012500 "Substitution Procedures", Section 012600 "Contract Modifications & Changes in Work Procedures", 013300 "Submittal Procedures", etc.
 - e. Request for Information that are missing proposal resolutions, cost impact, time impact, RFI form properly executed & certified, descriptions, references and attachments, etc.
 - 4. Submittal: A request for information that is included as part of a submittal will not be processed; see Section 013300 SUBMITTAL PROCEDURE.
 - 5. Substitution: A request for information that is a request for substitution will not be processed; see Section 012500 SUBSTITUTION REQUIREMENTS.
 - 6. Contractor Initiated Proposals: See Section 012600 "Contract Modifications Proceedures".
 - 7. Exclusionary Submission: A request that implies that specific portions of the work are assumed to be excluded or considering a separate portion of the Contract Documents in part rather than as a whole will not be processed.
 - 8. Change Order Proposal: Value Engineering Change Proposals, see Section 012600 "Contract Modification & Changes in Work Procedures.
- G. Log: Contractor shall prepare and maintain an official written log of each request for information. Log shall include RFI number, date, response & resubmittal dates. Review status of log at each job progress meeting.

1.2 SUBMISSION REQUIREMENTS

- A. Request for Information (RFI) Form:
 - 1. General: Superintendent or Project Engineer shall submit RFI's in writing. Include all due diligence & information using the attached RFI form or form acceptable to both the Architect and Owner. RFI form shall include all information indicated on the form included at the end of this Section.

- 2. RFI Number: Identify RFIs sequentially starting from number one (01); number resubmissions with same number as original and add designation for revisions (01-R1, 01-R2, etc.) in order submitted, until resolution is achieved. Contractor shall re-date all re-issued RFI's.
- 3. Contractor: Provide company name, mailing address and other contact information with Certification signature of person responsible for work on this Project.
- 4. Subcontractor and/or Supplier: Provide company name, mailing address, and contact information and name of contact person responsible for work on this Project.
- 5. RFI Description:
 - a. General: Describe subject of RFI completely.
 - b. Specifications References: Identify specification section numbers and specific paragraph numbers under consideration.
 - c. Drawing References: Identify specific drawing number by page and drawing or detail number under consideration and cite building lines identifying all locations.
 - d. Attachments: Identify supporting materials as required, include photographs, diagrams and other materials necessary to support description and proposed resolution. Include each place shown in citation.
- 6. Contractor's Proposed Resolution:
 - a. General: Describe suggested resolution which shall include Superintendent's review and input; support with drawings, diagrams and other attachments as required including surrounding materials or assemblies specific to this item.
 - b. Cost Impact: Indicate impact on costs if additional compensation requested; explain Contractor's original basis for bid and, based on the current request, reason that additional costs should be considered. Identify and provide estimate of credit if RFI may result in reduction of construction costs or time.
 - c. Time Impact: Indicate effect on schedule if additional time requested; explain Contractor's original basis for bid and, based on the current request, why a time extension should be considered.

1.3 ARCHITECT'S RESPONSE

- A. General: Architect will respond to RFIs conforming to the Submission Requirements in writing. Nonconforming, unacceptable, unjustified, frivolous or incomplete RFIs will be returned without review. Verbal, email and FAX responses to such requests are to be considered informational-only; official response will only be given in writing.
- B. Architect's Response:
 - 1. General: Allow fourteen (14) calendar days after receipt of written RFI by Architects office. If more than ten (10) requests are received within a period of seven (7) calendar days, the Architect will review its schedule and potential consulting engineer involvement specifically which may extend response time required to accomplish the review.
 - 2. Prioritization: If more than five (5) requests received by the Architect are outstanding, the Contractor shall identify the order of requests most critical to the schedule of the Project and response times will be adjusted appropriately.
 - 3. When time dependent or schedule conflicts, Architect will try to accommodate rush, but rush charge to contractor may apply for premium time and additional review time will be added to lower priority RFI's.

1.4 DISTRIBUTION

- A. General: Official response to all RFI's will be in writing. Contractor shall provide printed final copies, one to the Architect, Project Inspector and Superintendent. Only the final RFI along with identified attachments will be considered official.
- B. Consultants: The Architect will distribute copies of RFI's to project consultants, as required for their participation. Direct communication and response from project consultants will be considered informational only.
- C. Response: The Contractor will make and distribute copies of the official response to subcontractors and suppliers, as required.

PART 2 – PRODUCTS (Not Applicable)

PART 3 – EXECUTION (Not Applicable)

END SECTION 013180

(See next page for sample form)

REQUEST FOR INFORMATION

| PROJECT NAME: Spreckels Elementary School | | | KA JOB NO. 2101.1 | | |
|---|------------------------|---|-------------------|--|--|
| New T | oilet Modular Building | | RFI NO. | | |
| TO: KASAVAN ARCHITECTS 60 W. MARKET STREET, SUITE 300 SALINAS, CA 93901 | | FROM: Contractor's Name & address info here | | | |
| SUBJECT: | | I | | | |
| CATEGORY: H NEED FOR CLARIFICATION UNFORESEEN CONDITION CONFLICT WITHIN DOCUMENTS H OMISSION H SEQUENCING PROBLEM | | | | | |
| SPEC. SECTION | PARAGRAPH NO. | DRAWING NO. | DETAIL NO. | | |
| DESCRIPTION: | | | | | |
| CONTRACTOR'S PROPOSED RESOLUTION: ATTACHMENTS: | | | | | |
| COST IMPACT: \$ | EST. | | EST. | | |
| CONTRACTOR CERTIFICATION: I HEREBY CERTIFY THAT I HAVE REVIEWED THIS RFI AND HAVE CONDUCTED THE COMPREHENSIVE RESEARCH NOTED IN SPEC SECTION 013180 – 1.2.C AND THE INFORMATION REQUESTED IS IN CONFORMANCE WITH THE DEFINITION OF THE CATEGORY INDICATED AND DOES NOT REPRESENT A DIFFERENT TYPE OF SPECIFIED REQUEST OR DOCUMENT. | | | | | |
| SIGNATURE: | | DATE: | | | |
| ARCHITECT/ENGINEER RESPONSE: | | | | | |
| ATTACHMENTS: | | | | | |
| ARCHITECT/ENGINEER | | | DATE: | | |
| SIGNATURE: | | | | | |

SECTION 013200 - CONSTRUCTION PROGRESS DOCUMENTATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Special Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for documenting the progress of construction during performance of the Work, including the following:
 - 1. Contractor's startup or ninety (90) day construction schedule to be provided prior to Final NTP
 - 2. Contractor's Baseline or As-Planned construction schedule to be provided within 30 days of FNT.
 - 3. Construction monthly schedule updates and reports.
 - 4. Contractor's daily construction reports.
 - 5. Contractor's weekly schedule or three (3) week Look-Ahead Schedule.
 - 6. Contractor's Master Bar Chart Summary Schedule to be provided prior to FNTD.
 - 7. Material location reports.
 - 8. Site condition reports.
 - 9. Special reports.
- B. Related Requirements:
 - 1. Section 011000 "Summary"
 - 2. Section 012900 "Payment Procedures".
 - 3. Section 013300 "Submittal Procedures" for submitting schedules and reports.
 - 4. Section 013100 "Project Management and Coordination"
 - 5. Section 013180 "Requests for Information (RFI)"
 - 6. Section 014000 "Quality Requirements" for submitting a schedule of tests, inspections and certificates.
 - 7. Section 015000 "Temporary Facilities and Controls" for Moisture-Protection Plan (MPP)
 - 8. Section 017839 "Project Record Drawings".
 - 9. Section 017900 "Demonstration and Training"

1.3 DEFINITIONS

- A. Activity: A discrete part of a project that can be identified for planning, scheduling, monitoring, and controlling the construction Project. Activities included in a construction schedule consume time and resources.
 - 1. Critical Activity: An activity on the critical path that must start and finish on the planned early start and finish times.
 - 2. Predecessor Activity: An activity that precedes another activity in the network.
 - 3. Successor Activity: An activity that follows another activity in the network.
- B. Cost Loading: The allocation of the schedule of values for completing an activity as scheduled. The sum of costs for all activities must equal the total Contract Sum.
- C. CPM: Critical path method, which is a method of planning and scheduling a construction project where activities are arranged based on activity relationships. Network calculations determine when activities can be performed and the critical path of Project.

- D. Critical Path: The longest connected chain of interdependent activities through the network schedule that establishes the minimum overall Project duration and contains no float.
- E. Event: The starting or ending point of an activity.
- F. Float: The measure of leeway in starting and completing an activity.
 - 1. Float time is not for the exclusive use or benefit of either Owner or Contractor, but is a jointly owned, expiring Project resource available to both parties as needed to meet schedule milestones and Contract completion date.
 - 2. Free float is the amount of time an activity can be delayed without adversely affecting the early start of the successor activity.
 - 3. Total float is the measure of leeway in starting or completing an activity without adversely affecting the planned Project completion date.
- G. Non-Sequestering of Float: Pursuant to the float sharing requirements of the Contract, use of float suppression techniques such as preferential sequencing, special lead/lag logic restraints, extended activity times or imposed dates shall be cause for rejection of the schedule submittal and any revisions or updates. The use of float time disclosed or implied by the use of alternative float suppression techniques shall be shared as directed by the Owner
- H. Resource Loading: The allocation of manpower and equipment necessary for completing an activity as scheduled.

1.4 INFORMATIONAL SUBMITTALS

- A. Work Breakdown Structure:
 - 1. The CONTRACTOR shall provide at the Preconstruction Scheduling Conference, the following:
 - a. A work breakdown structure;
 - b. The associated alpha-numeric coding structure to implement the work breakdown structure;
 - c. The activity identification system for labeling all work activities.
 - 1) The first code field shall designate the bid item.
 - 2) The second code field shall identify the type of activity. (Types of activities shall be defined as "submittal", "review/approval", "procurement/fabrication", "delivery", "construction/installation" or 'change order'.)
 - 3) The third code field shall identify which Specification section the activity shall be paid under.
 - 4) The fourth code field shall identify who is responsible to perform the activity (i.e., CONTRACTOR, SUBCONTRACTOR(S) by trade, SUPPLIER, etc.).
 - 5) The fifth code field shall identify the area being worked in or the building, if appropriate.
 - 2. The sixth code field shall identify the construction phase or project element (if phasing of work or project elements are identifier! in the Contract.) All Change Orders and Notices of Non-Compliance shall be included as separate code fields.
- B. Contractor's Construction Schedule: Initial schedule, of size required to display entire schedule for entire construction period.
 - 1. Submit a schedule, labeled to comply with requirements for submittals. Include type of schedule (initial or updated) and date on label.
- C. CPM Reports: Concurrent with CPM schedule, provide each of the following reports. Format for each activity in reports shall contain activity number, activity description, cost and resource loading, original duration, remaining duration, early start date, early finish date, late start date, late finish date, and total float in calendar days.

- 1. Activity Report: List of activities sorted by activity number and then early start date, or actual start date if known.
- 2. Logic Report: List of preceding and succeeding activities for each activity, sorted in ascending order by activity number and then by early start date, or actual start date if known.
- 3. Total Float Report: List of activities sorted in ascending order of total float.
- 4. The most current copy of the CPM shall be displayed in the conference room. Previous schedules shall be maintained in the Superintendent's hard files and turned over to owner at closeout.
- D. The Contractor shall provide a Schedule Narrative Report with every schedule submission. The Schedule Narrative Report shall communicate to the Owner the thorough analysis of the schedule output and the plans to overcome any problems, either current or potential, which are revealed through that analysis. The following information, at a minimum, shall be included in the Schedule Narrative Report.
 - 1. Identify and discuss all work schedule to start in the next update period.
 - 2. A description of all activities along the two most critical paths where the total float is less than or equal to 30 calendar days.
 - 3. A description of current and anticipated problems areas or delaying factors and their impact and an explanation of corrective action taken or required to be taken.
 - 4. Identify and explain why activities based on their calculated late dates should have either started or finished during the update period but did not.
 - 5. Identify and discuss all schedule changes by activity ID number and activity name including what specifically was changes and why the change was needed. This discussion shall include, at a minimum, new and deleted activities, logic changes, duration changes, calendar changes, lag changes, resource changes, and actual start and finish dates.
- E. Construction Schedule Updating Reports: Submit with Applications for Payment.
- F. Daily Construction Reports: Submit at weekly intervals.
- G. Three (3) week Look-Ahead Schedule: Submit at weekly intervals. Identify scheduled Pre-installation Conferences, Pre-installation (PCA) and Final Installation Certificates of Approval (FCA).
- H. Master Bar Charts: The Contractor shall also prepare and submit a time-scaled Master Bar Chart Summary Schedule on a single sheet that shows the total project in approximately 25-100 activities, as agreed to by the Contractor and the Architect. This schedule will accurately summarize the current Detailed Project Schedule and shall have common events for correlating the two levels of schedule indenture. All Contract milestones shall be shown. The Master Summary Schedule shall be updated monthly and the updated copy displayed in the conference room. Previous schedules will be maintained in hard files and turned over to owner at close out.
- I. Material Location Reports: Submit at weekly intervals.
- J. Site Condition Reports: Submit at time of discovery of differing conditions.
- K. Special Reports: Submit at time of unusual event.
- L. Qualification Data: For scheduling consultant.
- M. Log of Project Inspectors moisture tests.

1.5 QUALITY ASSURANCE

- A. Scheduling Consultant Qualifications: An experienced specialist in CPM scheduling and reporting, with capability of producing CPM reports and diagrams within 24 hours of Architect's request. Specialist must have a minimum of five (5) years verifiable experience scheduling construction projects of similar nature. Scheduling consultant is required to attend all scheduling meetings.
 - 1. Prior to award of contract, Contractor shall submit the following:

- a. Identification, qualifications and experience of the Scheduler and all other members of the Contractor's scheduling staff.
- b. References of not less than three (3) pervious projects of similar nature on which the Contractor's Scheduler has been primarily responsible for preparing CPM schedules with specified programs.
- c. A description of the scheduling system to be used.
- B. Pre-Construction Scheduling Conference: Conduct conference within 30 days of FNTP at Project site to comply with requirements in Section 013100 "Project Management and Coordination." Review methods and procedures related to the preliminary construction schedule and Contractor's Construction Schedule, including, but not limited to, the following:
 - 1. Review software limitations and content and format for reports.
 - 2. Identify and verify availability of qualified personnel needed to develop and update schedules and suggesting documentation.
 - 3. Discuss constraints, including phasing, interim milestones and partial Owner occupancy.
 - 4. Review delivery dates for any Owner-furnished products.
 - 5. Review schedule for work of Owner's separate contracts.
 - 6. Review submittal requirements and procedures.
 - 7. Review of submittals and re-submittals including order and delivery dates.
 - 8. Schedule for submittal and review schedules for pre-installation conferences
 - 9. Review schedule for demonstration and training.
 - 10. Review schedule for punch list and close out conference.
 - 11. Review identification of how delays will be identified and process for development of recovery prior and recovery schedule.

1.6 COORDINATION

- A. Coordinate Contractor's construction schedule with the schedule of values, list of subcontracts, submittal schedule, progress reports, payment requests, and other required schedules and reports.
 - 1. Secure time commitments for performing critical elements of the Work from entities involved.
 - 2. Coordinate each construction activity in the network with other activities and schedule them in proper sequence.

1.7 CONTRACTOR'S CONSTRUCTION SCHEDULE, GENERAL

- A. Time Frame: Extend schedule from date established for commencement of the Work to date of final completion.
 - 1. Contract completion date shall not be changed by submission of a schedule that shows an early completion date, unless specifically authorized by Change Order.
- B. Activities: Treat each story or separate area as a separate numbered activity for each main element of the Work. Comply with the following:
 - 1. Procurement Activities: Include procurement process activities for the following long lead items and major items, requiring a cycle of more than 60 days, as separate activities in schedule. Procurement cycle activities include, but are not limited to, submittals, approvals, purchasing, fabrication, and delivery.
 - 2. Submittal Review Time: Include review and re-submittal times indicated in Section 013300 "Submittal Procedures" in schedule. Coordinate submittal review times in Contractor's construction schedule with submittal schedule.
 - 3. Include Pre-Installation Conferences.
 - 4. Include Close-out Conferences.

CONSTRUCTION PROGRESS DOCUMENTATION

- 5. Startup and Testing Time: Include sufficient time for startup and testing so all will be complete prior to substantial completion.
- 6. Substantial Completion: Indicate completion in advance of date established for Substantial Completion and allow time for Architect's administrative procedures necessary for certification of Substantial Completion.
- 7. Punch List and Final Completion: Include not more than forty-five (45) days for completion of punch list items and final completion.
- C. Level of Schedule Detail: The Contractor shall develop the project construction schedule to the appropriate level of detail to address milestone dates (if any), to demonstrate that all elements of work required by the Contract documents have been planned for and to allow for satisfactory project planning and execution. Failure to develop the schedule to an appropriate level of detail will result in its disapproval.
- D. Activity Durations: No on site construction activity shall have a duration exceeding thirty (30) work days.
- E. Early Completion Schedules and the Right to Finish Early: Should the Contractor submit a baseline or as-planned construction schedule showing all scope of the required contract work will be completed thirty (30) or more calendar days in advance of the Contract completion date the Owner may, at no cost, issue a change order decreasing the Contract duration to match the completion date reflected in the baseline or as-planned schedule.
 - 1. No baseline or as-planned schedule indicating Early Completion will be accepted without being fully resource loaded (including crew sizes and manhours) and the Owner agreeing that the schedule is reasonable and achievable.
 - 2. The Owner is under no obligation to accelerate work items it is responsible for to ensure that the Early Completion is met nor is it responsible to modify incremental funding (if applicable) for the project to meet the Contractor's accelerated work plan.
 - 3. Any approved schedule, schedule revision or schedule update indicating an Early Completion shall show the time between the schedule's completion date and the then current Contract completion date as "Project Float"
- F. Constraints: Include constraints and work restrictions indicated in the Contract Documents and as follows in schedule and show how the sequence of the Work is affected.
 - 1. Work under More Than One Contract: Include a separate activity for each contract.
 - 2. Work by Owner: Include a separate activity for each portion of the Work performed by Owner.
 - 3. Products Ordered in Advance: Include a separate activity for each product. Include delivery date indicated in Section 011000 "Summary." Delivery dates indicated stipulate the earliest possible delivery date.
 - 4. Owner-Furnished Products: Include a separate activity for each product. Include delivery date indicated in Section 011000 "Summary." Delivery dates indicated stipulate the earliest possible delivery date.
 - 5. Work Restrictions: Show the effect of the following items on the schedule:
 - a. Coordination with existing construction.
 - b. Coordination with work in public right-of-way.
 - c. Manufacturers Approvals of substrates and Final Approvals.
 - d. Uninterruptible services.
 - e. Partial occupancy before Substantial Completion.
 - f. Use of premises restrictions.
 - g. Provisions for future construction.
 - h. Seasonal variations.
 - 1) Include a factor for "normal" rain days for the time of year and the project's location. See Special Conditions, Part I, for additional requirements.
 - i. Environmental control.

6.

2101.1

a. Preliminary and Final Notices to Proceed.

including, but not limited to, the following:

- b. Subcontract awards.
- c. Schedule Submittals and Submittal Review Times.
- d. Submittals.
- e. Structural Steel shop drawings and submittals.
- f. Purchases.
- g. Mockups.
- h. Fabrication.
- i. Sample testing.
- j. Manufacturer's Certifications
- k. Deliveries.
- l. Installation.
- m. Tests and inspections.
- n. Adjusting.
- o. Curing.
- p. Building flush-out.
- q. Contractor's Pre-Final Inspection.
- r. Punch List Preparation.
- s. Punch List Corrections.
- t. Startup and placement into final use and operation.
- u. Building Commissioning.
- v. Close out Conference
- 7. Construction Areas: Identify each major area of construction with Milestone Dates for each major portion of the Work. Indicate where each construction activity within a major area must be sequenced or integrated with other construction activities to provide for the following:
 - a. Structural completion.
 - b. Temporary enclosure and space conditioning.
 - c. Permanent space enclosure.
 - d. Completion of mechanical installation.
 - e. Completion of electrical installation.
 - f. Substantial Completion.
- G. Milestones: Include Milestones indicated in the Contract Documents in schedule, including, but not limited to, the Preliminary and Final Notice to Proceed, CPM Schedule submittal, Submittals Complete, Punch List, Demonstration and , Close out meetings, Substantial Completion, and final completion for each Milestone and balance of work.
- H. Upcoming Work Summary: Prepare summary report indicating activities scheduled to occur or commence prior to submittal of next schedule update. Summarize the following issues:
 - 1. Unresolved issues.
 - 2. Unanswered Requests for Information.
 - 3. Rejected or unreturned submittals.
 - 4. Notations on returned submittals.
 - 5. Pending modifications affecting the Work and Contract Time.
- I. Recovery Schedule: When periodic update indicates the Work is fourteen (14) or more calendar days behind the current approved schedule, submit a separate plan and recovery schedule indicating means by which Contractor intends to regain compliance with the schedule. Indicate changes to working hours, working days, crew sizes, and equipment required to achieve compliance and date by which recovery will be accomplished.

1. Computer Scheduling Software System: The Contractor shall use Primavera Project Planner (P3), Version 8.0 or a later version which shall be referred to as the Scheduling System. If the Contractor chooses scheduling software other than Primavera Project Planner, that is compliant with this specification, provide for the Owners use two licenses and training for two Owner representatives in the use of the software. If the contractor uses any scheduling software other than P3 the contractor is solely responsible for any conversion discrepancies.

1.8 STARTUP CONSTRUCTION SCHEDULE

- A. Master Bar-Chart Schedule: Submit startup, horizontal, bar-chart-type construction schedule within seven (7) days of date established for commencement of the Work.
- B. Preparation: Indicate each significant construction activity separately. Identify first workday of each week with a continuous vertical line. Outline significant construction activities for first sixty (60) days of construction. Include skeleton diagram for the remainder of the Work and a cash requirement prediction based on indicated activities.
- C. Update monthly, add significant construction activities for the sub-request sixty (60) days. Provide and discuss at Project Progress Meeting and indicate any change.

1.9 CONTRACTOR'S CONSTRUCTION SCHEDULE (CPM SCHEDULE)

- A. General: Prepare network diagrams using AON (activity-on-node) Precedence Diagram Network format with the capabilities of identifying the critical path.
- B. Startup Network Diagram: Submit diagram within twenty (20) days of date established for commencement of the Work. Outline significant construction activities for the first sixty (60) days of construction. Include skeleton diagram for the remainder of the Work and a cash requirement prediction based on indicated activities.
 - 1. See Section C, Special Conditions, for Interim Liquidated Damages associated with failure to deliver this schedule within the specified time.
- C. CPM Schedule: Prepare Contractor's construction schedule using a computerized, time-scaled CPM network analysis diagram for the Work.
 - 1. Develop network diagram in sufficient time to submit CPM schedule so it can be accepted for use no later than sixty 60 days after date established for commencement of the Work and prior to submitting second application for payment.
 - a. Failure to include any work item required for performance of this Contract shall not excuse Contractor from completing all work within applicable completion dates, regardless of Architect's approval of the schedule.
 - 2. Conduct educational workshops to train and inform key Project personnel, including subcontractors' personnel, in proper methods of providing data and using CPM schedule information.
 - 3. Schedule special meeting to formally present and discuss the CPM Schedule in detail within seven (7) days of submittal. Include the individual who created the CPM Schedule, Project Superintendent, Project Engineer, Owner's Representative, Project Inspector, Architect and Engineers. Be prepared to address any assumptions, questions and/or concerns about CPM in general and specific to Project.
 - 4. Establish procedures for monitoring and updating CPM schedule and for reporting progress. Schedule additional special meetings if requested. Coordinate procedures with progress meeting and payment request dates.

- 5. Use "one workday" as the unit of time for individual activities. Indicate nonworking days and holidays incorporated into the schedule in order to coordinate with the Contract Time.
- D. CPM Schedule Preparation: Prepare a list of all activities required to complete the Work. Using the startup network diagram, prepare a skeleton network to identify probable critical paths.
 - 1. Activities: Indicate the estimated time duration, sequence requirements, and relationship of each activity in relation to other activities. Include estimated time frames for the following activities, organized by Milestone.
 - a. Preparation and processing of submittals.
 - b. Mobilization and demobilization.
 - c. Purchase of materials.
 - d. Delivery.
 - e. Fabrication.
 - f. Mock-ups
 - g. Utility interruptions.
 - h. Installation.
 - i. Work in public right-of-way administered by Owner's Civil Engineer of Record.
 - j. Testing and commissioning.
 - k. Inspections and special inspections.
 - 1. Pre-Installation Conference
 - m. New Installation and Training
 - n. Manufacturer's Certifications and Approvals.
 - o. Punch list and final completion.
 - p. Close-out meetings and procedures.
 - q. Activities occurring following final completion.
 - 2. Critical Path Activities: Identify critical path activities, including those for interim completion dates. Scheduled start and completion dates shall be consistent with Contract milestone dates.
 - 3. Processing: Process data to produce output data on a computer-drawn, time-scaled network. Revise data, reorganize activity sequences, and reproduce as often as necessary to produce the CPM schedule within the limitations of the Contract Time.
 - 4. Format: Mark the critical path. Locate the critical path near center of network; locate paths with most float near the edges.
 - a. Subnetworks on separate sheets are permissible for activities clearly off the critical path.
- E. Open Ended Logic: Only two (2) open ended activities are allowed in the original construction schedule or any schedule update or revision: the first activity identified as "NTP Issues" must have no predecessor logic and the last activity identified as "Project Complete" must have no successor logic.
- F. Default Progress Data Disallowed: Actual Start and Finish Dates must not automatically update with the default mechanisms in the scheduling software. Updating of the percent complete and the remaining duration of any on-site construction activity must be independent functions. Scheduling software features that calculate one of these parameters from the other shall be disabled. Activity Actual Start ("AS") and Actual Finish ("AF") dates assigned during the schedule updating process must match those dates provided in the Contractor's Daily Construction Reports. Failure to document the AS and AF dates in the Contractor's Schedule update will result in disapproval of the Contractor's schedule update
- G. Out of Sequence Progress: Activities that have progressed before all preceding logic has been satisfied (Out of Sequence Progress) will be allowed only on a case by case basis subject to approval of the Owner. The Contractor shall propose logic revisions or corrections to eliminate Out of Sequence Progress or justify not changing the sequencing for approval prior to submitting a schedule update. The Contractor shall address out of sequence progress or logic changes in the Schedule Narrative Report and in the schedule update meetings.

H.

- I. Original Durations: Activity Original Durations ("OD") must be reasonable to perform the work item. OD changes are prohibited unless justification is provided by the Contractor and approved by the Owner.
- J. Leads, Lags and Start to Finish Relationships: Lags must be reasonable as determined by the Owner and not used in place of realistic Original Durations, must not be in place to artificially absorb or consume float or to replace proper schedule logic. Leads ("Negative Lags") are prohibited. Start to Finish ("SF") relationships are prohibited.
- K. Retained Logic: Schedule calculations must retain the logic between predecessors and successors ("Retained Logic Mode") even when the successor activity(ies) start and the predecessors activity(ies) has not or have not finished (Out of Sequence Progress). Construction scheduling software features that sever the tie(s) between predecessor and successor activities when the successor activity has started and the predecessor logic is not satisfied ("Progress Override") shall not be used.
- L. Percent Complete: The Contractor shall update the percent complete for each activity started, based upon a realistic assessment of the activity's progress and earned value. Activities which are complete but for remaining minor punch list work and which do not restrain the initiate of successor activities may be declared 100 percent complete to allow for proper schedule management.
- M. Remaining Duration: Update the remaining duration for each activity based upon the number of estimated workdays it will take to complete the activity. Remaining duration may not mathematically correlate with the percentage found under the paragraph above entitled Percent Complete.
- N. Artificially Improving Progress: Artificially improving progress by means such as, but not limited to, revising schedule logic, modifying or adding constraints, shortening activity durations, disconnecting logic ties, or changing calendars in the project schedule is prohibited. The Contractor shall include assumptions made and the basis for any logic, constraint, duration or calendar change made in a revised or update schedule submittal in the Schedule Narrative Report submitted with the schedule. Additional resources, manpower, or daily and weekly work hour changes proposed in a recovery schedule or schedule update shall be discussed in the Schedule Narrative Report, must be evident at the work site and documented in the Contractor's Daily Construction Reports.
- O. Change Orders and Time Extension Requests: For each proposed change order and concurrent with its submission, prepare a Time-Impact Analysis using a network fragment ("fragnet") to demonstrate the effect of the proposed change on the overall project schedule. The Contractor's evaluations shall comply with the following industry standard Recommended Practices ("RP") published by the Association for the Advancement of Cost Engineering, International ("AACE"):
 - 1. Recommended Practice No. 52R-06, Time Impact analysis As Applied in Construction. The Contractor shall use this Recommended Practice for delays anticipated in the future (prospective analysis) as when a Contractor is preparing a change order proposal for submittal to the owner.
 - 2. Recommended Practice No. 29R-03, Forensic Schedule Analysis, MIP 3.4, Observational/Dynamic/Contemporaneous Split methodology. The Contractor shall use MIP 4.3 when evaluating delays that have already occurred (retrospective analysis).
 - 3. The Contractor shall use the last approved schedule update prior to the first day of delay or impact when preparing a Time Impact Analysis (prospective analysis) or a Forensic Schedule Analysis (retrospective analysis). If the Owner determines that the timeframe between the last approved schedule update and the first day of impact or delay is too great, the Contractor shall prepare an interim schedule update to perform either the Time Impact Analysis or Forensic Schedule Analysis.
 - 4. The publications listed above form a part of this specification to the extent referenced.
 - 5. Time extensions will be granted only to the extent that time adjustments for the activity or activities affected exceed the total or remaining float along the critical path of activities at the time of the

proposed or actual delay. Due to the float sharing requirement of this Contract time extensions will not be granted nor delay damages paid unless;

- a. A delay occurs which is beyond the control and without the fault or negligence of the Contractor or any of their Subcontractors or Suppliers at any tier; and
- b. Which extends the actual performance of the work beyond the current Contract completion date on the approved schedule update current as of the time of the impact or delay.
- 6. The Owner will review the Contractor's evaluations and calculations and determine the time extension due, if any.
- P. Initial Issue of Schedule: Prepare initial network diagram from a sorted activity list indicating straight "early start-total float." Identify critical activities. Prepare tabulated reports showing the following:
 - 1. Contractor or subcontractor and the Work or activity.
 - 2. Description of activity.
 - 3. Main events of activity.
 - 4. Immediate preceding and succeeding activities.
 - 5. Early and late start dates.
 - 6. Early and late finish dates.
 - 7. Activity duration in workdays.
 - 8. Total float or slack time.
 - 9. Average size of workforce.
 - 10. Dollar value of activity (coordinated with the schedule of values).
- Q. Schedule Updating: Concurrent with making revisions to schedule, prepare tabulated reports showing the following:
 - 1. Identification of activities that have changed.
 - 2. Changes in early and late start dates.
 - 3. Changes in early and late finish dates.
 - 4. Changes in activity durations in workdays.
 - 5. Changes in the critical path.
 - 6. Changes in total float or slack time.
 - 7. Changes in the Contract Time.

1.10 REPORTS

- A. Daily Construction Reports: Prepare a daily construction report recording the following information concerning events at Project site:
 - 1. List of subcontractors at Project site.
 - 2. List of separate contractors at Project site.
 - 3. Approximate count of personnel, by prime and subcontract, at Project site.
 - 4. Equipment at Project site.
 - 5. Material deliveries indicating confirmation materials are in compliance with approval submitted.
 - 6. High and low temperatures and general weather conditions, including presence of rain or snow.
 - 7. Accidents.
 - 8. Meetings and significant decisions.
 - 9. Pre-Installation conferences.
 - 10. Pre-Installation Certificates and Final Installation Certificates.
 - 11. Unusual events (see special reports).
 - 12. Stoppages, delays, shortages, and losses.
 - 13. Meter readings and similar recordings.
 - 14. Emergency procedures.
 - 15. Orders and requests of authorities having jurisdiction.

- 16. Change Orders received and implemented.
- 17. Construction Change Directives received and implemented.
- 18. Services connected and disconnected.
- 19. Equipment or system tests and startups.
- 20. Inspections and tests.
- 21. Manufacturers Certifications and approvals.
- 22. Partial completions and occupancies.
- 23. Pre-Installation Conferences.
- 24. Demonstration and Training Session.
- 25. Substantial Completions authorized.
- B. Three (3) Week Look-Ahead Schedule: prepare schedule identifying work planned on a daily basis over each three-week. Update and submit at weekly intervals.
- C. Material Location Reports: At weekly intervals, prepare and submit a comprehensive list of materials delivered to and stored at Project site. List shall be cumulative, showing materials previously reported plus items recently delivered. Include with list a statement of progress on and delivery dates for materials or items of equipment fabricated or stored away from Project site. Indicate the following categories for stored materials:
 - 1. Material stored prior to previous report and remaining in storage.
 - 2. Material stored prior to previous report and since removed from storage and installed.
 - 3. Material stored following previous report and remaining in storage.
- D. Site Condition Reports: Immediately on discovery of a difference between site conditions and the Contract Documents, prepare and submit a detailed report. Submit with a Request for Information. Include a detailed description of the differing conditions, together with recommendations for changing the Contract Documents.

1.11 SPECIAL REPORTS

- A. General: Submit special reports directly to Owner within one (1) day of an occurrence. Distribute copies of report to parties affected by the occurrence.
- B. Reporting Unusual Events: When an event of an unusual and significant nature occurs at Project site, whether or not related directly to the Work, prepare and submit a special report. List chain of events, persons participating, response by Contractor's personnel, evaluation of results or effects, and similar pertinent information. Advise Owner in advance when these events are known or predictable.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

3.1 CONTRACTOR'S CONSTRUCTION SCHEDULE

- A. Scheduling Consultant: Engage a consultant to provide planning, evaluation, and reporting using CPM scheduling.
 - 1. Meetings: Scheduling consultant shall attend all meetings related to Project progress, alleged delays, and time impact.
- B. Contractor's Construction Schedule Updating: At monthly intervals, update schedule to reflect actual construction progress and activities. Issue schedule three (3) days before each regularly scheduled progress meeting.

- 1. Revise schedule immediately after each meeting or other activity where revisions have been recognized or made. Issue updated schedule concurrently with the report of each such meeting.
- 2. Include a report with updated schedule that indicates every change, including, but not limited to, changes in logic, durations, actual starts and finishes, and activity durations.
- 3. As the Work progresses, indicate final completion percentage for each activity.
- C. Distribution: Distribute copies of schedule to Architect, Owner, Project Inspector separate contractors, testing and inspecting agencies, and other parties identified by Contractor with a need-to-know schedule responsibility.
 - 1. Post copies in Project meeting rooms and temporary field offices.
 - 2. When revisions are made, distribute updated schedules to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the Work and are no longer involved in performance of construction activities

END OF SECTION 013200

SECTION 013233 - PHOTOGRAPHIC DOCUMENTATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Special Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes:
 - 1. Preconstruction photographs.
 - 2. Pre-installation photographs
 - 3. Periodic construction photographs.
 - 4. Final completion construction photographs.
 - 5. Preconstruction video recordings.
 - 6. Pre-installation videos
 - 7. Periodic construction video recordings.
- B. Related Requirements:
 - 1. Section 017700 "Closeout Procedures" for submitting photographic documentation as Project Record Documents at Project closeout.
 - 2. Section 017900 "Demonstration and Training" for submitting video recordings of demonstration of equipment and training of Owner's personnel.
- C. Photographic documentation shall be optional at the contractor's discretion. If the contractor chooses to document the project construction with photographs or videos, this section shall apply.

1.3 INFORMATIONAL SUBMITTALS

- A. Key Plan: Submit key plan of Project site and building with notation of vantage points marked for location and direction of each photograph. Indicate elevation and story of construction. Include same information as corresponding photographic documentation.
- B. Digital Photographs: Submit image files within three (3) days of taking photographs.
 - 1. Digital Camera: Minimum sensor resolution of 8 megapixels.
 - 2. Format: Minimum 3200 by 2400 pixels, in unaltered original files, with same aspect ratio as the sensor, uncropped, date and time stamped, in folder named by date of photograph, accompanied by key plan file.
 - 3. Identification: Provide the following information with each image description in file metadata tag:
 - a. Name of Project.
 - b. Name, address and contact information for photographer.
 - c. Name of Architect.
 - d. Name of Contractor.
 - e. Date and time photograph was taken.
 - f. Weather conditions at time of photograph.
 - g. Indicate building name, room name and nearest building grin lines.
 - h. Description of vantage point, indicating location, direction (by eight compass points based on job north), and elevation and story of construction.
 - i. Description of the subject condition and context.
 - j. Unique sequential identifier keyed to accompanying key plan.

PHOTOGRAPHIC DOCUMENTATION

- k. Keep a hard copy of all photos on file at the job site.
- C. Video Recordings: Submit digital video recordings within seven (7) days of recording.
 - 1. Submit video recordings in digital video disc format acceptable to Architect.
 - 2. Identification: With each submittal, provide the following information:
 - a. Name of Project.
 - b. Name, address and contact information of photographer.
 - c. Name of Architect.
 - d. Name of Contractor.
 - e. Date video recording was recorded.
 - f. Description of vantage point, indicating location, direction (by eight compass points based on job north), and elevation and story of construction.
 - g. Description of the subject condition and context.
 - h. Weather conditions at time of recording.

1.4 QUALITY ASSURANCE

A. Photographer Qualifications: An individual who has been regularly engaged as a professional photographer of construction projects for not less than three years.

1.5 CONSTRUCTION PHOTOGRAPHS

- A. Photographer: Engage a qualified photographer to take construction photographs.
- B. General: Take photographs using the maximum range of depth of field, and that are in focus, to clearly show the Work. Photographs with blurry or out-of-focus areas will not be accepted.
 - 1. Maintain key plan with each set of construction photographs that identifies each photographic location.
 - 2. Maintain hard-copy indexed record of all photographs in 3-ring binders.
- C. Digital Images: Submit digital images exactly as originally recorded in the digital camera, without alteration, manipulation, editing, or modifications using image-editing software.
 - 1. Date and Time: Include date and time in file name for each image.
 - 2. Include information identifying the building, location in building and subject.
 - 3. Field Office Images: Maintain one set of images accessible in the field office at Project site, available at all times for reference. Identify images in the same manner as those submitted to Architect.
- D. Preconstruction Photographs: Before starting construction, take minimum of twenty (20) photographs of Project site and surrounding properties, including existing items to remain during construction, from different vantage points, as directed by Architect.
 - 1. Identify and Flag construction limits before taking construction photographs.
 - 2. Take photographs to show existing conditions on and adjacent to property before starting the Work.
 - 3. Take photographs of existing buildings either on or adjoining property to accurately record physical conditions at start of construction.
 - 4. Take additional photographs as required to record settlement or cracking of adjacent structures, pavements, and improvements.
- E. Periodic Construction Photographs: Take minimum of twenty (20) photographs monthly, coinciding with the cutoff date associated with each Application for Payment. Select vantage points to show status of construction and progress since last photographs were taken. Where possible take photos from same location and vantage point each month.

- F. Final Completion Construction Photographs: Take minimum of twenty (20) color photographs after date of Substantial Completion for submission as project record documents. Architect will inform photographer of desired vantage points and conditions.
 - 1. Do not include date stamp or other markings.
- G. Additional Photographs: Architect may request photographs in addition to periodic photographs specified.
 - 1. Three days' notice will be given, where feasible.
 - 2. In emergency situations, take additional photographs within 24 hours of request.
 - 3. Circumstances that could require additional photographs include, but are not limited to, the following:
 - a. Immediate follow-up when on-site events result in construction damage or losses.
 - b. Photographs to be taken at fabrication locations away from Project site. These photographs are not subject to unit prices or unit-cost allowances.
 - c. Substantial Completion of a major phase or component of the Work.
 - d. Sub-surface conditions in advance of select installations.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 013233

SECTION 013300 - SUBMITTAL PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Special Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Submittal schedule requirements.
 - 2. Administrative and procedural requirements for submittals.
- B. Purpose of Submittals
 - 1. Shop drawings, product data, samples and similar submittals (collectively "Submittals") are not contract documents.
 - 2. The purpose for submittals is to demonstrate how the Contractor proposes to provide or incorporate such item of the work in conformity with the contract documents and in a manner that is coordinated with the other work in the contract.
 - 3. No submittal may change the requirements of the contract documents without a specific approved Change Order. Approval of a submittal does not in itself represent an approval of a deviation or change to the contract requirements.
 - 4. Submittals are not to be used for material substitutions and the Architect's acceptance of a submittal is not authorization of a change to the scope of work on in the contract requirements.
 - 5. Submittals in any form must include indication of the specific product, or model number and clearly identify any options, colors, finishes, attachments, covers, or other published information being submitted. Any deviations or changes from the specifications or drawings shall be conspicuously marked and called out to Architect's attention.
 - 6. The Architect's action taken on submittals returned will apply <u>only</u> to the information, items or options so marked. Any part of the submittal that is not so marked will be considered un-reviewed and not be included in that submittal's acceptance or review. In the case of a conflict in a final reviewed or approved submittal with the contract documents, the contract documents shall govern
- C. Related Requirements:
 - 1. Division 01 Section "Product Requirements" for submitting comparable product substitutions.
 - 2. Section 012900 "Payment Procedures" for submitting Applications for Payment and the schedule of values.
 - 3. Section 013100 "Project Management and Coordination" for submitting coordination drawings and subcontract list and for requirements for web-based Project software.
 - 4. Section 013200 "Construction Progress Documentation" for submitting schedules and reports, including Contractor's construction schedule.
 - 5. Section 013233 "Photographic Documentation" for submitting preconstruction photographs, periodic construction photographs, and final completion construction photographs.
 - 6. Section 014000 "Quality Requirements" for submitting test and inspection reports, and schedule of tests and inspections.
 - 7. Section 017700 "Closeout Procedures" for submitting closeout submittals and maintenance material submittals.
 - 8. Section 017823 "Operation and Maintenance Data" for submitting operation and maintenance manuals.

- 9. Section 017839 "Project Record Documents" for submitting record Drawings, record Specifications, and record Product Data.
- 10. Section 017900 "Demonstration and Training" for submitting video recordings of demonstration of equipment and training of Owner's personnel.

1.3 DEFINITIONS

- A. Action Submittals: Written and graphic information and physical samples that require Architect's responsive action. Action submittals are complete submittals indicated in individual Specification Sections as "action submittals."
- B. Informational Submittals: Written and graphic information and physical samples that do not require Architect's responsive action. Submittals may be rejected for not complying with requirements. Informational submittals are those submittals indicated in individual Specification Sections as "informational submittals."
- C. File Transfer Protocol (FTP): Communications protocol that enables transfer of files to and from another computer over a network and that serves as the basis for standard Internet protocols. An FTP site is a portion of a network located outside of network firewalls within which internal and external users are able to access files.
- D. Portable Document Format (PDF): An open standard file format licensed by Adobe Systems used for representing documents in a device-independent and display resolution-independent fixed-layout document format.
- E. Piecemeal Submission of Submittals: Piecemeal Submittals mean providing portions of Shop Drawings or Submittals as they are being completed giving the appearance the submission is complete when there is inadequate information for the Architect to adequately review, examples include but are not limited to colors, samples, standard manufacturers marketing material or cutsheets. Piecemeal Submittals <u>will not</u> be accepted and will be returned un-reviewed. In special cases the Architect may accept Piecemeal Submission of Submittals for complex or critical systems or components upon its approval of a written request from the Contractor explaining the nature of the Piecemeal Submission and why the exception is requested

1.4 ACTION SUBMITTALS

- A. Submittal Schedule: Submit a schedule of sequentially numbered submittals, arranged in chronological order by dates required by construction schedule. Include time required for review, ordering, manufacturing, fabrication, and delivery dates. Include additional time required for making corrections or revisions to submittals noted by Architect and additional time for handling and reviewing submittals required by those corrections.
 - 1. Coordinate submittal schedule with list of subcontracts, the schedule of values, and Contractor's construction schedule.
 - 2. Initial Submittal: Submit concurrently with startup construction schedule. Include submittals required during the first ninety (90) days of construction. List those submittals required to maintain orderly progress of the Work and those required early because of long lead time for manufacture or fabrication.
 - 3. Final Submittal: Submit concurrently with the first complete submittal of Contractor's construction schedule.
 - a. Submit revised submittal schedule to reflect changes in current status and timing for submittals.
 - 4. Format: Arrange the following information in a tabular format:
 - a. Scheduled date for first submittal.
 - b. Specification Section number and title.

- c. Submittal category: Action; informational.
- d. Name of subcontractor.
- e. Description of the Work covered.
- f. Scheduled date for Architect's final release or acceptance.
- g. Scheduled date of fabrication.
- h. Scheduled dates for purchasing.
- i. Scheduled dates for installation.
- j. Activity or event number.
- B. Deadline for Submittals: All submittals must be received by the Architect no later than the total calendar days after the date established for commencement of work listed below, unless otherwise noted. See Section C, Special Conditions, for Interim Liquidated Damages associated with failure to meet this requirement.
 - 1. For work scheduled to start within the first ninety (90) days after the date established of the commencement of the work: No later than thirty (30) days after commencement.
 - 2. For work scheduled to start with the first ninety-one (91) to one hundred twenty (120) days after the date established of the commencement of the work: Thirty-one (31) to no later than sixty (60) days after the commencement.
 - 3. For work scheduled to start with the first one hundred twenty-one (121) days to completion after the date established of the commencement of the work: Sixty-one (61) to no later than ninety (90) days after the commencement.
 - 4. Long-Lead Items: Contractor may request earlier submittal of items with long-lead times (greater than eight (8) weeks) or high priority items upon approval of written request substantiating the need for early submittal.
 - 5. Submittals received prior to the scheduled time frame established above may be tabled by the Architect until the specified time frame.

1.5 SUBMITTAL PROCEDURES

- A. Prepare and submit submittals required by individual Specification Sections. Types of submittals are indicated in individual Specification Sections.
 - 1. Email: Prepare submittals as PDF package and transmit to Architect, project Superintendent & Project Engineer via email. Include PDF transmittal form. Include information in email subject line as requested by Architect.
 - a. Architect will return annotated file. Annotate and retain one copy of file as a digital Project Record Document file.
 - 2. Paper: Prepare submittals in paper form and deliver to Architect. Receipt of hard copy shall constitute the official date of receipt.
- B. Coordination: Coordinate preparation and processing of submittals with performance of construction activities.
 - 1. Coordinate each submittal with order, fabrication, purchasing, testing, delivery, other submittals, and related activities that require sequential activity.
 - 2. Submit all submittal items as indicated on approved submittal schedule.
 - 3. Submit action submittals and informational submittals required by the same Specification Section as separate packages under separate transmittals.
 - 4. Coordinate transmittal of submittals for related parts of the Work specified in different Sections so processing will not be delayed because of need to review submittals concurrently for coordination.
 - a. Architect reserves the right to withhold action on a submittal requiring color selection or coordination with other submittals until related submittals are received.
- C. Processing Time: Allow time for submittal review, including time for resubmittals, as follows. Time for review shall commence on Architect's receipt of paper submittal. No extension of the Contract Time will SUBMITTAL PROCEDURES 013300 3

be authorized because of failure to transmit submittals enough in advance of the Work to permit processing, including resubmittals.

- 1. Initial Review: Allow fifteen (15) days for initial review of each submittal. Allow additional time if coordination with subsequent submittals is required or requested by Architect for cause. Architect will advise Contractor when a submittal being processed must be delayed for coordination or by request.
- 2. Intermediate Review: If intermediate submittal is necessary, process it in same manner as initial submittal.
- 3. Resubmittal Review: Allow fifteen (15) days for review of each resubmittal.
- 4. Sequential Review: Where sequential review of submittals by Architect's consultants, Owner, or other parties is indicated, allow twenty-one (21) days for initial review of each submittal.
- D. Resubmittals: Make resubmittals in same form and number of copies as initial submittal.
 - 1. Note date and content of previous submittal.
 - 2. Note date and content of revision in label or title block and clearly indicate extent of revision.
 - 3. Provide a response to <u>all</u> submittal comments from the previous submittal. Failure to do so will cause rejection of the resubmittal without further review and a back charge to the contractor for additional resubmittals of this scope of work. The back-charge amount will be as follows:
 - a. Minimum charge: \$400 per resubmittal, payment in advance.
 - b. Larger resubmittals: Additional review time will be done on an hourly basis and charges exceeding \$400 will be billed at the Architect's standard hourly rates & due upon delivery. A specific cost will be provided if requested.
 - 4. Resubmit submittals until they are marked with approval notation from Architect's action stamp.
- E. Distribution: Furnish copies of final submittals to manufacturers, subcontractors, suppliers, fabricators, installers, authorities having jurisdiction, and others as necessary for performance of construction activities. Show distribution on transmittal forms.
- F. Use for Construction: Retain complete copies of submittals on Project site. Use only final action submittals that are marked with approval notation from Architect's action stamp.

1.6 SUBMITTAL REQUIREMENTS

- A. Architect's Digital Data Files: Electronic digital data files of <u>select</u> CAD Drawings of the Contract Drawings will be provided by Architect for Contractor's use in preparing submittals under the following conditions:
 - 1. Architect will furnish Contractor a download link of digital data drawing files of select Contract Drawings for use in preparing Shop Drawings.
 - a. Architect makes no representations as to the accuracy or completeness of digital data drawing files as they relate to the Contract Drawings.
 - b. Digital Drawing Software Program: The select Contract Drawings will only be made available in AutoCad 2018 format.
 - c. Contractor shall execute a data licensing agreement and release of liability in the form of Agreement form acceptable to Owner and Architect.
 - d. The following digital data files will be furnished at no cost:
 - 1) Site Survey.
 - 2) Architectural Site Plan.
 - 3) Architectural Floor Plan.
 - 4) Architectural Reflected Ceiling Plan
 - 2. For building plan sheets, the contractor shall be required to pay a fee of \$250 for each additional CAD format plan drawing sheet requested to offset handling costs. CAD file may contain multiple drawings regarding specifically requested sheet(s).

- B. Product Data: Collect information into a single submittal for each element of construction and type of product or equipment.
 - 1. If information must be specially prepared for submittal because standard published data are unsuitable for use, submit as Shop Drawings, not as Product Data.
 - 2. Mark each copy of each submittal to show which products and options are applicable.
 - 3. Include the following information, as applicable:
 - a. Manufacturer's catalog cuts.
 - b. Manufacturer's product specifications.
 - c. Standard color charts, unless custom colors are specified.
 - d. Statement of compliance with specified referenced standards.
 - e. Testing by recognized testing agency.
 - f. Application of testing agency labels and seals.
 - g. Notation of coordination requirements.
 - h. Availability and delivery time information.
 - 4. For equipment, include the following in addition to the above, as applicable:
 - a. Wiring diagrams that show factory-installed wiring.
 - b. Printed performance curves.
 - c. Operational range diagrams.
 - d. Clearances required to other construction, if not indicated on accompanying Shop Drawings.
 - 5. Submit Product Data before Shop Drawings, and before or concurrent with Samples.
- C. Shop Drawings: Prepare Project-specific information, drawn accurately to scale. Do not base Shop Drawings on reproductions of the Contract Documents or standard printed data.
 - 1. Preparation: Fully illustrate requirements in the Contract Documents. Include the following information, as applicable:
 - a. Identification of products.
 - b. Schedules.
 - c. Compliance with specified standards.
 - d. Notation of coordination requirements.
 - e. Notation of dimensions established by field measurement.
 - f. Relationship and attachment to adjoining construction clearly indicated.
 - 1) Include all related blocking, anchoring, waterproofing. lapping, sequencing, etc requirements.
 - 2) Clearly indicate which components are not a part of your scope. Clearly mark these as "By others".
 - g. Seal and signature of professional California licensed engineer if specified.
 - 2. Paper Sheet Size: Except for templates, patterns, and similar full-size Drawings, submit Shop Drawings on sheets at least 8-1/2 by 11 inches but no larger than 24 by 36 inches.
 - a. PDF electronic file.
 - b. Two (2) hard copies. Receipt by Architect will establish date of submittal.
- D. Samples: Submit Samples for review of kind, color, pattern, and texture for a check of these characteristics with other materials.
 - 1. Transmit Samples that contain multiple, related components such as accessories together in one submittal package.
 - 2. Identification: Permanently attach label on unexposed side of Samples that includes the following:
 - a. Project name and submittal number.
 - b. Generic description of Sample.

- c. Product name and name of manufacturer.
- d. Sample source.
- e. Number and title of applicable Specification Section.
- f. Specification paragraph number and generic name of each item.
- 3. Email Transmittal: Provide PDF transmittal. Include digital image file illustrating Sample characteristics, and identification information for record.
- 4. Paper Transmittal: Include paper transmittal including complete submittal information indicated.
- 5. Disposition: Maintain sets of approved Samples at Project site, available for quality-control comparisons throughout the course of construction activity. Sample sets may be used to determine final acceptance of construction associated with each set.
 - a. Samples that may be incorporated into the Work are indicated in individual Specification Sections. Such Samples must be in an undamaged condition at time of use.
 - b. Samples not incorporated into the Work, or otherwise designated as Owner's property, are the property of Contractor.
- 6. Samples for Initial Selection: Submit manufacturer's color charts consisting of units or sections of units showing the full range of standard & premium colors, textures, and patterns available.
 - a. Number of Samples: Submit one full set(s) of available choices where color, pattern, texture, or similar characteristics are required to be selected from manufacturer's product line. Architect will return submittal with options selected.
- 7. Samples for Verification: Submit full-size units or Samples of size indicated, prepared from same material to be used for the Work, cured and finished in manner specified, and physically identical with material or product proposed for use, and that show full range of color and texture variations expected. Samples include, but are not limited to, the following: partial sections of manufactured or fabricated components; small cuts or containers of materials; complete units of repetitively used materials; swatches showing color, texture, and pattern; color range sets; and components used for independent testing and inspection.
 - a. Number of Samples: Submit three sets of Samples. Architect will retain two Sample sets; remainder will be returned.
 - 1) Submit a single Sample where assembly details, workmanship, fabrication techniques, connections, operation, and other similar characteristics are to be demonstrated.
 - 2) If variation in color, pattern, texture, or other characteristic is inherent in material or product represented by a Sample, submit at least three sets of paired units that show approximate limits of variations.
- 8. Color Selection: Will be made by Architect once preliminary submittals for <u>all</u> items requiring color selection have been reviewed and accepted by the Architect.
 - a. The Architect will present color selections to the owner for approval before releasing to the contractor.
 - b. Field Samples:
 - 1) See individual sections for items requiring field samples.
 - 2) Use approved color selections to prepare actual on-site materials, textures and colors in trial areas selected by the Architect. Exterior color samples will include one building corner from grade to roof; interior field samples will include at least one wall corner as well as floor and ceiling where required.
 - 3) The Architect reserves the right to make minor changes to texture, color value and hue at no change to contract price.
 - 4) Do not commence finish work until Architect has approved field samples in writing

- E. Product Schedule: As required in individual Specification Sections, prepare a written summary indicating types of products required for the Work and their intended location. Include the following information in tabular form:
 - 1. Type of product. Include unique identifier for each product indicated in the Contract Documents or assigned by Contractor if none is indicated.
 - 2. Manufacturer and product name, and model number if applicable.
 - 3. Number and name of room or space.
 - 4. Location within room or space.
- F. Qualification Data: Prepare written information that demonstrates capabilities and experience of firm or person. Include lists of completed projects with project names and addresses, contact information of architects and owners, and other information specified.
- G. Design Data: Prepare and submit written and graphic information indicating compliance with indicated performance and design criteria in individual Specification Sections. Include list of assumptions and summary of loads. Include load diagrams if applicable. Provide name and version of software, if any, used for calculations. Number each page of submittal.
- H. Certificates:
 - 1. Certificates and Certifications Submittals: Submit a statement that includes signature of entity responsible for preparing certification. Certificates and certifications shall be signed by an officer or other individual authorized to sign documents on behalf of that entity. Provide a notarized signature where indicated.
 - 2. Installer Certificates: Submit written statements on manufacturer's letterhead certifying that Installer complies with requirements in the Contract Documents and, where required, is authorized by manufacturer for this specific Project.
 - 3. Manufacturer Certificates: Submit written statements on manufacturer's letterhead certifying that manufacturer complies with requirements in the Contract Documents. Include evidence of manufacturing experience where required.
 - 4. Material Certificates: Submit written statements on manufacturer's letterhead certifying that material complies with requirements in the Contract Documents.
 - 5. Product Certificates: Submit written statements on manufacturer's letterhead certifying that product complies with requirements in the Contract Documents.
 - 6. Welding Certificates: Prepare written certification that welding procedures and personnel comply with requirements in the Contract Documents. Submit record of Welding Procedure Specification and Procedure Qualification Record on AWS forms. Include names of firms and personnel certified.
- I. Test and Research Reports:
 - 1. Compatibility Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of compatibility tests performed before installation of product. Include written recommendations for primers and substrate preparation needed for adhesion.
 - 2. Field Test Reports: Submit written reports indicating and interpreting results of field tests performed either during installation of product or after product is installed in its final location, for compliance with requirements in the Contract Documents.
 - 3. Material Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting test results of material for compliance with requirements in the Contract Documents.
 - 4. Preconstruction Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of tests performed before installation of product, for compliance with performance requirements in the Contract Documents.
 - 5. Product Test Reports: Submit written reports indicating that current product produced by manufacturer complies with requirements in the Contract Documents. Base reports on evaluation of

tests performed by manufacturer and witnessed by a qualified testing agency, or on comprehensive tests performed by a qualified testing agency.

- 6. Research Reports: Submit written evidence, from a model code organization acceptable to authorities having jurisdiction, that product complies with building code in effect for Project. Include the following information:
 - a. Name of evaluation organization.
 - b. Date of evaluation.
 - c. Time period when report is in effect.
 - d. Product and manufacturers' names.
 - e. Description of product.
 - f. Test procedures and results.
 - g. Limitations of use.

1.7 DELEGATED-DESIGN SERVICES

- A. Performance and Design Criteria: Where professional design services or certifications by a design professional are specifically required of Contractor by the Contract Documents, provide products and systems complying with specific performance and design criteria indicated.
 - 1. If criteria indicated are insufficient to perform services or certification required, submit a written request for additional information to Architect.
- B. Delegated-Design Services Certification: In addition to Shop Drawings, Product Data, and other required submittals, submit digitally signed PDF file and three paper copies of certificate, signed and sealed by the responsible design professional, for each product and system specifically assigned to Contractor to be designed or certified by a design professional.
 - 1. Indicate that products and systems comply with performance and design criteria in the Contract Documents. Include list of codes, loads, and other factors used in performing these services.
- PART 2 PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

- 3.1 CONTRACTOR'S REVIEW AND APPROVAL
 - A. Action and Informational Submittals: Review each submittal and check for coordination with other Work of the Contract and for compliance with the Contract Documents. Note corrections and field dimensions. Mark with approval stamp and signature before submitting to Architect.
 - B. Project Closeout and Maintenance Material Submittals: See requirements in Section 017700 "Closeout Procedures."
 - C. Approval Stamp: Stamp each submittal with a uniform, approval stamp. Include Project name and location, submittal number, Specification Section title and number, name of reviewer, date of Contractor's approval, and the following statement:

"I certify that this Submittal, (<u>name and number</u>) for <u>(project name)</u> has been reviewed, checked, and approved for compliance with the Contract Documents and suitability for the intended installation including:

Initial each box:

- - a. Submittal is NOT a Substitution Request, Contractor Initiated Change or Alternate and is for the specified product, material or system.
 - b. Submittal is complete and NOT a piecemeal submission.

SUBMITTAL PROCEDURES

c. All components and options in the submittal to be reviewed are conspicuously marked and clearly called out.

d. The Submittal has been reviewed and I have approved & will verify the field dimensions and the construction criteria and have also made written notations regarding any information in the Shop drawings and Submittals that does not conform to the Contract Documents. This Shop Drawing or Submittal has been coordinated with all other Shop Drawings and Submittals received as of today by me as Contractor and this duty of coordination has not been delegated to Subcontractors, material suppliers, the Architect, the Engineers or the Inspector on this Project."

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| Signature: | |
|------------|-----------|
| Name: | |
| Title: | (officer) |
| Company: | |
| Date: | |

- D. Attach the Approval Stamp to the cover of each copy of each submittal.
 - 1. Affix signature to each Approval Stamp.
- E. Contractor shall <u>NOT</u> forward any submittal that is <u>NOT</u> in full compliance with these requirements and the contract documents. Submittal information determined to be un-reviewed by General Contractor prior to submittal will be returned with no action taken and re-submittal provisions shall apply.

3.2 ARCHITECT'S ACTION

- A. Action Submittals: Architect will review each submittal, make marks to indicate corrections or revisions required, and return an electronic copy only. Architect will not verify as-built field conditions, rough openings, or other field conditions which shall remain the responsibility of the contractor. Architect will stamp each submittal with an action stamp and will mark stamp appropriately to indicate action.
- B. Informational Submittals: Architect will review each submittal and will return it or will not return it if it does not comply with requirements. Architect will forward each submittal to appropriate party.
- C. Partial submittals prepared for a portion of the Work will be reviewed when use of partial submittals has received prior acceptance from Architect.
- D. Incomplete submittals are unacceptable, will be considered nonresponsive, and will be returned for resubmittal without review. Re-submittal provisions shall apply.
- E. Re-submittals that do not respond to <u>all</u> comments and/or markings from the initial or previous submittal will be considered non-responsive and be returned without further review. Contractor will be charged for each non-responsive re-submittal as described in Part I above.
- F. Submittals not required by the Contract Documents may be returned by the Architect without action.

END OF SECTION 013300

SECTION 014000 - QUALITY REQUIREMENTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Special Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for quality assurance and quality control.
- B. Testing and inspection services are required to verify compliance with requirements specified or indicated. These services do not relieve Contractor of responsibility for compliance with the Contract Document requirements.
 - 1. Specific quality-assurance and -control requirements for individual construction activities are specified in the Sections that specify those activities. Requirements in those Sections may also cover production of standard products.
 - 2. Specified tests, inspections, and related actions do not limit Contractor's other quality-assurance and -control procedures that facilitate compliance with the Contract Document requirements.
 - 3. Requirements for Contractor to provide quality-assurance and -control services required by Architect, Owner or authorities having jurisdiction are not limited by provisions of this Section.
 - 4. Specific test and inspection requirements are not specified in this Section.
 - 5. Test and Special Inspections (T&I) required by the Division of the State Architect (DSA).
 - 6. Water penetration resistance tests of windows and assemblies as indicated using American Architectural Manufacturer Association (AAMA 502 or AAMA-503). Testing conducted by qualified inspectors using a static test pressure to represent typical strong local storm winds at second floor windows. Submit detailed recognized protocols for approval.
- C. Related Requirements:
 - 1. Section 013300 "Submittal Procedures"
 - 2. Section 015000 "Temporary Facilities and Controls"

1.3 DEFINITIONS

- A. Experienced: When used with an entity or individual, "experienced" unless otherwise further described means having successfully completed a minimum of five previous projects similar in nature, size, and extent to this Project; being familiar with special requirements indicated; and having complied with requirements of authorities having jurisdiction.
- B. Field Quality-Control Tests: Tests and inspections that are performed on-site for installation of the Work and for completed Work.
- C. Installer/Applicator/Erector: Contractor or another entity engaged by Contractor as an employee, Subcontractor, or Sub-subcontractor, to perform a particular construction operation, including installation, erection, application, assembly, and similar operations.
 - 1. Use of trade-specific terminology in referring to a trade or entity does not require that certain construction activities be performed by accredited or unionized individuals, or that requirements specified apply exclusively to specific trade(s).
- D. Mockups: Full-size physical assemblies that are constructed on-site. Unless noted for project use, Mockups are not part of the project but constructed to verify selections made under Sample Submittals;

to demonstrate aesthetic effects and, where indicated, qualities of materials and execution; to review coordination, testing, or operation; to show installation, assemblies and interface between dissimilar and adjacent materials; and to demonstrate compliance with specified installation tolerances. Mockups are not Samples. Unless otherwise indicated, approved mockups will be to establish the standard by which the Work will be judged. Use of mockups for training & reference for actual workers conducting installations before starting. Maintain mockups until the end of the project or sooner if approved by the architect.

- 1. Laboratory Mockups: Full-size physical assemblies constructed and tested at testing facility to verify performance characteristics.
- 2. Integrated Exterior Mockups: Mockups of the exterior envelope constructed on-site as freestanding temporary built elements or as part of permanent construction, consisting of multiple products, assemblies, and subassemblies.
- 3. Room Mockups: Mockups of typical interior spaces complete with wall, floor, and ceiling finishes; doors; windows; millwork; casework; specialties; furnishings and equipment; and lighting.
- E. Preconstruction Testing: Tests and inspections performed specifically for Project before products and materials are incorporated into the Work, to verify performance or compliance with specified criteria.
- F. Product Tests: Tests and inspections that are performed by a nationally recognized testing laboratory (NRTL) according to 29 CFR 1910.7, by a testing agency accredited according to NIST's National Voluntary Laboratory Accreditation Program (NVLAP), or by a testing agency qualified to conduct product testing and acceptable to authorities having jurisdiction, to establish product performance and compliance with specified requirements.
- G. Source Quality-Control Tests: Tests and inspections that are performed at the source; for example, plant, mill, factory, or shop.
- H. Testing Agency: An entity engaged to perform specific tests, inspections, or both. Testing laboratory shall mean the same as testing agency.
- I. Quality-Assurance Services: Activities, actions, and procedures performed before and during execution of the Work to guard against defects and deficiencies and substantiate that proposed construction will comply with requirements.
- J. Quality-Control Services: Tests, inspections, procedures, and related actions during and after execution of the Work to evaluate that actual products incorporated into the Work and completed construction comply with requirements. Contractor's quality-control services do not include contract administration activities performed by Architect.

1.4 REGULATORY REQUIREMENTS

A. 2019 California Building Code and all other codes listed in "Codes & Standards" Section C. A copy shall be retained on site for reference by all parties having a reasonable need.

1.5 DELEGATED-DESIGN SERVICES

- A. Performance and Design Criteria: Where professional design services or certifications by a design professional are specifically required of Contractor by the Contract Documents, provide products and systems complying with specific performance and design criteria indicated.
 - 1. If criteria indicated are not sufficient to perform services or certification required, submit a written request for additional information to Architect.

1.6 CONFLICTING REQUIREMENTS

- A. Conflicting Standards and Other Requirements: If compliance with two or more standards or requirements are specified and the standards or requirements establish different or conflicting requirements for minimum quantities or quality levels, comply with the most stringent requirement. Refer conflicting requirements that are different, but apparently equal, to Architect for direction before proceeding.
- B. Minimum Quantity or Quality Levels: The quantity or quality level shown or specified shall be the minimum provided or performed. The actual installation may comply exactly with the minimum quantity or quality specified, or it may exceed the minimum within reasonable limits. To comply with these requirements, indicated numeric values are minimum or maximum, as appropriate, for the context of requirements. Refer uncertainties to Architect for a decision before proceeding.

1.7 ACTION SUBMITTALS

- A. Shop Drawings: For integrated exterior mockups, provide plans, sections, and elevations, indicating materials and size of mockup construction.
 - 1. Indicate manufacturer and model number of individual components.
 - 2. Provide axonometric drawings for conditions difficult to illustrate in two dimensions.

1.8 INFORMATIONAL SUBMITTALS

- A. Contractor's written Quality-Control Plan: For quality-assurance and quality-control activities and responsibilities.
- B. Qualification Data: For Contractor's quality-control personnel. Include specific training, credentials & experience noted below.
- C. Contractor's Statement of Responsibility: When required by authorities having jurisdiction, submit copy of written statement of responsibility submitted to authorities having jurisdiction before starting work on the following systems:
 - 1. Seismic-force-resisting system, designated seismic system, or component listed in the Statement of Special Inspections.
 - 2. Main wind-force-resisting system or a wind-resisting component listed in the Statement of Special Inspections.
- D. Testing Agency Qualifications: For testing agencies specified in "Quality Assurance" Article to demonstrate their capabilities and experience. Include proof of qualifications in the form of a recent report on the inspection of the testing agency by a recognized authority.
- E. Schedule of Tests and Inspections: Prepare in tabular form and include the following:
 - 1. Specification Section number and title.
 - 2. Entity responsible for performing tests and inspections.
 - 3. Description of test and inspection.
 - 4. Identification of applicable standards.
 - 5. Identification of test and inspection methods.
 - 6. Number of tests and inspections required.
 - 7. Time schedule or time span for tests and inspections.
 - 8. Requirements for obtaining samples.
 - 9. Unique characteristics of each quality-control service.
- F. Reports: Prepare and submit certified written reports and documents as specified.
- G. Permits, Licenses, and Certificates: For Owner's record, submit copies of permits, licenses, certifications, inspection reports, releases, jurisdictional settlements, notices, receipts for fee payments, judgments,

1.9 CONTRACTOR'S QUALITY-CONTROL PLAN

- A. Quality-Control Plan, General: Submit quality-control plan in writing within ten (10) days of Notice of Award, and not less than five (5) days prior to preconstruction conference. Submit in format acceptable to Architect. Identify personnel, procedures, controls, instructions, tests, records, and forms used to carry out Contractor's quality-assurance and quality-control responsibilities. Coordinate with Contractor's construction schedule.
- B. Quality-Control Personnel Qualifications: Engage qualified personnel trained and experienced in managing and executing quality-assurance and quality-control procedures on publicly funded project similar in nature and extent to those required for Project.
- C. Submittal Procedure: Describe procedures for ensuring compliance with requirements through review and management & scheduling of submittal process. Indicate qualifications of personnel responsible for submittal review.
- D. Testing and Inspection: In quality-control plan, include a comprehensive schedule of Work requiring testing or inspection, including the following:
 - 1. Contractor-performed tests and inspections including subcontractor-performed tests and inspections. Include required tests and inspections and Contractor-elected tests and inspections.
 - 2. Special inspections specified or required by authorities having jurisdiction and indicated on the "Statement of Special Inspections and list of required "Test and Inspections" (T&I list).
 - 3. Owner-performed tests and inspections indicated within the Contract Documents.
 - 4. Factory-Authorized Service Representative performed inspections and certifications.
 - 5. Moisture testing per Moisture-Protection Plan (MPP).
- E. Continuous Inspection of Workmanship: Describe process for continuous inspection during construction to identify and correct deficiencies in workmanship in addition to testing and inspection specified. Indicate types of corrective actions to be required to bring work into compliance with standards of workmanship established by Contract requirements and approved mockups.
- F. Monitoring and Documentation: Maintain testing and inspection reports including log of approved and rejected results. Include work Architect has indicated as nonconforming or defective. Indicate corrective actions taken to bring nonconforming work into compliance with requirements. Comply with requirements of authorities having jurisdiction.

1.10 REPORTS AND DOCUMENTS

- A. Test and Inspection Reports: Prepare and submit certified written reports specified in other Sections. Include the following:
 - 1. Date of issue.
 - 2. Project title and number.
 - 3. Name, address, telephone number, and email address of testing agency.
 - 4. Dates and locations of samples and tests or inspections.
 - 5. Names of individuals making tests and inspections.
 - 6. Description of the Work and test and inspection method.
 - 7. Identification of product and Specification Section.
 - 8. Complete test or inspection data.
 - 9. Test and inspection results and an interpretation of test results.
 - 10. Record of temperature and weather conditions at time of sample taking and testing and inspection.

- 11. Comments or professional opinion on whether tested or inspected Work complies with the Contract Document requirements.
- 12. Name and signature of laboratory inspector.
- 13. Recommendations for retesting and re-inspecting.
- B. Manufacturer's Technical Representative's Field Reports: Prepare written information documenting manufacturer's technical representative's tests and inspections specified below or in other Sections. Include the following:
 - 1. Name, address, telephone number, and email address of technical representative making report.
 - 2. Statement on condition of substrates and their acceptability for installation of product.
 - 3. Statement that products at Project site comply with requirements.
 - 4. Summary of installation procedures being followed, whether they comply with requirements and, if not, what corrective action was taken.
 - 5. Results of operational and other tests and a statement of whether observed performance complies with requirements.
 - 6. Statement whether conditions, products, and installation will affect warranty.
 - 7. Other required items indicated in individual Specification Sections.

1.11 QUALITY ASSURANCE

- A. General: Qualifications paragraphs in this article establish the minimum qualification levels required; individual Specification Sections specify additional requirements.
- B. Manufacturer Qualifications: A firm experienced in manufacturing products or systems similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units. As applicable, procure products from manufacturers able to meet qualification requirements, warranty requirements, and technical or factory-authorized service representative requirements.
- C. Fabricator Qualifications: A firm experienced in producing products similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units.
- D. Installer Qualifications: A firm or individual experienced in installing, erecting, applying, or assembling work similar in material, design, and extent to that indicated for this Project, whose work has resulted in construction with a record of successful in-service performance.
- E. Professional Engineer Qualifications: A professional engineer who is legally qualified to practice in jurisdiction where Project is located and who is experienced in providing engineering services of the kind indicated. Engineering services are defined as those performed for installations of the system, assembly, or product that are similar in material, design, and extent to those indicated for this Project.
- F. Specialists: Certain Specification Sections require that specific construction activities shall be performed by entities who are recognized experts in those operations. Specialists shall satisfy qualification requirements indicated and shall be engaged for the activities indicated.
 - 1. Requirements of authorities having jurisdiction shall supersede requirements for specialists.
- G. Testing Agency Qualifications: An NRTL, an NVLAP, or an independent agency with the experience and capability to conduct testing and inspecting indicated, as documented according to ASTM E 329, and with additional qualifications specified in individual Sections; and, where required by authorities having jurisdiction and acceptable to authorities.
- H. Manufacturer's Technical Representative Qualifications: An authorized representative of manufacturer who is trained and approved by manufacturer to observe and inspect installation of manufacturer's products that are similar in material, design, and extent to those indicated for this Project.

- I. Preconstruction Testing: Where testing agency is indicated to perform preconstruction testing for compliance with specified requirements for performance and test methods, comply with the following:
 - 1. Contractor responsibilities include the following:
 - a. Provide test specimens representative of proposed products and construction.
 - b. Submit specimens in a timely manner with sufficient time for testing and analyzing results to prevent delaying the Work.
 - c. Provide sizes and configurations of test assemblies, mockups, and laboratory mockups to adequately demonstrate capability of products to comply with performance requirements.
 - d. Build site-assembled test assemblies and mockups using installers who will perform same tasks for Project.
 - e. Build laboratory mockups at testing facility using personnel, products, and methods of construction indicated for the completed Work.
 - f. When testing is complete, remove test specimens, assemblies, and mockups; do not reuse products on Project.
 - 2. Testing Agency Responsibilities: Submit a certified written report of each test, inspection, and similar quality-assurance service to Architect, with copy to Contractor with copy to Contractor. Interpret tests and inspections and state in each report whether tested and inspected work complies with or deviates from the Contract Documents.
- J. Mockups: Before installing portions of the Work requiring mockups, build mockups for each form of construction and finish required to comply with the following requirements, using materials indicated for the completed Work:
 - 1. Build mockups in location and of size indicated or, if not indicated, as directed by Architect.
 - 2. Notify Architect seven days in advance of dates and times when mockups will be constructed and ready for review.
 - 3. Employ supervisory personnel who will oversee mockup construction. Employ workers that will be employed during the construction at Project.
 - 4. Demonstrate the proposed range of aesthetic effects and workmanship.
 - 5. Obtain Architect's and manufacturer's acceptance of mockups before starting work, fabrication, or construction.
 - 6. Maintain mockups during construction in an undisturbed condition as a standard for judging the completed Work.
 - 7. Demolish and remove mockups when directed unless otherwise indicated.

1.12 QUALITY CONTROL

- A. Owner Responsibilities: Where quality-control services are indicated as Owner's responsibility, Owner will engage a qualified testing agency to perform these services.
 - 1. Owner will furnish Contractor with names, addresses, and telephone numbers of testing agencies engaged and a description of types of testing and inspecting they are engaged to perform.
 - 2. Inspection: The owner will employ and pay for the services of a project inspector to perform services which are the owner's responsibility in accordance with the provisions of Section 4-333 and 4-342, title 24, Part 1, CCR.
 - 3. Testing: The owner will employ and pay for the services of an independent agency, testing laboratory or other qualified firm to perform services which are the owner's responsibility in accordance with the provisions of Section 4-335, title 24, Part 1, CCR.
 - 4. Costs for retesting and re-inspecting construction that replaces or is necessitated by work that failed to comply with the Contract Documents will be charged to Contractor, the Contract Sum will be adjusted by Change Order.

- B. Contractor Responsibilities: Tests and inspections not explicitly assigned to Owner are Contractor's responsibility. Perform additional quality-control activities, whether specified or not, to verify and document that the Work complies with requirements.
 - 1. Unless otherwise indicated, provide quality-control services specified and those required by authorities having jurisdiction. Perform quality-control services required of Contractor by authorities having jurisdiction, whether specified or not.
 - 2. Engage a qualified testing agency to perform quality-control services.
 - a. Contractor shall not employ same entity engaged by Owner, unless agreed to in writing by Owner.
 - 3. Notify testing agencies at least 24 hours in advance of time when Work that requires testing or inspecting will be performed.
 - 4. Where quality-control services are indicated as Contractor's responsibility, submit a certified written report, in duplicate, of each quality-control service.
 - 5. Testing and inspection requested by Contractor and not required by the Contract Documents are Contractor's responsibility.
 - 6. Submit additional copies of each written report directly to authorities having jurisdiction, when they so direct.
- C. Retesting/Reinspecting: Regardless of whether original tests or inspections were Contractor's responsibility, provide quality-control services, including re-testing and re-inspecting, for construction that replaced Work that failed to comply with the Contract Documents.
- D. Testing Agency Responsibilities: Cooperate with Architect and Contractor in performance of duties. Provide qualified personnel to perform required tests and inspections.
 - 1. Notify Architect and Contractor promptly of irregularities or deficiencies observed in the Work during performance of its services.
 - 2. Determine the locations from which test samples will be taken and in which in-situ tests are conducted.
 - 3. Conduct and interpret tests and inspections and state in each report whether tested and inspected work complies with or deviates from requirements.
 - 4. Submit a certified written report, in duplicate, of each test, inspection, and similar quality-control service through Contractor.
 - 5. Do not release, revoke, alter, or increase the Contract Document requirements or approve or accept any portion of the Work.
 - 6. Do not perform duties of Contractor.
- E. Manufacturer's Technical Representative: Where indicated, engage a manufacturer's factory-authorized technical representative to observe and inspect the Work and preparations for work. Manufacturer's technical service representative's role includes participation in pre-installation conferences, examination of mock-ups, substrates and conditions, verification of materials, observation of Installer activities, inspection of completed portions of the Work, and submittal of written reports and certifications.
 - 1. Pre-installation Certificate of Inspection (PCI): The Manufacturer's Technical Representative shall conduct on-site inspections of each location where products or systems are planned to be used to review the underlying conditions which may affect the proper installation, constructability, warrantee and serviceability of its products or systems. Inspection shall include but not be limited to substrates to receive specified product or system, rough-in dimensions, existing adjacent materials and suitability to properly integrate future materials.

This representative shall provide a written field reports with copies to the project inspector and architect documenting any deficiencies or concerns which must be addressed or corrected prior to the installation of their product or system. Correct deficiencies as required to secure

representative's approval. When corrections are complete this same representative shall re-inspect and when satisfied provide the following written certification:

| "Project: | |
|---------------------------|--|
| Product (or System): | |
| Model number and/or type: | |
| Manufacturer: | |

I, (name), (title), an official and manufacturer-authorized technical representative of the manufacturer and product noted above have personally inspected all conditions which may affect the proper installation, constructability, warrantee and expected serviceability of this product including but not limited to substrates to receive the product, rough-in dimensions, adjacent materials and conditions, and hereby find these conditions to be acceptable and approve the installation of our product or system.

By:_____ Date:

2. Final Certificate of Inspection (FCI): The Manufacturer's Technical Representative shall conduct onsite inspections of each location where products or systems have been installed. Inspection shall include but not be limited to final installation of specified product or system but suitability to properly integrate future materials.

This representative shall provide a written field report with copies to the project inspector and architect documenting any deficiencies or concerns which must be addressed or corrected in the installation of their product or system. After corrections are complete this same representative shall re-inspect and when satisfied provide the following written certification:

| " <i>Project</i> : | |
|---------------------------|--|
| Product (or System): | |
| Model number and/or type: | |
| Manufacturer: | |

I, (name), (title), am an official and manufacturer-authorized technical representative of the manufacturer and product noted above. I have personally inspected the final installation of this product, and hereby find it is fully warrantable, serviceable and in conformance with the manufacturer's recommendations and approve our product as installed.

By:_____

- Date:_______"
- 3. The following divisions and sections are subject to Manufacturer's Technical Representative inspections:
 - Sect. 079200 "Joint Sealants" a.

1.13 SPECIAL TESTS AND INSPECTIONS

- Special Tests and Inspections: Owner will engage a qualified special inspector to conduct special tests A. and inspections required by authorities having jurisdiction as the responsibility of Owner, and as follows:
 - Verifying that manufacturer maintains detailed fabrication and quality-control procedures and 1. reviewing the completeness and adequacy of those procedures to perform the Work.
 - Notifying Architect and Contractor promptly of irregularities and deficiencies observed in the 2. Work during performance of its services.
 - Submitting a certified written report of each test, inspection, and similar quality-control service to 3. Architect with copy to Contractor and to authorities having jurisdiction.

OUALITY REOUIREMENTS

- 4. Submitting a final report of special tests and inspections at Substantial Completion, which includes a list of unresolved deficiencies.
- 5. Interpreting tests and inspections and stating in each report whether tested and inspected work complies with or deviates from the Contract Documents.
- 6. Retesting and reinspecting corrected work.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

- 3.1 REPAIR AND PROTECTION
 - A. General: On completion of testing, inspection, sample taking, and similar services, repair damaged construction and restore substrates and finishes.
 - 1. Provide materials and comply with installation requirements specified in other Specification Sections or matching existing substrates and finishes. Restore patched areas and extend restoration into adjoining areas with durable seams that are as invisible as possible. Comply with the Contract Document requirements for cutting and patching in Section 017300 "Execution."
 - B. Protect construction exposed by or for quality-control service activities.
 - C. Repair and protection are Contractor's responsibility, regardless of the assignment of responsibility for quality-control services.

END OF SECTION 014000

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Special Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 DEFINITIONS

- A. General: Basic Contract definitions are included in the Conditions of the Contract.
- B. "Approved": When used to convey Architect's action on Contractor's submittals, applications, and requests, "approved" is limited to Architect's duties and responsibilities as stated in the Conditions of the Contract.
- C. "Directed": A command or instruction by Architect. Other terms including "requested," "authorized," "selected," "required," and "permitted" have the same meaning as "directed."
- D. "Indicated": Requirements expressed by graphic representations or in written form on Drawings, in Specifications, and in other Contract Documents. Other terms including "shown," "noted," "scheduled," and "specified" have the same meaning as "indicated."
- E. "Regulations": Laws, ordinances, statutes, and lawful orders issued by authorities having jurisdiction, and rules, conventions, and agreements within the construction industry that control performance of the Work.
- F. "Furnish": Supply and deliver to Project site, ready for unloading, unpacking, assembly, installation, and similar operations.
- G. "Install": Unload, temporarily store, unpack, assemble, erect, place, anchor, apply, work to dimension, finish, cure, protect, clean, and similar operations at Project site.
- H. "Provide": Furnish and install, complete and ready for the intended use.
- I. "Project Site": Space available for performing construction activities. The extent of Project site is shown on Drawings and may or may not be identical with the description of the land on which Project is to be built.

1.3 INDUSTRY STANDARDS

- A. Applicability of Standards: Unless the Contract Documents include more stringent requirements, applicable construction industry standards have the same force and effect as if bound or copied directly into the Contract Documents to the extent referenced. Such standards are made a part of the Contract Documents by reference.
- B. Publication Dates: Comply with standards in effect as of date of the Contract Documents unless otherwise indicated.
- C. Copies of Standards: Each entity engaged in construction on Project should be familiar with industry standards applicable to its construction activity. Copies of applicable standards are not bound with the Contract Documents.
 - 1. Where copies of standards are needed to perform a required construction activity, obtain copies directly from publication source.

1.4 ABBREVIATIONS AND ACRONYMS

- A. Industry Organizations: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities indicated in Gale's "Encyclopedia of Associations: National Organizations of the U.S." or in Columbia Books' "National Trade & Professional Associations of the United States."
- B. Industry Organizations: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. Abbreviations and acronyms not included in this list shall mean the recognized name of the entities indicated in Gale's "Encyclopedia of Associations: National Organizations of the U.S." or in Columbia Books' "National Trade & Professional Associations of the United States." The information in this list is subject to change and is believed to be accurate as of the date of the Contract Documents.
 - 1. AABC Associated Air Balance Council; <u>www.aabc.com</u>.
 - 2. AAMA American Architectural Manufacturers Association; <u>www.aamanet.org</u>.
 - 3. AAPFCO Association of American Plant Food Control Officials; <u>www.aapfco.org</u>.
 - 4. AASHTO American Association of State Highway and Transportation Officials; <u>www.transportation.org</u>.
 - 5. AATCC American Association of Textile Chemists and Colorists; <u>www.aatcc.org</u>.
 - 6. ABMA American Bearing Manufacturers Association; <u>www.americanbearings.org</u>.
 - 7. ABMA American Boiler Manufacturers Association; www.abma.com.
 - 8. ACI American Concrete Institute; (Formerly: ACI International); <u>www.concrete.org</u>.
 - 9. ACPA American Concrete Pipe Association; <u>www.concrete-pipe.org</u>.
 - 10. AEIC Association of Edison Illuminating Companies, Inc. (The); www.aeic.org.
 - 11. AF&PA American Forest & Paper Association; www.afandpa.org.
 - 12. AGA American Gas Association; <u>www.aga.org</u>.
 - 13. AHAM Association of Home Appliance Manufacturers; <u>www.aham.org</u>.
 - 14. AHRI Air-Conditioning, Heating, and Refrigeration Institute (The); <u>www.ahrinet.org</u>.
 - 15. AI Asphalt Institute; <u>www.asphaltinstitute.org</u>.
 - 16. AIA American Institute of Architects (The); www.aia.org.
 - 17. AISC American Institute of Steel Construction; www.aisc.org.
 - 18. AISI American Iron and Steel Institute; <u>www.steel.org</u>.
 - 19. AITC American Institute of Timber Construction; www.aitc-glulam.org.
 - 20. AMCA Air Movement and Control Association International, Inc.; www.amca.org.
 - 21. ANSI American National Standards Institute; www.ansi.org.
 - 22. AOSA Association of Official Seed Analysts, Inc.; www.aosaseed.com.
 - 23. APA APA The Engineered Wood Association; www.apawood.org.
 - 24. APA Architectural Precast Association; <u>www.archprecast.org</u>.
 - 25. API American Petroleum Institute; <u>www.api.org</u>.
 - 26. ARI Air-Conditioning & Refrigeration Institute; (See AHRI).
 - 27. ARI American Refrigeration Institute; (See AHRI).
 - 28. ARMA Asphalt Roofing Manufacturers Association; www.asphaltroofing.org.
 - 29. ASCE American Society of Civil Engineers; <u>www.asce.org</u>.
 - 30. ASCE/SEI American Society of Civil Engineers/Structural Engineering Institute; (See ASCE).
 - 31. ASHRAE American Society of Heating, Refrigerating and Air-Conditioning Engineers; <u>www.ashrae.org</u>.
 - 32. ASME ASME International; (American Society of Mechanical Engineers); www.asme.org.
 - 33. ASSE American Society of Safety Engineers (The); www.asse.org.
 - 34. ASSE American Society of Sanitary Engineering; <u>www.asse-plumbing.org</u>.
 - 35. ASTM ASTM International; <u>www.astm.org</u>.
 - 36. ATIS Alliance for Telecommunications Industry Solutions; <u>www.atis.org</u>.
 - 37. AWEA American Wind Energy Association; <u>www.awea.org</u>.
 - 38. AWI Architectural Woodwork Institute; <u>www.awinet.org</u>.

- 39. AWMAC Architectural Woodwork Manufacturers Association of Canada; www.awmac.com.
- 40. AWPA American Wood Protection Association; www.awpa.com.
- 41. AWS American Welding Society; <u>www.aws.org</u>.
- 42. AWWA American Water Works Association; <u>www.awwa.org</u>.
- 43. BHMA Builders Hardware Manufacturers Association; www.buildershardware.com.
- 44. BIA Brick Industry Association (The); www.gobrick.com.
- 45. BICSI BICSI, Inc.; <u>www.bicsi.org</u>.
- 46. BIFMA BIFMA International; (Business and Institutional Furniture Manufacturer's Association); www.bifma.org.
- 47. BISSC Baking Industry Sanitation Standards Committee; <u>www.bissc.org</u>.
- 48. BWF Badminton World Federation; (Formerly: International Badminton Federation); www.bissc.org.
- 49. CDA Copper Development Association; <u>www.copper.org</u>.
- 50. CE Conformite Europeenne; http://ec.europa.eu/growth/single-market/ce-marking/.
- 51. CEA Canadian Electricity Association; <u>www.electricity.ca</u>.
- 52. CEA Consumer Electronics Association; <u>www.ce.org</u>.
- 53. CFFA Chemical Fabrics and Film Association, Inc.; <u>www.chemicalfabricsandfilm.com</u>.
- 54. CFSEI Cold-Formed Steel Engineers Institute; <u>www.cfsei.org</u>.
- 55. CGA Compressed Gas Association; <u>www.cganet.com</u>.
- 56. CIMA Cellulose Insulation Manufacturers Association; <u>www.cellulose.org</u>.
- 57. CISCA Ceilings & Interior Systems Construction Association; www.cisca.org.
- 58. CISPI Cast Iron Soil Pipe Institute; <u>www.cispi.org</u>.
- 59. CLFMI Chain Link Fence Manufacturers Institute; www.chainlinkinfo.org.
- 60. CPA Composite Panel Association; www.pbmdf.com.
- 61. CRI Carpet and Rug Institute (The); <u>www.carpet-rug.org</u>.
- 62. CRRC Cool Roof Rating Council; <u>www.coolroofs.org</u>.
- 63. CRSI Concrete Reinforcing Steel Institute; <u>www.crsi.org</u>.
- 64. CSA CSA Group; <u>www.csagroup.com</u>.
- 65. CSA CSA International; (Formerly: IAS International Approval Services); <u>www.csa-international.org</u>.
- 66. CSI Construction Specifications Institute (The); <u>www.csinet.org</u>.
- 67. CSSB Cedar Shake & Shingle Bureau; <u>www.cedarbureau.org</u>.
- 68. CTI Cooling Technology Institute; (Formerly: Cooling Tower Institute); www.cti.org.
- 69. CWC Composite Wood Council; (See CPA).
- 70. DASMA Door and Access Systems Manufacturers Association; <u>www.dasma.com</u>.
- 71. DHI Door and Hardware Institute; <u>www.dhi.org</u>.
- 72. ECA Electronic Components Association; (See ECIA).
- 73. ECAMA Electronic Components Assemblies & Materials Association; (See ECIA).
- 74. ECIA Electronic Components Industry Association; www.eciaonline.org.
- 75. EIA Electronic Industries Alliance; (See TIA).
- 76. EIMA EIFS Industry Members Association; <u>www.eima.com</u>.
- 77. EJMA Expansion Joint Manufacturers Association, Inc.; www.ejma.org.
- 78. ESD ESD Association; (Electrostatic Discharge Association); www.esda.org.
- 79. ESTA Entertainment Services and Technology Association; (See PLASA).
- 80. ETL Intertek (See Intertek); <u>www.intertek.com</u>.
- 81. EVO Efficiency Valuation Organization; <u>www.evo-world.org</u>.
- 82. FCI Fluid Controls Institute; www.fluidcontrolsinstitute.org.
- 83. FIBA Federation Internationale de Basketball; (The International Basketball Federation); <u>www.fiba.com</u>.
- 84. FIVB Federation Internationale de Volleyball; (The International Volleyball Federation); www.fivb.org.
- 85. FM Approvals FM Approvals LLC; www.fmglobal.com.
- 86. FM Global FM Global; (Formerly: FMG FM Global); www.fmglobal.com.

- 87. FRSA Florida Roofing, Sheet Metal & Air Conditioning Contractors Association, Inc.; <u>www.floridaroof.com</u>.
- 88. FSA Fluid Sealing Association; www.fluidsealing.com.
- 89. FSC Forest Stewardship Council U.S.; <u>www.fscus.org</u>.
- 90. GA Gypsum Association; <u>www.gypsum.org</u>.
- 91. GANA Glass Association of North America; <u>www.glasswebsite.com</u>.
- 92. GS Green Seal; <u>www.greenseal.org</u>.
- 93. HI Hydraulic Institute; <u>www.pumps.org</u>.
- 94. HI/GAMA Hydronics Institute/Gas Appliance Manufacturers Association; (See AHRI).
- 95. HMMA Hollow Metal Manufacturers Association; (See NAAMM).
- 96. HPVA Hardwood Plywood & Veneer Association; www.hpva.org.
- 97. HPW H. P. White Laboratory, Inc.; <u>www.hpwhite.com</u>.
- 98. IAPSC International Association of Professional Security Consultants; <u>www.iapsc.org</u>.
- 99. IAS International Accreditation Service; <u>www.iasonline.org</u>.
- 100. IAS International Approval Services; (See CSA).
- 101. ICBO International Conference of Building Officials; (See ICC).
- 102. ICC International Code Council; <u>www.iccsafe.org</u>.
- 103. ICEA Insulated Cable Engineers Association, Inc.; www.icea.net.
- 104. ICPA International Cast Polymer Alliance; <u>www.icpa-hq.org</u>.
- 105. ICRI International Concrete Repair Institute, Inc.; www.icri.org.
- 106. IEC International Electrotechnical Commission; <u>www.iec.ch</u>.
- 107. IEEE Institute of Electrical and Electronics Engineers, Inc. (The); <u>www.ieee.org</u>.
- 108. IES Illuminating Engineering Society; (Formerly: Illuminating Engineering Society of North America); <u>www.ies.org</u>.
- 109. IESNA Illuminating Engineering Society of North America; (See IES).
- 110. IEST Institute of Environmental Sciences and Technology; www.iest.org.
- 111. IGMA Insulating Glass Manufacturers Alliance; <u>www.igmaonline.org</u>.
- 112. IGSHPA International Ground Source Heat Pump Association; www.igshpa.okstate.edu.
- 113. ILI Indiana Limestone Institute of America, Inc.; www.iliai.com.
- 114. Intertek Intertek Group; (Formerly: ETL SEMCO; Intertek Testing Service NA); www.intertek.com.
- 115. ISA International Society of Automation (The); (Formerly: Instrumentation, Systems, and Automation Society); <u>www.isa.org</u>.
- 116. ISAS Instrumentation, Systems, and Automation Society (The); (See ISA).
- 117. ISFA International Surface Fabricators Association; (Formerly: International Solid Surface Fabricators Association); <u>www.isfanow.org</u>.
- 118. ISO International Organization for Standardization; www.iso.org.
- 119. ISSFA International Solid Surface Fabricators Association; (See ISFA).
- 120. ITU International Telecommunication Union; www.itu.int/home.
- 121. KCMA Kitchen Cabinet Manufacturers Association; www.kcma.org.
- 122. LMA Laminating Materials Association; (See CPA).
- 123. LPI Lightning Protection Institute; <u>www.lightning.org</u>.
- 124. MBMA Metal Building Manufacturers Association; www.mbma.com.
- 125. MCA Metal Construction Association; www.metalconstruction.org.
- 126. MFMA Maple Flooring Manufacturers Association, Inc.; www.maplefloor.org.
- 127. MFMA Metal Framing Manufacturers Association, Inc.; <u>www.metalframingmfg.org</u>.
- 128. MHIA Material Handling Industry of America; <u>www.mhia.org</u>.
- 129. MIA Marble Institute of America; <u>www.marble-institute.com</u>.
- 130. MMPA Moulding & Millwork Producers Association; www.wmmpa.com.
- 131. MPI Master Painters Institute; <u>www.paintinfo.com</u>.
- 132. MSS Manufacturers Standardization Society of The Valve and Fittings Industry Inc.; <u>www.mss-hq.org</u>.
- 133. NAAMM National Association of Architectural Metal Manufacturers; www.naamm.org.

- 134. NACE NACE International; (National Association of Corrosion Engineers International); www.nace.org.
- 135. NADCA National Air Duct Cleaners Association; www.nadca.com.
- 136. NAIMA North American Insulation Manufacturers Association; <u>www.naima.org</u>.
- 137. NBGQA National Building Granite Quarries Association, Inc.; www.nbgqa.com.
- 138. NBI New Buildings Institute; www.newbuildings.org.
- 139. NCAA National Collegiate Athletic Association (The); www.ncaa.org.
- 140. NCMA National Concrete Masonry Association; www.ncma.org.
- 141. NEBB National Environmental Balancing Bureau; www.nebb.org.
- 142. NECA National Electrical Contractors Association; <u>www.necanet.org</u>.
- 143. NeLMA Northeastern Lumber Manufacturers Association; www.nelma.org.
- 144. NEMA National Electrical Manufacturers Association; www.nema.org.
- 145. NETA InterNational Electrical Testing Association; www.netaworld.org.
- 146. NFHS National Federation of State High School Associations; www.nfhs.org.
- 147. NFPA National Fire Protection Association; <u>www.nfpa.org</u>.
- 148. NFPA NFPA International; (See NFPA).
- 149. NFRC National Fenestration Rating Council; www.nfrc.org.
- 150. NHLA National Hardwood Lumber Association; www.nhla.com.
- 151. NLGA National Lumber Grades Authority; www.nlga.org.
- 152. NOFMA National Oak Flooring Manufacturers Association; (See NWFA).
- 153. NOMMA National Ornamental & Miscellaneous Metals Association; www.nomma.org.
- 154. NRCA National Roofing Contractors Association; www.nrca.net.
- 155. NRMCA National Ready Mixed Concrete Association; www.nrmca.org.
- 156. NSF NSF International; <u>www.nsf.org</u>.
- 157. NSPE National Society of Professional Engineers; www.nspe.org.
- 158. NSSGA National Stone, Sand & Gravel Association; www.nssga.org.
- 159. NTMA National Terrazzo & Mosaic Association, Inc. (The); www.ntma.com.
- 160. NWFA National Wood Flooring Association; <u>www.nwfa.org</u>.
- 161. PCI Precast/Prestressed Concrete Institute; www.pci.org.
- 162. PDI Plumbing & Drainage Institute; <u>www.pdionline.org</u>.
- 163. PLASA PLASA; (Formerly: ESTA Entertainment Services and Technology Association); www.plasa.org.
- 164. RCSC Research Council on Structural Connections; <u>www.boltcouncil.org</u>.
- 165. RFCI Resilient Floor Covering Institute; www.rfci.com.
- 166. RIS Redwood Inspection Service; <u>www.redwoodinspection.com</u>.
- 167. SAE SAE International; <u>www.sae.org</u>.
- 168. SCTE Society of Cable Telecommunications Engineers; www.scte.org.
- 169. SDI Steel Deck Institute; <u>www.sdi.org</u>.
- 170. SDI Steel Door Institute; <u>www.steeldoor.org</u>.
- 171. SEFA Scientific Equipment and Furniture Association (The); www.sefalabs.com.
- 172. SEI/ASCE Structural Engineering Institute/American Society of Civil Engineers; (See ASCE).
- 173. SIA Security Industry Association; <u>www.siaonline.org</u>.
- 174. SJI Steel Joist Institute; www.steeljoist.org.
- 175. SMA Screen Manufacturers Association; www.smainfo.org.
- 176. SMACNA Sheet Metal and Air Conditioning Contractors' National Association; www.smacna.org.
- 177. SMPTE Society of Motion Picture and Television Engineers; www.smpte.org.
- 178. SPFA Spray Polyurethane Foam Alliance; www.sprayfoam.org.
- 179. SPIB Southern Pine Inspection Bureau; www.spib.org.
- 180. SPRI Single Ply Roofing Industry; <u>www.spri.org</u>.
- 181. SRCC Solar Rating & Certification Corporation; <u>www.solar-rating.org</u>.
- 182. SSINA Specialty Steel Industry of North America; www.ssina.com.
- 183. SSPC SSPC: The Society for Protective Coatings; <u>www.sspc.org</u>.

- 184. STI Steel Tank Institute; <u>www.steeltank.com</u>.
- 185. SWI Steel Window Institute; <u>www.steelwindows.com</u>.
- 186. SWPA Submersible Wastewater Pump Association; www.swpa.org.
- 187. TCA Tilt-Up Concrete Association; www.tilt-up.org.
- 188. TCNA Tile Council of North America, Inc.; www.tileusa.com.
- 189. TEMA Tubular Exchanger Manufacturers Association, Inc.; www.tema.org.
- 190. TIA Telecommunications Industry Association (The); (Formerly: TIA/EIA Telecommunications Industry Association/Electronic Industries Alliance); www.tiaonline.org.
- 191. TIA/EIA Telecommunications Industry Association/Electronic Industries Alliance; (See TIA).
- 192. TMS The Masonry Society; www.masonrysociety.org.
- 193. TPI Truss Plate Institute; <u>www.tpinst.org</u>.
- 194. TPI Turfgrass Producers International; www.turfgrasssod.org.
- 195. TRI Tile Roofing Institute; <u>www.tileroofing.org</u>.
- 196. UL Underwriters Laboratories Inc.; <u>www.ul.com</u>.
- 197. UNI Uni-Bell PVC Pipe Association; www.uni-bell.org.
- 198. USAV USA Volleyball; www.usavolleyball.org.
- 199. USGBC U.S. Green Building Council; <u>www.usgbc.org</u>.
- 200. USITT United States Institute for Theatre Technology, Inc.; www.usitt.org.
- 201. WA Wallcoverings Association; www.wallcoverings.org.
- 202. WASTEC Waste Equipment Technology Association; www.wastec.org.
- 203. WCLIB West Coast Lumber Inspection Bureau; <u>www.wclib.org</u>.
- 204. WCMA Window Covering Manufacturers Association; www.wcmanet.org.
- 205. WDMA Window & Door Manufacturers Association; www.wdma.com.
- 206. WI Woodwork Institute; <u>www.wicnet.org</u>.
- 207. WSRCA Western States Roofing Contractors Association; <u>www.wsrca.com</u>.
- 208. WWPA Western Wood Products Association; <u>www.wwpa.org</u>.
- C. Code Agencies: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. This information is believed to be accurate as of the date of the Contract Documents.
 - 1. DIN Deutsches Institut fur Normung e.V.; www.din.de.
 - 2. IAPMO International Association of Plumbing and Mechanical Officials; www.iapmo.org.
 - 3. ICC International Code Council; <u>www.iccsafe.org</u>.
 - 4. ICC-ES ICC Evaluation Service, LLC; <u>www.icc-es.org</u>.
- D. Federal Government Agencies: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. Information is subject to change and is up to date as of the date of the Contract Documents.
 - 1. COE Army Corps of Engineers; <u>www.usace.army.mil</u>.
 - 2. CPSC Consumer Product Safety Commission; <u>www.cpsc.gov</u>.
 - 3. DOC Department of Commerce; National Institute of Standards and Technology; <u>www.nist.gov</u>.
 - 4. DOD Department of Defense; <u>www.quicksearch.dla.mil</u>.
 - 5. DOE Department of Energy; <u>www.energy.gov</u>.
 - 6. EPA Environmental Protection Agency; <u>www.epa.gov</u>.
 - 7. FAA Federal Aviation Administration; <u>www.faa.gov</u>.
 - 8. FG Federal Government Publications; <u>www.gpo.gov/fdsys</u>.
 - 9. GSA General Services Administration; <u>www.gsa.gov</u>.
 - 10. HUD Department of Housing and Urban Development; <u>www.hud.gov</u>.
 - 11. LBL Lawrence Berkeley National Laboratory; Environmental Energy Technologies Division; <u>www.eetd.lbl.gov</u>.
 - 12. OSHA Occupational Safety & Health Administration; www.osha.gov.
 - 13. SD Department of State; <u>www.state.gov</u>.

- 14. TRB Transportation Research Board; National Cooperative Highway Research Program; The National Academies; <u>www.trb.org</u>.
- 15. USDA Department of Agriculture; Agriculture Research Service; U.S. Salinity Laboratory; <u>www.ars.usda.gov</u>.
- 16. USDA Department of Agriculture; Rural Utilities Service; <u>www.usda.gov</u>.
- 17. USDOJ Department of Justice; Office of Justice Programs; National Institute of Justice; <u>www.ojp.usdoj.gov</u>.
- 18. USP U.S. Pharmacopeial Convention; <u>www.usp.org</u>.
- 19. USPS United States Postal Service; <u>www.usps.com</u>.
- E. Standards and Regulations: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the standards and regulations in the following list. This information is subject to change and is believed to be accurate as of the date of the Contract Documents.
 - 1. CFR Code of Federal Regulations; Available from Government Printing Office; <u>www.gpo.gov/fdsys</u>.
 - 2. DOD Department of Defense; Military Specifications and Standards; Available from DLA Document Services; <u>www.quicksearch.dla.mil</u>.
 - 3. DSCC Defense Supply Center Columbus; (See FS).
 - 4. FED-STD Federal Standard; (See FS).
 - 5. FS Federal Specification; Available from DLA Document Services; <u>www.quicksearch.dla.mil</u>.
 - a. Available from Defense Standardization Program; <u>www.dsp.dla.mil</u>.
 - b. Available from General Services Administration; <u>www.gsa.gov</u>.
 - c. Available from National Institute of Building Sciences/Whole Building Design Guide; <u>www.wbdg.org</u>.
 - 6. MILSPEC Military Specification and Standards; (See DOD).
 - 7. USAB United States Access Board; <u>www.access-board.gov</u>.
 - 8. USATBCB U.S. Architectural & Transportation Barriers Compliance Board; (See USAB).
- F. State Government Agencies: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. This information is subject to change and is believed to be accurate as of the date of the Contract Documents.
 - 1. CBHF; State of California; Department of Consumer Affairs; Bureau of Electronic and Appliance Repair, Home Furnishings and Thermal Insulation; <u>www.bearhfti.ca.gov</u>.
 - 2. CCR; California Code of Regulations; Office of Administrative Law; California Title 24 Energy Code; <u>www.calregs.com</u>.
 - 3. CDHS; California Department of Health Services; (See CDPH).
 - 4. CDPH; California Department of Public Health; Indoor Air Quality Program; <u>www.cal-iaq.org</u>.
 - 5. CPUC; California Public Utilities Commission; <u>www.cpuc.ca.gov</u>.
 - 6. SCAQMD; South Coast Air Quality Management District; <u>www.aqmd.gov</u>.
 - 7. TFS; Texas A&M Forest Service; Sustainable Forestry and Economic Development; www.txforestservice.tamu.edu.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 014200

SECTION 015000 - TEMPORARY FACILITIES AND CONTROLS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Special Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes requirements for temporary utilities, support facilities, and security and protection facilities.
- B. Related Requirements:
 - 1. Section 011000 "Summary" for work restrictions and limitations on utility interruptions.
 - 2. Section 312000 "Earth Moving" for disposal of ground water at Project site.

1.3 USE CHARGES

- A. General: Installation and removal of and use charges for temporary facilities shall be included in the Contract Sum unless otherwise indicated. Allow other entities to use temporary services and facilities without cost, including, but not limited to, Owner's construction forces (Project Inspector), Architect, testing agencies, and authorities having jurisdiction.
- B. Water and Sewer Service from Existing System: Water from Owner's existing water system is available for use without metering and without payment of use charges. Provide connections and extensions of services as required for construction operations.
- C. Electric Power Service from Existing System: Electric power from Owner's existing system is available for use without metering and without payment of use charges. Provide connections and extensions of services as required for construction operations.

1.4 INFORMATIONAL SUBMITTALS

- A. Site Utilization Plan: Show temporary facilities, temporary utility lines and connections, staging areas, construction site entrances, vehicle circulation, and parking areas for construction personnel.
- B. Implementation and Termination Schedule: Within thirty (30) days of date established for commencement of the Work, submit schedule indicating implementation and termination dates of each temporary utility.
- C. Project Identification and Temporary Signs: Show installation details.
- D. Fire-Safety Program: Show compliance with requirements of NFPA 241 and authorities having jurisdiction. Indicate Contractor personnel responsible for management of fire-prevention program.
- E. Moisture Protection Plan: Describe procedures and controls for protecting materials and construction from water absorption and damage and mold.
 - 1. Describe delivery, handling, and storage provisions for all materials subject to moisture absorption, water absorption, or water damage.
 - 2. Indicate procedures for discarding water-damaged materials, protocols for preventing moisture or water intrusion into completed Work and replacing water-damaged Work.

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- 3. Indicate sequencing of work that requires water, such as plastering, painting, tile and sawing or grinding, and describe plans for dealing with water from these operations. Show procedures for verifying that wet construction has dried sufficiently to permit installation of finish materials.
- 4. Include plan to schedule and secure regular moisture testing by Project Inspector before installations confirming substrates and materials comply with specifications and manufacturer's recommendations, whichever is less. Repeat tests for materials as installation proceeds. Keep log book recording results of moisture tests including date, material tested, specified moisture levels, actual moisture levels and where installed. Each test recorded in log to be initialed and dated by Project Inspector. Review log in construction progress meetings.
- 5. Do not install or cover materials that exceed specified moisture levels or manufacturer's published recommendations, whichever is less
- F. Dust- and HVAC-Control Plan: Submit coordination drawing and narrative that indicates the dust- and HVAC-control measures proposed for use, proposed locations, and proposed time frame for their operation. Include the following:
 - 1. Locations of dust-control partitions at each phase of work.
 - 2. HVAC system isolation schematic drawing.
 - 3. Location of proposed air-filtration system discharge.
 - 4. Waste-handling procedures.
 - 5. Other dust-control measures.

1.5 QUALITY ASSURANCE

- A. Electric Service: Comply with NECA, NEMA, and UL standards and regulations for temporary electric service. Install service to comply with NFPA 70.
- B. Tests and Inspections: Arrange for authorities having jurisdiction to test and inspect each temporary utility before use. Obtain required certifications and permits.
- C. Accessible Temporary Egress: Comply with applicable provisions in the United States Access Board's ADA-ABA Accessibility Guidelines.

1.6 PROJECT CONDITIONS

A. Temporary Use of Permanent Facilities: Engage Installer of each permanent service to assume responsibility for operation, maintenance, and protection of each permanent service during its use as a construction facility before Owner's acceptance, regardless of previously assigned responsibilities.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. Chain-Link Fencing: Maximum 1¹/₂-inch Minimum 0.148-inch- thick, galvanized-steel, chain-link fabric fencing; minimum 6 feet high with galvanized-steel pipe posts; minimum 2-3/8-inch- OD line posts and 2-7/8-inch- OD corner and pull posts.
- B. Portable Chain-Link Fencing: Maximum 1 ¹/₂ -inch , Minimum 0.148-inch thick, galvanized-steel, chainlink fabric fencing; minimum 6 feet high with galvanized-steel pipe posts; minimum 2-3/8-inch- OD line posts and 2-7/8-inch- OD corner and pull posts, with 1-5/8-inch-OD top and bottom rails. Provide concrete or galvanized-steel bases for supporting posts.
- C. Fencing Windscreen Privacy Screen: Polyester fabric scrim with grommets for attachment to chain link fence, sized to height of fence, in color selected by Architect from manufacturer's standard colors.

- D. Wood Enclosure Fence: Plywood, 6 feet high, framed with four 2-by-4-inch rails, with preservative-treated wood posts spaced not more than 8 feet apart.
- E. Polyethylene Sheet: Reinforced, fire-resistive sheet, 10-mil minimum thickness, with flame-spread rating of 15 or less per ASTM E 84 and passing NFPA 701 Test Method 2.
- F. Dust-Control Adhesive-Surface Walk-Off Mats: Provide mats minimum 60 by 60 inches.
- G. Insulation: Unfaced mineral-fiber blanket, manufactured from glass, slag wool, or rock wool; with maximum flame-spread and smoke-developed indexes of 25 and 50, respectively.

2.2 TEMPORARY FACILITIES

- A. Field Offices, General: Prefabricated or mobile units with serviceable finishes, temperature controls, and foundations adequate for normal loading.
- B. Common-Use Field Office: Of sufficient size to accommodate needs of Owner, Architect, and construction personnel office activities and to accommodate Project meetings specified in other Division 01 Sections. Keep office clean and orderly. Furnish and equip offices as follows:
 - 1. Furniture required for Project-site documents including file cabinets, plan tables, plan racks, and bookcases.
 - 2. Conference room of sufficient size to accommodate meetings of twelve (12) individuals. Provide electrical power service and 120-V ac duplex receptacles, with no fewer than one receptacle on each wall. Furnish room with conference table, chairs, and 4-foot- square tack and marker boards.
 - 3. Drinking water and private toilet.
 - 4. Heating and cooling equipment necessary to maintain a uniform indoor temperature of 68 to 72 deg F.
 - 5. Lighting fixtures capable of maintaining average illumination of 20 fc at desk height.
- C. Project Inspectors Field Office: Provide and maintain a separate room or building of not less than 250 sq. ft. with a separate lockable door for Project Inspector. Furnish and maintain fully functioning office with equipment of standard quality as follows:
 - 1. Furniture required for Project-site documents including file cabinet, plan table, computer desk with return desk, chairs, plan rack, and two adjustable six-shelf bookcases.
 - 2. Communications: Phone & fax and high-speed internet connections.
 - 3. Provide electrical power service and 120-V ac duplex receptacles, with no less than one receptacle on each wall.
 - 4. Drinking water or direct access to contractor's supply.
 - 5. Sanitary facilities; access to sanitary facilities with hand-washing.
 - 6. Heating and cooling equipment necessary to maintain a uniform indoor temperature of 68 to 72 deg F (20 to 22 deg C).
 - 7. Lighting fixtures capable of maintaining average illumination of 20 fc at desk height.
- D. Storage and Fabrication Sheds: Provide sheds sized, furnished, and equipped to accommodate materials and equipment for construction operations.
 - 1. Store combustible materials apart from building.
 - 2. Store hygroscopic materials (other than framing lumber) in storage sheds or interior spaces.
 - 3. Keep framing lumber covered until incorporation into the work.

2.3 EQUIPMENT

A. Fire Extinguishers: Portable, UL rated; with class and extinguishing agent as required by locations and classes of fire exposures.

PART 3 - EXECUTION

3.1 TEMPORARY FACILITIES, GENERAL

- A. Conservation: Coordinate construction and use of temporary facilities with consideration given to conservation of energy, water, and materials. Coordinate use of temporary utilities to minimize waste.
 - 1. Salvage materials and equipment involved in performance of, but not actually incorporated into, the Work. See other Sections for disposition of salvaged materials that are designated as Owner's property.

3.2 INSTALLATION, GENERAL

- A. Locate facilities where they will serve Project adequately and result in minimum interference with performance of the Work. Relocate and modify facilities as required by progress of the Work.
 - 1. Locate facilities to limit site disturbance as specified in Section 011000 "Summary."
- B. Provide each facility ready for use when needed to avoid delay. Do not remove until facilities are no longer needed or are replaced by authorized use of completed permanent facilities.

3.3 TEMPORARY UTILITY INSTALLATION

- A. General: Install temporary service or connect to existing service.
 - 1. Arrange with utility company, Owner, and existing users for time when service can be interrupted, if necessary, to make connections for temporary services.
- B. Water Service: Connect to Owner's existing water service facilities. Clean and maintain water service facilities in a condition acceptable to Owner. At Substantial Completion, restore these facilities to condition existing before initial use.
- C. Sanitary Facilities: Provide temporary toilets, wash facilities, and drinking water for use of construction personnel. Comply with requirements of authorities having jurisdiction for type, number, location, operation, and maintenance of fixtures and facilities.
- D. Isolation of Work Areas in Occupied Facilities: Prevent dust, fumes, and odors from entering occupied areas.
 - 1. Prior to commencing work, isolate the HVAC system in area where work is to be performed according to coordination drawings.
 - a. Disconnect supply and return ductwork in work area from HVAC systems servicing occupied areas.
 - b. Maintain negative air pressure within work area using HEPA-equipped air-filtration units, starting with commencement of temporary partition construction, and continuing until removal of temporary partitions is complete.
 - 2. Maintain dust partitions during the Work. Use vacuum collection attachments on dust-producing equipment. Isolate limited work within occupied areas using portable dust-containment devices.
 - 3. Perform daily construction cleanup and final cleanup using approved, HEPA-filter-equipped vacuum equipment.
- E. Electric Power Service: Provide electric power service and distribution system of sufficient size, capacity, and power characteristics required for construction operations.
 - 1. Install electric power service overhead unless otherwise indicated.

TEMPORARY FACILITIES AND CONTROLS

- 2. Connect temporary service to Owner's existing power source, as directed by Owner.
- F. Lighting: Provide temporary lighting with local switching that provides adequate illumination for construction operations, observations, inspections, and traffic conditions.
 - 1. Install and operate temporary lighting that fulfills security and protection requirements without operating entire system.
- G. Telephone Service: Provide temporary telephone service in common-use facilities for use by all construction personnel. Install WiFi cell phone access equipment and one land-based telephone line(s) for each field office.
 - 1. At each telephone, post a list of important telephone numbers.
 - a. Police and fire departments.
 - b. Ambulance service.
 - c. Contractor's home office.
 - d. Contractor's emergency after-hours telephone number.
 - e. Architect's office.
 - f. Engineers' offices.
 - g. Owner's office.
 - h. Principal subcontractors' field and home offices.

3.4 SUPPORT FACILITIES INSTALLATION

- A. General: Comply with the following:
 - 1. Provide temporary offices, shops, and sheds located within construction area or within 30 feet of building lines that is noncombustible according to ASTM E 136. Comply with NFPA 241.
 - 2. Maintain support facilities until Architect schedules Substantial Completion inspection. Remove before Substantial Completion. Personnel remaining after Substantial Completion will be permitted to use permanent facilities, under conditions acceptable to Owner.
- B. Temporary Roads and Paved Areas: Construct and maintain temporary roads and paved areas adequate for construction operations. Locate temporary all-weather roads and paved areas within construction limits indicated on Drawings.
 - 1. Provide dust-control treatment that is nonpolluting and non-tracking. Reapply treatment as required to minimize dust.
- C. Temporary Use of Planned Permanent Roads and Paved Areas: Locate temporary roads and paved areas in same location as permanent roads and paved areas. Construct and maintain temporary roads and paved areas adequate for construction operations. Extend temporary roads and paved areas, within construction limits indicated, as necessary for construction operations.
 - 1. Coordinate elevations of temporary roads and paved areas with permanent roads and paved areas.
 - 2. Prepare subgrade and install subbase and base for temporary roads and paved areas according to Section 312000 "Earth Moving."
 - 3. Recondition base after temporary use, including removing contaminated material, regrading, proof rolling, compacting, and testing.
- D. Traffic Controls: Comply with requirements of authorities having jurisdiction.
 - 1. Protect existing site improvements to remain including curbs, pavement, and utilities.
 - 2. Maintain access for fire-fighting equipment and access to fire hydrants.
- E. Parking: Provide temporary parking areas for construction personnel.
- F. Dewatering Facilities and Drains: Comply with requirements of authorities having jurisdiction. Maintain Project site, excavations, and construction free of water.

- 1. Dispose of rainwater in a lawful manner that will not result in flooding Project or adjoining properties or endanger permanent Work or temporary facilities.
- G. Project Signs: Provide Project signs as indicated. Unauthorized signs are not permitted.
 - Project Identification Signs: Provide One (1) four-foot by eight-foot (4' X 8') Project Identification sign. Use ³/₄" MDO ext. grade plywood panels with 3-coat paint all around. Mount with bottom at forty-two (42) inches above finish grade. Mount on three (3) 8 X 8 pressure treated posts with minimum four (4) foot burial, Provide bracing as required to secure in highest expected local seasonal wind loads. Architect will provide content, layout and design in electronic form. Plan on relocating each sign up to two (2) times during course of construction.
 - 2. Temporary Signs: Provide other signs as indicated and as required to inform public and individuals seeking entrance to Project.
 - a. Provide temporary, directional signs for construction personnel and visitors.
 - 3. Maintain and touchup signs so they are clean and legible at all times.
 - 4. Up to five (5) company signs maximum 4 ft X 8 ft. each mounted on temporary job fences may be allowed at no cost to Owner if designs are submitted to and approved in advance by Architect. Signs will be allowed only during time represented company is actually working actively on the site. Additionally, mount company sign provided by the Architect.
 - 5. No commercial or company signs will be allowed on permanent structures.
 - 6. All project signs shall be removed at the end of the project and surfaces finished per contract documents.
- H. Waste Disposal Facilities: Comply with requirements specified in Section 017419 "Construction Waste Management and Disposal."
- I. Lifts and Hoists: Provide facilities necessary for hoisting materials and personnel.
 - 1. Truck cranes and similar devices used for hoisting materials are considered "tools and equipment" and not temporary facilities.
- J. Temporary Elevator Use: Use of the existing building elevator is not permitted..
- K. Temporary Stairs: Until permanent stairs are available, provide temporary stairs where ladders are not adequate.
- L. Temporary Use of Permanent Stairs: Use of new stairs for construction traffic will be permitted, provided stairs are protected and finishes restored to new condition at time of Substantial Completion.

3.5 SECURITY AND PROTECTION FACILITIES INSTALLATION

- A. Protection of Existing Facilities: Protect existing vegetation, equipment, structures, utilities, and other improvements at Project site and on adjacent properties, except those indicated to be removed or altered. Repair damage to existing facilities.
- B. Environmental Protection: Provide protection, operate temporary facilities, and conduct construction as required to comply with environmental regulations and that minimize possible air, waterway, and subsoil contamination or pollution or other undesirable effects.
 - 1. Comply with work restrictions specified in Section 011000 "Summary."
- C. Temporary Erosion and Sedimentation Control: Comply with requirements specified in Section 311000 "Site Clearing."
 - 1. Verify that flows of water redirected from construction areas or generated by construction activity do not enter or cross tree- or plant-protection zones.
 - 2. Inspect, repair, and maintain erosion- and sedimentation-control measures during construction until permanent vegetation has been established.

- 3. Clean, repair, and restore adjoining properties and roads affected by erosion and sedimentation from Project site during the course of Project.
- 4. Remove erosion and sedimentation controls and restore and stabilize areas disturbed during removal.
- D. Stormwater Control: Comply with requirements of authorities having jurisdiction. Provide barriers in and around excavations and subgrade construction to prevent flooding by runoff of stormwater from heavy rains.
- E. Tree and Plant Protection: Comply with requirements specified in Section 015639 "Temporary Tree and Plant Protection."
- F. Pest Control: Engage pest-control service to recommend practices to minimize attraction and harboring of rodents, roaches, and other pests and to perform extermination and control procedures at regular intervals so Project will be free of pests and their residues at Substantial Completion. Perform control operations lawfully, using materials approved by authorities having jurisdiction.
- G. Site Enclosure Fence: Before construction operations begin, furnish and install site enclosure fence in a manner that will prevent people and animals from easily entering site except by entrance gates.
 - 1. Extent of Fence: As required to enclose entire Project site or portion determined sufficient to accommodate construction operations. Maintain safe access to existing entries & exits.
 - 2. Maintain security by limiting number of keys and restricting distribution to authorized personnel. Furnish one set of keys to Owner.
- H. Security Enclosure and Lockup: Install temporary enclosure around partially completed areas of construction. Provide lockable entrances to prevent unauthorized entrance, vandalism, theft, and similar violations of security. Lock entrances at end of each workday.
- I. Barricades, Warning Signs, and Lights: Comply with requirements of authorities having jurisdiction for erecting structurally adequate barricades, including warning signs and lighting.
- J. Temporary Egress: Maintain temporary egress from existing occupied facilities as indicated and as required by authorities having jurisdiction.
- K. Covered Walkway: Erect protective, covered walkway for passage of individuals through or adjacent to Project site. Coordinate with entrance gates, other facilities, and obstructions. Comply with regulations of authorities having jurisdiction.
- L. Temporary Enclosures: Provide temporary enclosures for protection of construction, in progress and completed, from exposure, foul weather, other construction operations, and similar activities. Provide temporary weathertight enclosure for building exterior.
 - 1. Where heating or cooling is needed and permanent enclosure is incomplete, insulate temporary enclosures.
- M. Temporary Partitions: Provide floor-to-ceiling dustproof partitions to limit dust and dirt migration and to separate areas occupied by Owner from fumes and noise.
 - 1. Construct dustproof partitions with gypsum wallboard with joints taped on occupied side, and fireretardant-treated plywood on construction operations side.
 - 2. Construct dustproof partitions with two layers of 10-mil polyethylene sheet on each side. Cover floor with two layers of 10-mil polyethylene sheet, extending sheets 18 inches up the sidewalls. Overlap and tape full length of joints. Cover floor with fire-retardant-treated plywood.
 - 3. Where fire-resistance-rated temporary partitions are indicated or are required by authorities having jurisdiction, construct partitions according to the rated assemblies.
 - 4. Insulate partitions to control noise transmission to occupied areas.
 - 5. Seal joints and perimeter. Equip partitions with gasketed dustproof doors and security locks where openings are required.
 - 6. Protect air-handling equipment.

TEMPORARY FACILITIES AND CONTROLS

- 7. Provide walk-off mats at each entrance through temporary partition.
- N. Temporary Fire Protection: Install and maintain temporary fire-protection facilities of types needed to protect against reasonably predictable and controllable fire losses. Comply with NFPA 241; manage fire-prevention program.
 - 1. Prohibit smoking in construction areas. Comply with additional limits on smoking specified in other Sections.
 - 2. Supervise welding operations, combustion-type temporary heating units, and similar sources of fire ignition according to requirements of authorities having jurisdiction.
 - 3. Develop and supervise an overall fire-prevention and -protection program for personnel at Project site. Review needs with local fire department and establish procedures to be followed. Instruct personnel in methods and procedures. Post warnings and information.
 - 4. Provide temporary standpipes and hoses for fire protection. Hang hoses with a warning sign stating that hoses are for fire-protection purposes only and are not to be removed. Match hose size with outlet size and equip with suitable nozzles.

3.6 MOISTURE AND MOLD CONTROL

- A. Contractor's Moisture-Protection Plan: Describe delivery, handling, storage, installation, and protection provisions for materials subject to water absorption or water damage.
 - 1. Indicate procedures for discarding water-damaged materials, protocols for mitigating water intrusion into completed Work, and replacing water-damaged Work.
 - 2. Indicate sequencing of work that requires water, such as sprayed fire-resistive materials, plastering, and terrazzo grinding, and describe plans for dealing with water from these operations. Show procedures for verifying that wet construction has dried sufficiently to permit installation of finish materials.
 - 3. Indicate methods to be used to avoid trapping water in finished work.
- B. Exposed Construction Period: Before installation of weather barriers, when materials are subject to wetting and exposure and to airborne mold spores, protect as follows:
 - 1. Protect porous materials from water damage.
 - 2. Protect stored and installed material from flowing or standing water.
 - 3. Keep porous and organic materials from coming into prolonged contact with concrete.
 - 4. Remove standing water from decks.
 - 5. Keep deck openings covered or dammed.
 - 6. Conduct moisture tests on all hygroscopic materials & maintain log of readings. Do not install any materials exceeding specified or manufacturer's recommended moisture levels.
- C. Partially Enclosed Construction Period: After installation of weather barriers but before full enclosure and conditioning of building, when installed materials are still subject to infiltration of moisture and ambient mold spores, protect as follows:
 - 1. Do not load or install drywall or other porous materials or components, or items with high organic content, into partially enclosed building.
 - 2. Keep interior spaces reasonably clean and protected from water damage.
 - 3. Periodically collect and remove waste containing cellulose or other organic matter.
 - 4. Discard or replace water-damaged material or material exceeding the specified or manufacturer's recommended moisture levels.
 - 5. Do not install material that is wet.
 - 6. Discard and replace stored or installed material that exhibits any mold growth.
 - 7. Perform work in a sequence that allows wet materials adequate time to dry before enclosing the material in gypsum board or other interior finishes.

- D. Controlled Construction Period: After completing and sealing of the building enclosure but prior to the full operation of permanent HVAC systems, maintain as follows:
 - 1. Control moisture and humidity inside building by maintaining effective dry-in conditions.
 - 2. Use temporary or permanent HVAC system to control humidity within ranges specified for installed and stored materials.
 - 3. Comply with manufacturer's written instructions for temperature, relative humidity, and exposure to water limits.
 - 4. Provide instruments as required to continuously record temperature and PH readings and maintain log of readings for the record.
- E. General, all phases:
 - a. Hygroscopic materials that may support mold growth, including wood, insulation, paper, carpet and gypsum-based products, that become wet during the course of construction and remain wet for forty-eight (48) hours are considered and hereby stipulated as defective and a deviation from the contract documents and must be promptly removed to the nearest corners or unaffected existing joints and replaced with compliant specified materials.
 - Measure moisture content of materials that have been exposed to moisture during shipping, storage or construction operations or after installation per Moisture Protection Plan (MPP). Record readings beginning at time of exposure and continuing daily for seventy-two (72) hours. Identify materials containing moisture levels higher than allowed by specifications or manufacturer's recommendations, whichever is less. Record findings in log per MPP and report moisture levels exceeding allowable levels to Architect.
 - c. Remove materials that cannot be completely restored to their specified or manufacturer's recommended moisture level within forty-eight (48) hours.
 - d. Before installing materials or covering materials that have or exhibit, they had any mold, secure tests and cleaning recommendations from licensed Environmental Engineer. Install or cover only when this Engineer has provided written certification that the material has been properly remediated and is acceptable and has Engineer's clearance to cover or install.
- F. Costs of any efforts of Architect, Project Inspectors, Special Inspectors, Testing Labs, Environmental Engineer or any other costs caused or connected to deviations and defects associated with excessive water or moisture shall be borne by Contractor. Owner may back-charge or withhold payments to cover these or other associated costs

3.7 OPERATION, TERMINATION, AND REMOVAL

- A. Supervision: Enforce strict discipline in use of temporary facilities. To minimize waste and abuse, limit availability of temporary facilities to essential and intended uses.
- B. Maintenance: Maintain facilities in good operating condition until removal.
 - 1. Maintain operation of temporary enclosures, heating, cooling, humidity control, ventilation, and similar facilities on a 24-hour basis where required to achieve indicated results and to avoid possibility of damage.
- C. Temporary Facility Changeover: Do not change over from using temporary security and protection facilities to permanent facilities until Substantial Completion.
- D. Termination and Removal: Remove each temporary facility when need for its service has ended, when it has been replaced by authorized use of a permanent facility, or no later than Substantial Completion. Complete or, if necessary, restore permanent construction that may have been delayed because of interference with temporary facility. Repair damaged Work, clean exposed surfaces, and replace construction that cannot be satisfactorily repaired.

- 1. Materials and facilities that constitute temporary facilities are property of Contractor. Owner reserves right to take possession of Project identification signs.
- 2. Remove temporary roads and paved areas not intended for or acceptable for integration into permanent construction. Where area is intended for landscape development, remove soil and aggregate fill that do not comply with requirements for fill or subsoil. Remove materials contaminated with road oil, asphalt and other petrochemical compounds, and other substances that might impair growth of plant materials or lawns. Repair or replace street paving, curbs, and sidewalks at temporary entrances, as required by authorities having jurisdiction.
- 3. At Substantial Completion, repair, renovate, and clean permanent facilities used during construction period. Comply with final cleaning requirements specified in Section 017700 "Closeout Procedures."

END OF SECTION 015000

SECTION 016000 - PRODUCT REQUIREMENTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Special Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for selection of products for use in Project; product delivery, storage, and handling; manufacturers' standard warranties on products; special warranties; and comparable products.
- B. Related Requirements:
 - 1. Section 012500 "Substitution Procedures" for requests for substitutions.
 - 2. Section 014200 "References" for applicable industry standards for products specified.

1.3 DEFINITIONS

- A. Products: Items obtained for incorporating into the Work, whether purchased for Project or taken from previously purchased stock. The term "product" includes the terms "material," "equipment," "system," and terms of similar intent.
 - 1. Named Products: Items identified by manufacturer's product name, including make, model number, product number or other designation shown or listed in manufacturer's published product literature that is current as of date of the Contract Documents.
 - 2. New Products: Items that have not previously been incorporated into another project or facility. Products salvaged or recycled from other projects are not considered new products.
 - 3. Comparable Product: Product that is demonstrated and approved by Architect through specified substitution procedure, submittal process to have the indicated qualities related to type, function, dimension, in-service performance, physical properties, appearance, and other characteristics that equal or exceed those of specified product.
- B. Basis-of-Design Product Specification: A specification in which a single manufacturer's product is named and accompanied by the words "basis-of-design product," or, "or equal" including make or model number or other designation. In addition to the basis-of-design product description, product attributes and characteristics may be listed to establish the significant qualities related to type, function, in-service performance and physical properties, weight, dimension, durability, visual characteristics, and other special features and requirements for purposes of evaluating comparable products of additional manufacturers named in the specification.
- C. Subject to Compliance with Requirements: Where the phrase "Subject to compliance with requirements" introduces a product selection procedure in an individual Specification Section, provide products qualified under the specified product procedure. In the event that a named product or product by a named manufacturer does not meet the other requirements of the specifications, select another named product or product from another named manufacturer that does meet the requirements of the specifications. Submit a comparable product request, per specified substitution procedures if applicable.

1.4 ACTION SUBMITTALS

- A. Comparable Product Substitution Request Submittal: Submit request for consideration of each comparable product. Identify basis-of-design product or fabrication or installation method to be replaced. Include Specification Section number and title and Drawing numbers and titles.
 - 1. Include data to indicate compliance with the requirements specified in Substitution Procedures specification.
 - 2. Architect's Action:
 - a. Substitutions or Comparable Products: If necessary, Architect will request additional information or documentation for evaluation within one week of receipt of a comparable product request. Architect will notify Contractor of acceptance or rejection of proposed comparable product request within fifteen (15) days of receipt of request, or seven (7) days of receipt of additional information or documentation, whichever is later.
 - 1) Form of Acceptance: Architect's written directive.
 - 2) Only one request for substitution or comparable product will be considered for each product. If the proposed substitution or comparable product is not accepted the Contractor will provide the specified product.
 - 3) With respect to finishes, visual or aesthetic effect is a significant basis for determining equivalency and may be the single cause for rejection based solely on the Architect's determination.
 - 4) The burden of proof for equivalency rests entirely with the Contractor. The opinion of the Architect, as the original specifier, shall be the final determination.
 - b. Use product specified if Architect does not issue a decision on use of a comparable product request within time allocated.
- B. Basis-of-Design Product Specification Submittal: Comply with requirements in Section 012500 Substitution Procedures and Section 013300 "Submittal Procedures." Show compliance with requirements.

1.5 QUALITY ASSURANCE

- A. Compatibility of Options: If Contractor is given option of selecting between two or more products for use on Project, select product compatible with products previously selected, even if previously selected products were also options.
 - 1. Each contractor is responsible for providing products and construction methods compatible with products and construction methods of other contractors.
 - 2. If a dispute arises between contractors over concurrently selectable but incompatible products, Architect will determine which products shall be used.
- B. Identification of Products: Except for required labels and operating data, do not attach or imprint manufacturer or product names or trademarks on exposed surfaces of products or equipment that will be exposed to view in occupied spaces or on the exterior.

1.6 PRODUCT DELIVERY, STORAGE, AND HANDLING

- A. Deliver, store, and handle products using means and methods that will prevent damage, excessive exposure to moisture in deterioration, and loss, including theft and vandalism. Comply with manufacturer's written instructions.
- B. Delivery and Handling:

- 1. Schedule delivery to minimize long-term storage at Project site and to prevent overcrowding of construction spaces.
- 2. Coordinate delivery with installation time to ensure minimum holding time for items that are flammable, hazardous, easily damaged, or sensitive to deterioration, theft, and other losses.
- 3. Deliver products to Project site in an undamaged condition in manufacturer's original sealed container or other packaging system, complete with labels and instructions for handling, storing, unpacking, protecting, and installing.
- 4. Superintendent to Inspect products on delivery to determine compliance with the Contract Documents, Approved submittal and to determine that products are undamaged and properly protected.

C. Storage:

- 1. Store products to allow for inspection and measurement of quantity or counting of units.
- 2. Store materials in a manner that will not endanger Project structure.
- 3. Store products that are subject to damage by the elements, exposure to moisture of condensation, under cover in a weathertight enclosure above ground, with ventilation adequate to prevent condensation.
- 4. Protect foam plastic from exposure to sunlight, except to extent necessary for period of installation and concealment.
- 5. Comply with product manufacturer's written instructions for temperature, humidity, ventilation, and weather-protection requirements for storage.
- 6. Protect stored products from damage and liquids from freezing.
- 7. Stone off- site if required to secure paper delivery times. To avoid any project delay or work out of sequence.

1.7 PRODUCT WARRANTIES

- A. Warranties specified in other Sections shall be in addition to, and run concurrent with, other warranties required by the Contract Documents. Manufacturer's disclaimers and limitations on product warranties do not relieve Contractor of obligations under requirements of the Contract Documents.
 - 1. Manufacturer's Warranty: Written warranty furnished by individual manufacturer for a particular product and specifically endorsed by manufacturer to Owner.
 - 2. Special Warranty: Written warranty required by the Contract Documents to provide specific rights for Owner.
 - 3. Installer Warranty: Written warranty furnished by contractor for a particular product and specifically endorsed by manufacturer to Owner.
- B. Special Warranties: Prepare a written document that contains appropriate terms and identification, ready for execution.
 - 1. Manufacturer's Standard Form: Modified to include Project-specific information and properly executed.
 - 2. Specified Form: When specified forms are included with the Specifications, prepare a written document using indicated form properly executed.
 - 3. See other Sections for specific content requirements and particular requirements for submitting special warranties.
- C. Submittal Time: Comply with requirements in Section 017700 "Closeout Procedures."
- D. Warranty Log: Within ninety (90) days after the date established for the commencement of work submit warranty by listing all warranties required above or by product, warranty period and a comment column.

PART 2 - PRODUCTS

2.1 PRODUCT SELECTION PROCEDURES

- A. General Product Requirements: Provide products that comply with the Contract Documents, are undamaged and, unless otherwise indicated, are new at time of installation.
 - 1. Provide products complete with accessories, trim, finish, fasteners, and other items needed for a complete installation and indicated use and effect.
 - 2. Standard Products: If available, and unless custom products or nonstandard options are specified, provide standard products of types that have been produced and used successfully in similar situations on other projects.
 - 3. Owner reserves the right to limit selection to products with warranties meeting requirements of the Contract Documents.
 - 4. Where products are accompanied by the term "as selected," Architect will make selection.
 - 5. Descriptive, performance, and reference standard requirements in the Specifications establish salient characteristics of products.
 - 6. Or Equal: For products specified by name and accompanied by the term "or equal," or "or approved equal," or "or approved," comply with requirements in "Comparable Products" Article to obtain approval for use of an unnamed product.
- B. Product Selection Procedures:
 - 1. Sole Product: Where Specifications name a single manufacturer and product, provide the named product that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered.
 - 2. Sole Manufacturer/Source: Where Specifications name a single manufacturer or source, provide a product by the named manufacturer or source that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered.
 - 3. Limited List of Products: Where Specifications include a list of names of both manufacturers and products, provide one of the products listed that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered.
 - 4. Non-Limited List of Products: Where Specifications include a list of names of both available manufacturers and products, provide one of the products listed, or an unnamed product, which complies with requirements.
 - 5. Limited List of Manufacturers: Where Specifications include a list of manufacturers' names, provide a product by one of the manufacturers listed that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered.
 - 6. Non-Limited List of Manufacturers: Where Specifications include a list of available manufacturers, provide a product by one of the manufacturers listed, or a product by an unnamed manufacturer, which complies with requirements.
 - 7. Basis-of-Design Product: Where Specifications name a product, or refer to a product indicated on Drawings, and include a list of manufacturers, provide the specified or indicated product or a comparable product by one of the other named manufacturers. Drawings and Specifications indicate sizes, profiles, dimensions, and other characteristics that are based on the product named. Comply with requirements in "Comparable Products" Article for consideration of an unnamed product by one of the other named manufacturers.
- C. Visual Matching Specification: Where Specifications require "match Architect's sample," provide a product that complies with requirements and matches Architect's sample. Architect's decision will be final on whether a proposed product matches.

- 1. If no product available within specified category matches and complies with other specified requirements, comply with requirements in Section 012500 "Substitution Procedures" for proposal of product.
- D. Visual Selection Specification: Where Specifications include the phrase "as selected by Architect from manufacturer's full range" or similar phrase, select a product that complies with requirements. Architect will select color, gloss, pattern, density, or texture from manufacturer's product line that includes both standard and premium items.

2.2 COMPARABLE PRODUCTS

- A. Conditions for Consideration of Substitutional or Comparable Products Conform with requirements found under "Substitutional Procedures": Architect will consider Contractor's request for Substitutional or comparable product when the following conditions are satisfied. If the following conditions are not satisfied, Architect may return requests without action, except to record noncompliance with these requirements:
 - 1. Evidence that the proposed product does not require revisions to the Contract Documents, that it is consistent with the Contract Documents, will produce the indicated results, and that it is compatible with other portions of the Work.
 - a. Provide a detailed **side-by-side** comparison of the proposed product with the specified product. Include:
 - 1) Comparative specifications and terms. Use matching terms in weight, and measures and same standardized tests.
 - 2) Comparative layouts., installation requirements and details
 - 3) Comparative conformance to all referenced standards.
 - 2. Detailed comparison of significant qualities of proposed product with those named in the Specifications. Significant qualities include attributes such as performance, weight, size, durability, visual effect, and specific features and requirements indicated.
 - 3. Evidence that proposed product provides specified warranty.
 - 4. List of similar installations for completed projects with project names and addresses and names and addresses of architects and owners, if requested.
 - 5. Samples in full color range, if requested.
- B. Request conforms with additional requirements found under "Substitution Procedures".

PART 3 - EXECUTION (Not Used)

END OF SECTION 016000

SECTION 017300 - EXECUTION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Special Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes general administrative and procedural requirements governing execution of the Work including, but not limited to, the following:
 - 1. Construction layout.
 - 2. Field engineering and surveying.
 - 3. Installation of the Work.
 - 4. Cutting and patching.
 - 5. Coordination of Owner-installed products.
 - 6. Progress cleaning.
 - 7. Starting and adjusting.
 - 8. Protection of installed construction.
- B. Related Requirements:
 - 1. General Conditions
 - 2. Special Conditions
 - 3. Division 1 General Requirements
 - 4. Division 2 through 33

1.3 DEFINITIONS

- A. Cutting: Removal of in-place construction necessary to permit installation or performance of subsequent work.
- B. Patching: Fitting and repair work required to restore construction to original conditions after installation of subsequent work.

1.4 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For land surveyor
- B. Certificates: Submit certificate signed by land surveyor certifying that location and elevation of improvements comply with requirements.
- C. Cutting and Patching Plan: Submit plan describing procedures at least 10 days prior to the time cutting and patching will be performed. Include the following information:
 - 1. Extent: Describe reason for and extent of each occurrence of cutting and patching.
 - 2. Changes to In-Place Construction: Describe anticipated results. Include changes to structural elements and operating components as well as changes in building appearance and other significant visual elements.
 - 3. Products: List products to be used for patching and firms or entities that will perform patching work.

- 4. Dates: Indicate when cutting and patching will be performed.
- 5. Utilities and Mechanical and Electrical Systems: List services and systems that cutting and patching procedures will disturb or affect. List services and systems that will be relocated and those that will be temporarily out of service. Indicate length of time permanent services and systems will be disrupted.
 - a. Include description of provisions for temporary services and systems during interruption of permanent services and systems.
- D. Landfill Receipts: Submit copy of receipts issued by a landfill facility, licensed to accept hazardous materials, for hazardous waste disposal.
- E. Certified Surveys: Submit two 2 hard copies signed by professional engineer and one electronic copy of Certified Survey.
- F. Final Property Survey: Submit three (3) hard copies and one (1) digital copy showing the Work performed and record survey data.

1.5 QUALITY ASSURANCE

- A. Land Surveyor Qualifications: A professional land surveyor who is legally qualified to practice in jurisdiction where Project is located and who is experienced in providing land-surveying services of the kind indicated.
- B. Cutting and Patching: Comply with requirements for and limitations on cutting and patching of construction elements.
 - 1. Structural Elements: When cutting and patching structural elements, notify Architect of locations and details of cutting and await directions from Architect before proceeding. Shore, brace, and support structural elements during cutting and patching. Do not cut and patch structural elements in a manner that could change their load-carrying capacity or increase deflection.
 - 2. Operational Elements: Do not cut and patch operating elements and related components in a manner that results in reducing their capacity to perform as intended or that result in increased maintenance or decreased operational life or safety. Operational elements include the following:
 - a. Primary operational systems and equipment.
 - b. Fire separation assemblies.
 - c. Air or smoke barriers.
 - d. Fire-suppression systems.
 - e. Mechanical systems piping and ducts.
 - f. Control systems.
 - g. Communication systems.
 - h. Fire-detection and -alarm systems.
 - i. Conveying systems.
 - j. Electrical wiring systems.
 - k. Operating systems of special construction.
 - 3. Other Construction Elements: Do not cut and patch other construction elements or components in a manner that could change their load-carrying capacity that results in reducing their capacity to perform as intended, or that result in increased maintenance or decreased operational life or safety. Other construction elements include but are not limited to the following:
 - a. Water, moisture, or vapor barriers.
 - b. Membranes and flashings.
 - c. Exterior curtain-wall construction.
 - d. Sprayed fire-resistive material.
 - e. Equipment supports.

- f. Piping, ductwork, vessels, and equipment.
- g. Noise- and vibration-control elements and systems.
- 4. Visual Elements: Do not cut and patch construction in a manner that results in visual evidence of cutting and patching. Do not cut and patch exposed construction in a manner that would, in Architect's opinion, reduce the building's aesthetic qualities. Remove and replace construction that has been cut and patched in a visually unsatisfactory manner.
- C. Manufacturer's Installation Instructions: Obtain and maintain on-site manufacturer's written recommendations and instructions for installation of products and equipment and Manufacturers Technical Representative's certifications required by Section 014000, "Quality Requirements".

PART 2 - PRODUCTS

2.1 MATERIALS

- A. General: Comply with requirements specified in other Sections.
 - 1. For projects requiring compliance with sustainable design and construction practices and procedures, use products for patching that comply with sustainable design requirements.
- B. In-Place Materials: Use materials for patching identical to in-place materials. For exposed surfaces, use materials that visually match in-place adjacent surfaces to the fullest extent possible.
 - 1. If identical materials are unavailable or cannot be used, use materials that, when installed, will provide a match acceptable to Architect for the visual and functional performance of in-place materials.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Existing Conditions: The existence and location of underground and other utilities and construction indicated as existing are not guaranteed. Before beginning site work, investigate and verify the existence, size, location and invert elevations of underground utilities, mechanical and electrical systems, and other construction affecting the Work.
 - 1. Before construction, verify the location and invert elevation at points of connection of sanitary sewer, storm sewer, and water-service piping; underground electrical services; and other utilities.
 - 2. Furnish location data for work related to Project that must be performed by public utilities serving Project site.
- B. Examination and Acceptance of Conditions: Before proceeding with each component of the Work, examine substrates, areas, and conditions, with Installer or Applicator present where indicated, for compliance with requirements for installation tolerances and other conditions affecting performance. Record observations.
 - 1. Examine roughing-in for mechanical and electrical systems to verify actual locations of connections before equipment and fixture installation.
 - 2. Examine walls, floors, and roofs for suitable conditions where products and systems are to be installed.
 - 3. Verify compatibility with and suitability of substrates, including compatibility with existing finishes or primers.
- C. Written Report: Where a Manufacturers Technical Representative or other written report listing conditions detrimental to performance of the Work is required by other Sections, include the following:

- 1. Description of the Work.
- 2. List of detrimental conditions, including substrates.
- 3. List of unacceptable installation tolerances.
- 4. Recommended corrections.
- D. Proceed with installation only after unsatisfactory conditions have been corrected. Proceeding with the Work indicates acceptance of surfaces and conditions.

3.2 PREPARATION

- A. Existing Utility Information: Furnish information to local utility that is necessary to adjust, move, or relocate existing utility structures, utility poles, lines, services, or other utility appurtenances located in or affected by construction. Coordinate with authorities having jurisdiction.
- B. Field Measurements: Take field measurements as required to fit the Work properly. Recheck measurements before installing each product. Where portions of the Work are indicated to fit to other construction, verify dimensions of other construction by field measurements before fabrication. Coordinate fabrication schedule with construction progress to avoid delaying the Work.
- C. Space Requirements: Verify space requirements and dimensions of items shown diagrammatically on Drawings.
- D. Review of Contract Documents and Field Conditions: Do not fabricate or install products if conditions are not found to be per contract documents, manufacturer's recommendations or industry standards.

Immediately on discovery of the need for clarification of the Contract Documents caused by differing field conditions outside the control of Contractor, submit a request for information to Architect according to requirements in Section 013100 "Project Management and Coordination."

3.3 CONSTRUCTION LAYOUT

- A. Verification: Before proceeding to lay out the Work, verify layout information shown on Drawings, in relation to the property survey and existing benchmarks. If discrepancies are discovered, notify Architect promptly.
- B. General: Engage a land surveyor to lay out the Work using accepted surveying practices.
 - 1. Establish benchmarks and control points to set lines and levels at each story of construction and elsewhere as needed to locate each element of Project.
 - 2. Establish limits on use of Project site.
 - 3. Establish dimensions within tolerances indicated. Do not scale Drawings to obtain required dimensions.
 - 4. Inform installers of lines and levels to which they must comply.
 - 5. Check the location, level and plumb, of every major element as the Work progresses.
 - 6. Notify Architect when deviations from required lines and levels exceed allowable tolerances.
 - 7. Close site surveys with an error of closure equal to or less than the standard established by authorities having jurisdiction.
- C. Site Improvements: Locate and lay out site improvements, including pavements, grading, fill and topsoil placement, utility slopes, and rim and invert elevations.
- D. Building Lines and Levels: Locate and lay out control lines and levels for structures, building foundations, column grids, and floor levels, including those required for mechanical and electrical work. Transfer survey markings and elevations for use with control lines and levels. Level foundations and piers from two or more locations.

3.4 FIELD ENGINEERING

- A. Identification: Owner will identify existing benchmarks, control points, and property corners.
- B. Reference Points: Locate existing permanent benchmarks, control points, and similar reference points before beginning the Work. Preserve and protect permanent benchmarks and control points during construction operations.
 - 1. Do not change or relocate existing benchmarks or control points without prior written approval of Architect and authorities having jurisdiction. Report lost or destroyed permanent benchmarks or control points promptly. Report the need to relocate permanent benchmarks or control points to Architect before proceeding.
 - 2. Replace lost or destroyed permanent benchmarks and control points promptly. Base replacements on the original survey control points and requirements of authorities having jurisdiction.
- C. Benchmarks: Establish and maintain a minimum of two (2) permanent benchmarks on Project site, referenced to data established by survey control points. Comply with authorities having jurisdiction for type and size of benchmark.
 - 1. Record benchmark locations, with horizontal and vertical data, on Project Record Documents.
 - 2. Where the actual location or elevation of layout points cannot be marked, provide temporary reference points sufficient to locate the Work.
 - 3. Remove temporary reference points when no longer needed. Restore marked construction to its original condition.
- D. Certified Survey: On completion of foundation walls, major site improvements, and other work requiring field-engineering services, prepare a certified survey showing dimensions, locations, angles, and elevations of construction and site work. Provide three hard copies and one electronic copy in AutoCAD form to the Architect.
- E. Final Property Survey: Engage a land surveyor to prepare a final property survey showing significant features (real property) for Project. Include on the survey a certification, signed by land surveyor, that principal metes, bounds, lines, and levels of Project are accurately positioned as shown on the survey
 - 1. Show boundary lines, monuments, streets, site improvements and utilities, existing improvements and significant vegetation, adjoining properties, acreage, grade contours, spot grades at doors and accessible paths of travel and the distance and bearing from two site corners to a legal point.
 - 2. Provide three hard copies and one electronic copy in AutoCAD form to the Architect.
 - 3. Recording: At Substantial Completion, have the final property survey recorded by or with authorities having jurisdiction as the official "property survey."

3.5 INSTALLATION

- A. General: Locate the Work and components of the Work accurately, in correct alignment and elevation, as indicated.
 - 1. Make vertical work plumb and make horizontal work level.
 - 2. Where space is limited, install components to maximize space available for maintenance and ease of removal for replacement.
 - 3. Conceal pipes, ducts, and wiring in finished areas unless otherwise indicated.

- 4. Maintain minimum headroom clearance of 96 inches in spaces used by general public staff and students and 90 inches in unoccupied spaces used by maintenance staff or vendors.
- 5. Confirm with Coordination Drawings if required.
- B. Comply with manufacturer's written instructions and recommendations for installing products in applications indicated.
- C. Install products at the time and under conditions that will ensure the best possible results. Maintain conditions required for product performance until Substantial Completion.
- D. Conduct construction operations so no part of the Work is subjected to damaging operations or loading in excess of that expected during normal conditions of occupancy.
- E. Sequence the Work and allow adequate clearances to accommodate movement of construction items on site and placement in permanent locations.
- F. Tools and Equipment: Where possible, select tools or equipment that minimize production of excessive noise levels.
- G. Templates: Obtain and distribute to the parties involved templates for work specified to be factory prepared and field installed. Check Shop Drawings of other portions of the Work to confirm that adequate provisions are made for locating and installing products to comply with indicated requirements.
- H. Attachment: Provide blocking and attachment plates and anchors and fasteners of adequate size and number to securely anchor each component in place, including light fixtures, electrical equipment, mechanical and plumbing equipment accurately located and aligned with other portions of the Work. Where size and type of attachments are not indicated, verify size and type required for load conditions.
 - 1. Mounting Heights: Where mounting heights are not indicated, mount components at heights directed by Architect.
 - 2. Allow for building movement, including thermal expansion and contraction.
 - 3. Coordinate installation of backing and anchorages. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors, that are to be embedded in concrete or masonry. Deliver such items to Project site in time for installation.
- I. Joints: Make joints of uniform width. Where joint locations in exposed work are not indicated, arrange joints for the best visual effect. Fit exposed connections together to form hairline joints.
- J. Repair or remove and replace damaged, defective, or nonconforming Work.
 - 1. Comply with Section 017700 "Closeout Procedures" for repairing or removing and replacing defective Work.

3.6 CUTTING AND PATCHING

- A. Cutting and Patching, General: Employ skilled workers to perform cutting and patching. Proceed with cutting and patching at the earliest feasible time, and complete without delay.
 - 1. Cut in-place construction to provide for installation of other components or performance of other construction, and subsequently patch as required to restore surfaces to their original condition.
- B. Existing Warranties: Remove, replace, patch, and repair materials and surfaces cut or damaged during installation or cutting and patching operations, by methods and with materials so as not to void existing warranties.
- C. Temporary Support: Provide temporary support of work to be cut.

- E. Adjacent Occupied Areas: Where interference with use of adjoining areas or interruption of free passage to adjoining areas is unavoidable, coordinate cutting and patching according to requirements in Section 011000 "Summary."
- F. Existing Utility Services and Mechanical/Electrical Systems: Where existing services/systems are required to be removed, relocated, or abandoned, bypass such services/systems before cutting to minimize interruption to occupied areas.
- G. Cutting: Cut in-place construction by sawing, drilling, breaking, chipping, grinding, and similar operations, including excavation, using methods least likely to damage elements retained or adjoining construction. If possible, review proposed procedures with original Installer; comply with original Installer's written recommendations.
 - 1. In general, use hand or small power tools designed for sawing and grinding, not hammering and chopping. Cut holes and slots neatly to minimum size required, and with minimum disturbance of adjacent surfaces. Temporarily cover openings when not in use.
 - 2. Finished Surfaces: Cut or drill from the exposed or finished side into concealed surfaces.
 - 3. Concrete and Masonry: Cut using a cutting machine, such as an abrasive saw or a diamond-core drill.
 - 4. Excavating and Backfilling: Comply with requirements in applicable Sections where required by cutting and patching operations.
 - 5. Mechanical and Electrical Services: Cut off pipe or conduit in walls or partitions to be removed. Cap, valve, or plug and seal remaining portion of pipe or conduit to prevent entrance of moisture or other foreign matter after cutting.
 - 6. Proceed with patching after construction operations requiring cutting are complete.
- H. Patching: Patch construction by filling, repairing, refinishing, closing up, and similar operations following performance of other work. Patch with durable seams that are as invisible as practicable. Provide materials and comply with installation requirements specified in other Sections, where applicable.
 - 1. Inspection: Where feasible, test and inspect patched areas after completion to demonstrate physical integrity of installation.
 - 2. Exposed Finishes: Restore exposed finishes of patched areas and extend finish restoration into retained adjoining construction in a manner that will minimize evidence of patching and refinishing.
 - a. Clean piping, conduit, and similar features before applying paint or other finishing materials.
 - b. Restore damaged pipe covering to its original condition.
 - 3. Floors and Walls: Where walls or partitions that are removed extend one finished area into another, patch and repair floor and wall surfaces in the new space. Provide an even surface of uniform finish, color, texture, and appearance. Remove in-place floor and wall coverings and replace with new materials, if necessary, to achieve uniform color and appearance.
 - a. Where patching occurs in a painted surface, prepare substrate and apply primer and intermediate paint coats appropriate for substrate over the patch, and apply final paint coat over entire unbroken surface containing the patch. Provide additional coats until patch blends with adjacent surfaces.
 - 4. Ceilings: Patch, repair, or rehang in-place ceilings as necessary to provide an even-plane surface of uniform appearance.
 - 5. Exterior Building Enclosure: Patch components in a manner that restores enclosure to a weathertight condition and ensures thermal and moisture integrity of building enclosure.

I. Cleaning: Clean areas and spaces where cutting and patching are performed. Remove paint, mortar, oils, putty, and similar materials from adjacent finished surfaces.

3.7 OWNER-INSTALLED PRODUCTS

- A. Site Access: Provide access to Project site for Owner's construction personnel.
- B. Coordination: Coordinate construction and operations of the Work with work performed by Owner's construction personnel.
 - 1. Construction Schedule: Inform Owner of Contractor's preferred construction schedule for Owner's portion of the Work. Adjust construction schedule based on a mutually agreeable timetable. Notify Owner if changes to schedule are required due to differences in actual construction progress.
 - 2. Preinstallation Conferences: Include Owner's construction personnel at preinstallation conferences covering portions of the Work that are to receive Owner's work. Attend preinstallation conferences conducted by Owner's construction personnel if portions of the Work depend on Owner's construction.

3.8 PROGRESS CLEANING

- A. General: Clean Project site and work areas daily, including common areas. Enforce requirements strictly. Dispose of materials lawfully.
 - 1. Comply with requirements in NFPA 241 for removal of combustible waste materials and debris.
 - 2. Do not hold waste materials more than seven days during normal weather or three days if the temperature is expected to rise above 80 deg F.
 - 3. Containerize hazardous and unsanitary waste materials separately from other waste. Mark containers appropriately and dispose of legally, according to regulations.
 - a. Use containers intended for holding waste materials of type to be stored.
 - 4. Coordinate progress cleaning for joint-use areas where Contractor and other contractors are working concurrently.
- B. Site: Maintain Project site free of waste materials and debris.
- C. Work Areas: Clean areas where work is in progress to the level of cleanliness necessary for proper execution of the Work.
 - 1. Remove liquid spills promptly.
 - 2. Where dust would impair proper execution of the Work, broom-clean or vacuum the entire work area, as appropriate.
- D. Installed Work: Keep installed work clean. Clean installed surfaces according to written instructions of manufacturer or fabricator of product installed, using only cleaning materials specifically recommended. If specific cleaning materials are not recommended, use cleaning materials that are not hazardous to health or property and that will not damage exposed surfaces.
- E. Concealed Spaces: Remove debris from concealed spaces before enclosing the space.
- F. Exposed Surfaces in Finished Areas: Clean exposed surfaces and protect as necessary to ensure freedom from damage and deterioration at time of Substantial Completion.
- G. Waste Disposal: Do not bury or burn waste materials on-site. Do not wash waste materials down sewers or into waterways. Comply with waste disposal requirements in Section 017419 "Construction Waste Management and Disposal."

- I. Clean and provide maintenance on completed construction as frequently as necessary through the remainder of the construction period. Adjust and lubricate operable components to ensure operability without damaging effects.
- J. Limiting Exposures: Supervise construction operations to ensure that no part of the construction, completed or in progress, is subject to harmful, dangerous, damaging, or otherwise deleterious exposure during the construction period.

3.9 STARTING AND ADJUSTING

- A. Start equipment and operating components to confirm proper operation. Remove malfunctioning units, replace with new units, and retest.
- B. Adjust equipment for proper operation. Adjust operating components for proper operation without binding.
- C. Test each piece of equipment to verify proper operation. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- D. Manufacturer's Field Service: Comply with qualification requirements in Section 014000 "Quality Requirements."

3.10 PROTECTION OF INSTALLED CONSTRUCTION

- A. Provide final protection and maintain conditions that ensure installed Work is without damage or deterioration at time of Substantial Completion.
- B. Protection of Existing Items: Provide protection and ensure that existing items to remain undisturbed by construction are maintained in condition that existed at commencement of the Work.
- C. Comply with manufacturer's written instructions for temperature and relative humidity.

END OF SECTION 017300

SECTION 017419 - CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Special Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for the following:
 - 1. Salvaging nonhazardous demolition and construction waste.
 - 2. Recycling nonhazardous demolition and construction waste.
 - 3. Disposing of nonhazardous demolition and construction waste.

1.3 DEFINITIONS

- A. Construction Waste: Building, structure, and site improvement materials and other solid waste resulting from construction, remodeling, renovation, or repair operations. Construction waste includes packaging.
- B. Demolition Waste: Building, structure, and site improvement materials resulting from demolition operations.
- C. Disposal: Removal of demolition or construction waste and subsequent salvage, sale, recycling, or deposit in landfill, incinerator acceptable to authorities having jurisdiction, or designated spoil areas on Owner's property.
- D. Recycle: Recovery of demolition or construction waste for subsequent processing in preparation for reuse.
- E. Salvage: Recovery of demolition or construction waste and subsequent sale or reuse in another facility.
- F. Salvage and Reuse: Recovery of demolition or construction waste and subsequent incorporation into the Work.

1.4 MATERIALS OWNERSHIP

- A. Unless otherwise indicated, demolition and construction waste becomes property of Contractor.
- B. Historic items, relics, antiques, and similar objects including, but not limited to, cornerstones and their contents, commemorative plaques and tablets, and other items of interest or value to Owner that may be uncovered during demolition remain the property of Owner.
 - 1. Carefully salvage in a manner to prevent damage and promptly return to Owner.

1.5 ACTION SUBMITTALS

A. Waste Management Plan: Submit plan within 7 days of date established for the Notice to Proceed.

1.6 INFORMATIONAL SUBMITTALS

A. Waste Reduction Progress Reports: Concurrent with each Application for Payment, submit report using approved CWM form. Include the following information:

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- 1. Material category.
- 2. Generation point of waste.
- 3. Total quantity of waste in tons.
- 4. Quantity of waste salvaged, both estimated and actual in tons.
- 5. Quantity of waste recycled, both estimated and actual in tons.
- 6. Total quantity of waste recovered (salvaged plus recycled) in tons.
- 7. Total quantity of waste recovered (salvaged plus recycled) as a percentage of total waste.
- B. Waste Reduction Calculations: Before request for Substantial Completion, submit calculated end-of-Project rates for salvage, recycling, and disposal as a percentage of total waste generated by the Work.
- C. Records of Donations: Indicate receipt and acceptance of salvageable waste donated to individuals and organizations. Indicate whether organization is tax exempt.
- D. Records of Sales: Indicate receipt and acceptance of salvageable waste sold to individuals and organizations. Indicate whether organization is tax exempt.
- E. Recycling and Processing Facility Records: Indicate receipt and acceptance of recyclable waste by recycling and processing facilities licensed to accept them. Include manifests, weight tickets, receipts, and invoices.
- F. Landfill and Incinerator Disposal Records: Indicate receipt and acceptance of waste by landfills and incinerator facilities licensed to accept them. Include manifests, weight tickets, receipts, and invoices.
- G. Qualification Data: For Waste Management Coordinator.
- H. Statement of Refrigerant Recovery: Signed by refrigerant recovery technician responsible for recovering refrigerant, stating that all refrigerant that was present was recovered and that recovery was performed according to EPA regulations. Include name and address of technician and date refrigerant was recovered.

1.7 QUALITY ASSURANCE

- A. Waste Management Coordinator Qualifications: Experienced firm, or individual employed and assigned by General Contractor, with a record of successful waste management coordination of projects with similar requirements. Superintendent may serve as Waste Management Coordinator. Upon approval of submittal of written document of qualifications and experience.
- B. Refrigerant Recovery Technician Qualifications: Certified by EPA-approved certification program.
- C. Regulatory Requirements: Comply with transportation and disposal regulations of authorities having jurisdiction.
- D. Waste Management Conference: Conduct conference at Project site to comply with requirements in Section 013100 "Project Management and Coordination." Review methods and procedures related to waste management including, but not limited to, the following:
 - 1. Review and discuss waste management plan including responsibilities of each contractor and waste management coordinator.
 - 2. Review requirements for documenting quantities of each type of waste and its disposition.
 - 3. Review and finalize procedures for materials separation and verify availability of containers and bins needed to avoid delays.
 - 4. Review procedures for periodic waste collection and transportation to recycling and disposal facilities.
 - 5. Review waste management requirements for each trade.

1.8 WASTE MANAGEMENT PLAN

- A. General: Develop a waste management plan according to ASTM E 1609 and requirements in this Section. Plan shall consist of waste identification, waste reduction work plan, and cost/revenue analysis. Indicate quantities by weight or volume but use same units of measure throughout waste management plan.
- B. Waste Identification: Indicate anticipated types and quantities of waste generated by the Work. Include estimated quantities and assumptions for estimates.
- C. Waste Reduction Work Plan: List each type of waste and whether it will be salvaged, recycled, or disposed of in landfill or incinerator using approved CWM form. Include points of waste generation, total quantity of each type of waste, quantity for each means of recovery, and handling and transportation procedures.
 - 1. Salvaged Materials for Reuse: For materials that will be salvaged and reused in this Project, describe methods for preparing salvaged materials before incorporation into the Work.
 - 2. Salvaged Materials for Sale: For materials that will be sold to individuals and organizations, include list of their names, addresses, and telephone numbers.
 - 3. Salvaged Materials for Donation: For materials that will be donated to individuals and organizations, include list of their names, addresses, and telephone numbers.
 - 4. Recycled Materials: Include list of local receivers and processors and type of recycled materials each will accept. Include names, addresses, and telephone numbers.
 - 5. Disposed Materials: Indicate how and where materials will be disposed of. Include name, address, and telephone number of each landfill and incinerator facility.
 - 6. Handling and Transportation Procedures: Include method that will be used for separating recyclable waste including sizes of containers, container labeling, and designated location where materials separation will be performed.
- D. Cost/Revenue Analysis: Indicate total cost of waste disposal as if there was no waste management plan and net additional cost or net savings resulting from implementing waste management plan using approved CWM form Include the following:
 - 1. Total quantity of waste.
 - 2. Estimated cost of disposal (cost per unit). Include transportation and tipping fees and cost of collection containers and handling for each type of waste.
 - 3. Total cost of disposal (with no waste management).
 - 4. Revenue from salvaged materials.
 - 5. Revenue from recycled materials.
 - 6. Savings in transportation and tipping fees by donating materials.
 - 7. Savings in transportation and tipping fees that are avoided.
 - 8. Handling and transportation costs. Include cost of collection containers for each type of waste.
 - 9. Net additional cost or net savings from waste management plan.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. General: Achieve end-of-Project rates for salvage/recycling of **75** percent by weight of total nonhazardous solid waste generated by the Work. Practice efficient waste management in the use of materials in the course of the Work. Use all reasonable means to divert construction and demolition waste from landfills and incinerators. Facilitate recycling and salvage of materials.
 - 1. Demolition Waste:
 - a. Asphalt paving.
 - b. Concrete.
 - c. Concrete reinforcing steel.

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- d. Wood studs.
- e. Wood joists.
- f. Plywood and oriented strand board.
- g. Wood trim.
- h. Structural and miscellaneous steel.
- i. Rough hardware.
- j. Gypsum board.
- k. Acoustical tile and panels.
- l. Equipment.
- m. Electrical conduit.
- n. Copper wiring.
- o. Lighting fixtures.
- p. Lamps.
- q. Ballasts.
- r. Electrical devices.
- s. Switchgear and panelboards.
- t. Transformers.
- 2. Construction Waste:
 - a. Lumber.
 - b. Wood sheet materials.
 - c. Wood trim.
 - d. Metals.
 - e. Gypsum board.
 - f. Electrical conduit.
 - g. Packaging: Regardless of salvage/recycle goal indicated in "General" Paragraph above, salvage or recycle 100 percent of the following uncontaminated packaging materials:
 - 1) Paper.
 - 2) Cardboard.
 - 3) Boxes.
 - 4) Plastic sheet and film.
 - 5) Polystyrene packaging.
 - 6) Wood crates.
 - 7) Wood pallets.
 - 8) Plastic pails.
 - h. Construction Office Waste: Regardless of salvage/recycle goal indicated in "General" Paragraph above, salvage or recycle 100 percent of the following construction office waste materials:
 - 1) Paper.
 - 2) Aluminum cans.
 - 3) Glass containers.

PART 3 - EXECUTION

3.1 PLAN IMPLEMENTATION

A. General: Implement approved waste management plan. Provide handling, containers, storage, signage, transportation, and other items as required to implement waste management plan during the entire duration of the Contract.

- 1. Comply with operation, termination, and removal requirements in Section 015000 "Temporary Facilities and Controls."
- B. Waste Management Coordinator: Engage a waste management coordinator to be responsible for implementing, monitoring, and reporting status of waste management work plan.
- C. Training: Train workers, subcontractors, and suppliers on proper waste management procedures, as appropriate for the Work.
 - 1. Distribute waste management plan to everyone concerned within three days of submittal return.
 - 2. Distribute waste management plan to entities when they first begin work on-site. Review plan procedures and locations established for salvage, recycling, and disposal.
- D. Site Access and Temporary Controls: Conduct waste management operations to ensure minimum interference with roads, streets, walks, walkways, and other adjacent occupied and used facilities.
 - 1. Designate and label specific areas on Project site necessary for separating materials that are to be salvaged and recycled.
 - 2. Comply with Section 015000 "Temporary Facilities and Controls" for controlling dust and dirt, environmental protection, and noise control.
- 3.2 SALVAGING DEMOLITION WASTE Not Used.

3.3 RECYCLING DEMOLITION AND CONSTRUCTION WASTE, GENERAL

- A. General: Recycle paper and beverage containers used by on-site workers.
- B. Preparation of Waste: Prepare and maintain recyclable waste materials according to recycling or reuse facility requirements. Maintain materials free of dirt, adhesives, solvents, petroleum contamination, and other substances deleterious to the recycling process.
- C. Procedures: Separate recyclable waste from other waste materials, trash, and debris. Separate recyclable waste by type at Project site to the maximum extent practical according to approved construction waste management plan.
 - 1. Provide appropriately marked containers or bins for controlling recyclable waste until removed from Project site. Include list of acceptable and unacceptable materials at each container and bin.
 - a. Inspect containers and bins for contamination and remove contaminated materials if found.
 - 2. Stockpile processed materials on-site without intermixing with other materials. Place, grade, and shape stockpiles to drain surface water. Cover to prevent windblown dust.
 - 3. Stockpile materials away from construction area. Do not store within drip line of remaining trees.
 - 4. Store components off the ground and protect from the weather.
 - 5. Remove recyclable waste from Owner's property and transport to recycling receiver or processor as often as required to prevent overfilling bins.

3.4 RECYCLING DEMOLITION WASTE

- A. Asphalt Paving: Grind asphalt to maximum 1-1/2-inch size.
 - 1. Crush asphaltic concrete paving and screen to comply with requirements in Section 312000 "Earth Moving" for use as general fill.
- B. Asphalt Paving: Break up and transport paving to asphalt-recycling facility.
- C. Concrete: Remove reinforcement and other metals from concrete and sort with other metals.
 - 1. Pulverize concrete to maximum 1-1/2-inch size.

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- 2. Crush concrete and screen to comply with requirements in Section 312000 "Earth Moving" for use as satisfactory soil for fill or sub base.
- D. Masonry: Remove metal reinforcement, anchors, and ties from masonry and sort with other metals.
 - 1. Pulverize masonry to maximum 3/4-inch size.
 - a. Crush masonry and screen to comply with requirements in Section 312000 "Earth Moving" for use as general fill under buildings or paving. Do not use in planting, playfields or turf areas.
 - 2. Clean and stack undamaged, whole masonry units on wood pallets.
- E. Wood Materials: Sort and stack members according to size, type, and length. Separate lumber, engineered wood products, panel products, and treated wood materials.
- F. Metals: Separate metals by type.
 - 1. Structural Steel: Stack members according to size, type of member, and length.
 - 2. Remove and dispose of bolts, nuts, washers, and other rough hardware.
- G. Asphalt Shingle Roofing: Not Used
- H. Gypsum Board: Stack large clean pieces on wood pallets or in container and store in a dry location. Remove edge trim and sort with other metals. Remove and dispose of fasteners.
- I. Acoustical Ceiling Panels and Tile: Stack large clean pieces on wood pallets and store in a dry location.
- J. Metal Suspension System: Separate metal members including trim, and other metals from acoustical panels and tile and sort with other metals.
- K. Carpet: Roll large pieces tightly after removing debris, trash, adhesive, and tack strips.
 - 1. Store clean, dry carpet in a closed container or trailer provided by Carpet Reclamation Agency or carpet recycler.
- L. Carpet Tile: Remove debris, trash, and adhesive.
 - 1. Stack tile on pallet and store clean, dry carpet in a closed container or trailer provided by Carpet Reclamation Agency or carpet recycler.
- M. Piping: Reduce piping to straight lengths and store by type and size. Separate supports, hangers, valves, sprinklers, and other components by type and size.
- N. Conduit: Reduce conduit to straight lengths and store by type and size.

3.5 RECYCLING CONSTRUCTION WASTE

- A. Packaging:
 - 1. Cardboard and Boxes: Break down packaging into flat sheets. Bundle and store in a dry location.
 - 2. Polystyrene Packaging: Separate and bag materials.
 - 3. Pallets: As much as possible, require deliveries using pallets to remove pallets from Project site. For pallets that remain on-site, break down pallets into component wood pieces and comply with requirements for recycling wood.
 - 4. Crates: Break down crates into component wood pieces and comply with requirements for recycling wood.
- B. Wood Materials:
 - 1. Clean Cut-Offs of Lumber: Grind or chip into small pieces.
 - 2. Clean Sawdust: Bag sawdust that does not contain painted or treated wood.

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- a. Comply with requirements in Section 329300 "Plants" for use of clean sawdust as organic mulch.
- C. Gypsum Board: Stack large clean pieces on wood pallets or in container and store in a dry location.
 - 1. Clean Gypsum Board: Grind scraps of clean gypsum board using small mobile chipper or hammer mill. Screen out paper after grinding.
 - a. Comply with requirements in Section 329300 "Plants" for use of clean ground gypsum board as inorganic soil amendment.
- D. Paint: Seal containers and store by type.

3.6 DISPOSAL OF WASTE

- A. General: Except for items or materials to be salvaged or recycled, remove waste materials from Project site and legally dispose of them in a landfill or incinerator acceptable to authorities having jurisdiction.
 - 1. Except as otherwise specified, do not allow waste materials that are to be disposed of accumulate on-site.
 - 2. Remove and transport debris in a manner that will prevent spillage on adjacent surfaces and areas.
- B. General: Except for items or materials to be salvaged or recycled, remove waste materials and legally dispose of at designated spoil areas on Owner's property.
- C. Burning: Do not burn waste materials.

END OF SECTION 017419

SECTION 017700 - CLOSEOUT PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Special Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for contract closeout, including, but not limited to, the following:
 - 1. Substantial Completion procedures.
 - 2. Final completion procedures.
 - 3. Warranties.
 - 4. Final cleaning.
 - 5. Repair of the Work.
- B. Related Requirements:
 - 1. Section 013233 "Photographic Documentation" for submitting final completion construction photographic documentation.
 - 2. Section 014000 "Quality Requirements" for Final Certificates of Inspection.
 - 3. Section 016000 "Product Requirements" for warranties.
 - 4. Section 017300 "Execution" for Final Property Survey
 - 5. Section 017823 "Operation and Maintenance Data" for additional operation and maintenance manual requirements.
 - 6. Section 017839 "Project Record Documents" for submitting Record Drawings, Record Specifications, and Record Product Data.
 - 7. Section 017900 "Demonstration and Training" for requirements to train the Owner's maintenance personnel to adjust, operate, and maintain products, equipment, and systems and associated video recordings and transcripts.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of cleaning agent.
- B. Contractor's List of Incomplete Items: Initial submittal at Substantial Completion.
- C. Certified List of Incomplete Items: Final submittal at final completion.
- D. Any other outstanding certifications, reposts, logs, or testing results

1.4 CLOSEOUT SUBMITTALS

- A. Certificates of Release: From authorities having jurisdiction.
- B. Certificate of Insurance: For continuing coverage.
- C. Field Report: For pest control inspection.

1.5 MAINTENANCE MATERIAL SUBMITTALS

A. Schedule of Maintenance Material Items: For maintenance material submittal items specified in other Sections.

1.6 SUBSTANTIAL COMPLETION PROCEDURES

- A. Contractor's List of Incomplete Items: Prepare and submit a list of items to be completed and corrected (Contractor's punch list), indicating the value of each item on the list and reasons why the Work is incomplete.
- B. Submittals Prior to Substantial Completion: Complete the following a minimum of ten (10) days prior to requesting inspection for determining date of Substantial Completion. List items below that are incomplete at time of request.
 - 1. Certificates of Release: Obtain and submit releases from authorities having jurisdiction permitting Owner unrestricted use of the Work and access to services and utilities. Include occupancy permits, operating certificates, and similar releases.
 - 2. Submit closeout submittals specified in other Division 01 Sections, including project record documents, operation and maintenance manuals, damage or settlement surveys, property surveys, and similar final record information.
 - 3. Submit closeout submittals specified in individual Sections, including specific warranties, workmanship bonds, maintenance service agreements, final certifications, and similar documents.
 - 4. Submit maintenance material submittals specified in individual Sections, including tools, spare parts, extra materials, and similar items, and deliver to location designated by Architect. Label with manufacturer's name and model number where applicable.
 - a. Schedule of Maintenance Material Items: Prepare and submit schedule of maintenance material submittal items, including name and quantity of each item and name and number of related Specification Section. Obtain Architect's signature for receipt of submittals.
 - 5. Submit testing, adjusting, and balancing records.
 - 6. Submit changeover information related to Owner's occupancy, use, operation, and maintenance.
- C. Procedures Prior to Substantial Completion: Complete the following a minimum of ten (10) days prior to requesting inspection for determining date of Substantial Completion. List items below that are incomplete at time of request.
 - 1. Advise Owner of pending insurance changeover requirements.
 - 2. Make final changeover of permanent locks and deliver keys to Owner. Advise Owner's personnel of changeover in security provisions.
 - 3. Complete startup and testing of systems and equipment.
 - 4. Perform preventive maintenance on equipment used prior to Substantial Completion.
 - 5. Instruct Owner's personnel in operation, adjustment, and maintenance of products, equipment, and systems. Submit demonstration and training video recordings and transcripts specified in Section 017900 "Demonstration and Training."
 - 6. Advise Owner of changeover in utility services.
 - 7. Participate with Owner in conducting inspection and walkthrough with local emergency responders.
 - 8. Terminate and remove temporary facilities from Project site, along with mockups, construction tools, and similar elements.
 - 9. Complete final cleaning requirements.
 - 10. Touch up paint and otherwise repair and restore marred exposed finishes to eliminate visual defects.
- D. Inspection: Submit a written request for inspection to determine Substantial Completion a minimum of ten (10) days prior to date the Work will be completed and ready for final inspection and tests. On receipt

of request, Architect will either proceed with inspection or notify Contractor of unfulfilled requirements. Architect will prepare the Certificate of Substantial Completion after inspection or will notify Contractor of items, either on Contractor's list or additional items identified by Architect, that must be completed or corrected before certificate will be issued.

- 1. Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.
- 2. Results of completed inspection will form the basis of requirements for final completion.

1.7 FINAL COMPLETION PROCEDURES

- A. Submittals Prior to Final Completion: Before requesting final inspection for determining final completion, complete the following:
 - 1. Submit a final Application for Payment according to Section 012900 "Payment Procedures."
 - 2. Certified List of Incomplete Items: Submit certified copy of Architect's Substantial Completion inspection list of items to be completed or corrected (punch list), endorsed and dated by Architect. Certified copy of the list shall state that each item has been completed or otherwise resolved for acceptance.
 - 3. Certificate of Insurance: Submit evidence of final, continuing insurance coverage complying with insurance requirements.
 - 4. Submit pest-control final inspection report.
- B. Inspection: Submit a written request for final inspection to determine acceptance a minimum of ten (10) days prior to date the work will be completed and ready for final inspection and tests. On receipt of request, Architect will either proceed with inspection or notify Contractor of unfulfilled requirements. Architect will prepare a final Certificate for Payment after inspection or will notify Contractor of construction that must be completed or corrected before certificate will be issued.
 - 1. Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.

1.8 LIST OF INCOMPLETE ITEMS (PUNCH LIST)

- A. Organization of List: Include name and identification of each space and area affected by construction operations for incomplete items and items needing correction including, if necessary, areas disturbed by Contractor that are outside the limits of construction.
 - 1. Organize list of spaces in sequential order, starting with exterior areas first and proceeding from lowest floor to highest floor.
 - 2. Organize items applying to each space by major element, including categories for ceiling, individual walls, floors, doors, windows, casework, equipment, and other building systems.
 - 3. Include the following information at the top of each page:
 - a. Project name.
 - b. Date.
 - c. Name of Architect.
 - d. Name of Contractor.
 - e. Page number.
 - 4. Submit list of incomplete items in the following format:
 - a. MS Excel electronic file. Architect will return annotated file. AND
 - b. Three (3) paper copies. Architect will return two copies.

1.9 SUBMITTAL OF PROJECT WARRANTIES

- A. Time of Submittal: Submit written warranties and updated warranty log a minimum of ten [10] days prior to requesting inspections for determining date of substantial completion for designated portions of the Work where warranties are indicated to commence on dates other than date of substantial completion, or when delay in submittal of warranties might limit Owner's rights under warranty.
- B. Partial Occupancy: Submit properly executed warranties within fifteen 15 days of completion of designated portions of the Work that are completed and occupied or used by Owner during construction period by separate agreement with Contractor.
- C. Organize warranty documents into an orderly sequence based on the table of contents of Project Manual.
 - 1. Bind warranties and bonds in heavy-duty, three-ring, vinyl-covered, loose-leaf binders, thickness as necessary to accommodate contents, and sized to receive 8-1/2-by-11-inch paper.
 - 2. Provide Table of Contents listing, each warranty by tab number, spec section and product or installation.
 - 3. Provide updated warranty log confirming warranty periods meet spec requirements.
 - 4. Provide heavy paper dividers with plastic-covered tabs for each separate warranty. Mark tab to identify the product or installation. Provide a typed description of the product or installation, including the name of the product and the name, address, and telephone number of Installer.
 - 5. Identify each binder on the front and spine with the typed or printed title "WARRANTIES," volume and project name, and name of contractor.
 - 6. Warranty Electronic File: Scan warranties and bonds and assemble complete warranty and bond submittal package into a single indexed electronic PDF file with links enabling navigation to each item. Provide bookmarked table of contents at beginning of document.
- D. Provide additional copies of each warranty to include in operation and maintenance manuals.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. Cleaning Agents: Use cleaning materials and agents recommended by manufacturer or fabricator of the surface to be cleaned. Do not use cleaning agents that are potentially hazardous to health or property or that might damage finished surfaces.
 - 1. Use cleaning products that comply with Green Seal's GS-37, or if GS-37 is not applicable, use products that comply with the California Code of Regulations maximum allowable VOC levels.

PART 3 - EXECUTION

3.1 FINAL CLEANING

- A. General: Perform final cleaning. Conduct cleaning and waste-removal operations to comply with local laws and ordinances and Federal and local environmental and antipollution regulations.
- B. Cleaning: Employ experienced workers or professional cleaners for final cleaning. Clean each surface or unit to condition expected in an average commercial building cleaning and maintenance program. Comply with manufacturer's written instructions.
 - 1. Complete the following cleaning operations before requesting inspection for certification of Substantial Completion for entire Project or for a designated portion of Project:

a.

- b. Sweep paved areas broom clean. Remove petrochemical spills, stains, and other foreign deposits.
- c. Rake grounds that are not planted, mulched, or paved to a smooth, even-textured surface.
- d. Remove tools, construction equipment, machinery, and surplus material from Project site.
- e. Remove snow and ice to provide safe access to building.
- f. Clean exposed exterior and interior hard-surfaced finishes to a dirt-free condition, free of stains, films, and similar foreign substances. Avoid disturbing natural weathering of exterior surfaces. Restore reflective surfaces to their original condition.
- g. Remove debris and surface dust from limited access spaces, including roofs, plenums, shafts, trenches, equipment vaults, manholes, attics, and similar spaces.
- h. Sweep concrete floors broom clean in unoccupied spaces.
- i. Vacuum carpet and similar soft surfaces, removing debris and excess nap; clean according to manufacturer's recommendations if visible soil or stains remain.
- j. Clean transparent materials, including mirrors and glass in doors and windows. Remove glazing compounds and other noticeable, vision-obscuring materials. Polish mirrors and glass, taking care not to scratch surfaces.
- k. Remove labels that are not permanent.
- 1. Wipe surfaces of mechanical and electrical equipment, elevator equipment, and similar equipment. Remove excess lubrication, paint and mortar droppings, and other foreign substances.
- m. Clean plumbing fixtures to a sanitary condition, free of stains, including stains resulting from water exposure.
- n. Replace disposable air filters and clean permanent air filters. Clean exposed surfaces of diffusers, registers, and grills.
- o. Clean ducts, blowers, and coils if units were operated without filters during construction or that display contamination with particulate matter on inspection.
 - 1) Clean HVAC system in compliance with NADCA Standard 1992-01. Provide written report on completion of cleaning.
- p. Clean luminaires, lamps, globes, and reflectors to function with full efficiency.
- q. Leave Project clean and ready for occupancy.
- C. Pest Control: Comply with pest control requirements in Section 015000 "Temporary Facilities and Controls." Prepare written report.
- D. Construction Waste Disposal: Comply with waste disposal requirements in Section 017419 "Construction Waste Management and Disposal."

3.2 REPAIR OF THE WORK

- A. Complete repair and restoration operations before requesting inspection for determination of Substantial Completion.
- B. Repair, or remove and replace, defective construction. Repairing includes replacing defective parts, refinishing damaged surfaces, touching up with matching materials, and properly adjusting operating equipment. Where damaged or worn items cannot be repaired or restored, provide replacements. Remove and replace operating components that cannot be repaired. Restore damaged construction and permanent facilities used during construction to specified condition.
 - 1. Remove and replace chipped, scratched, and broken glass, reflective surfaces, and other damaged transparent materials.

- 2. Touch up and otherwise repair and restore marred or exposed finishes and surfaces. Replace finishes and surfaces that that already show evidence of repair or restoration.
 - a. Do not paint over "UL" and other required labels and identification, including mechanical and electrical nameplates. Remove paint applied to required labels and identification.
- 3. Replace parts subject to operating conditions during construction that may impede operation or reduce longevity.
- 4. Replace burned-out bulbs, bulbs noticeably dimmed by hours of use, and defective and noisy starters in fluorescent and mercury vapor fixtures to comply with requirements for new fixtures.

END OF SECTION 017700

SECTION 017823 - OPERATION AND MAINTENANCE DATA

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Special Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for preparing operation and maintenance manuals, including the following:
 - 1. Operation and maintenance documentation directory.
 - 2. Emergency manuals.
 - 3. Operation manuals for systems, subsystems, and equipment.
 - 4. Product maintenance manuals.
 - 5. Systems and equipment maintenance manuals.
- B. Related Requirements:
 - 1. Section 013300 "Submittal Procedures" for submitting copies of submittals for operation and maintenance manuals.
 - 2. Section 017700 "Closeout Procedures" for required closeout documents and process
 - 3. Section 017900 "Demonstration and Training"

1.3 DEFINITIONS

- A. System: An organized collection of parts, equipment, or subsystems united by regular interaction.
- B. Subsystem: A portion of a system with characteristics similar to a system.

1.4 CLOSEOUT SUBMITTALS

- A. Manual Content: Operations and maintenance manual content is specified in individual Specification Sections to be reviewed at the time of Section submittals. Submit reviewed manual content formatted and organized as required by this Section.
 - 1. Architect may comment on whether content of operations and maintenance submittals are acceptable.
 - 2. Where applicable, clarify and update reviewed manual content to correspond to revisions and field conditions.
- B. Format: Submit operations and maintenance manuals in the following format:
 - 1. PDF electronic file. Assemble each manual into a composite electronically indexed file. Submit on digital media acceptable to Architect.
 - a. Name each indexed document file in composite electronic index with applicable item name. Include a complete electronically linked operation and maintenance directory.
 - b. Enable inserted reviewer comments on draft submittals.
 - 2. Three (3) paper copies. Include a complete operation and maintenance directory. Enclose title pages and directories in clear plastic sleeves.

- C. Initial Manual Submittal: Submit draft copy of agenda and each manual at least forty-five (45) days before commencing each demonstration and training. Architect will comment on whether general scope and content of manual are acceptable.
- D. Final Manual Submittal: Submit each manual in final form prior to requesting review for Substantial Completion and at least fifteen (15) days before commencing demonstration and training.
 - 1. Revise each manual, if required, to comply with written comments. Submit copies of each corrected manual upon receipt of comments and submit five (5) days prior to commencing demonstration and training.

PART 2 - PRODUCTS

2.1 OPERATION AND MAINTENANCE DOCUMENTATION DIRECTORY

- A. Directory: Prepare a single, comprehensive directory of emergency, operation, and maintenance data and materials, listing items and their location to facilitate ready access to desired information. Include a section in the directory for each of the following:
 - 1. List of documents.
 - 2. List of systems.
 - 3. List of equipment.
 - 4. Table of contents.
- B. List of Systems and Subsystems: List systems alphabetically. Include references to operation and maintenance manuals and specification sections that contain information about each system.
- C. List of Equipment: List equipment for each system, organized alphabetically by system. For pieces of equipment not part of system, list alphabetically in separate list.
- D. Tables of Contents: Include a table of contents for each emergency, operation, and maintenance manual.
- E. Identification: In the documentation directory and in each operation and maintenance manual, identify each system, subsystem, and piece of equipment with same designation used in the Contract Documents. If no designation exists, assign a designation according to ASHRAE Guideline 4, "Preparation of Operating and Maintenance Documentation for Building Systems."

2.2 REQUIREMENTS FOR EMERGENCY, OPERATION, AND MAINTENANCE MANUALS

- A. Organization: Unless otherwise indicated, organize each manual into a separate section for each system and subsystem, and a separate section for each piece of equipment not part of a system. Each manual shall contain the following materials, in the order listed:
 - 1. Title page.
 - 2. Table of contents.
 - 3. Manual contents.
- B. Title Page: Include the following information:
 - 1. Subject matter included in manual.
 - 2. Name and address of Project.
 - 3. Name and address of Owner.
 - 4. Date of submittal.
 - 5. Name and contact information for Contractor.
 - 6. Name and contact information for Construction Manager.
 - 7. Name and contact information for Architect.

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- 8. Name and contact information for Commissioning Authority.
- 9. Names and contact information for major consultants to the Architect that designed the systems contained in the manuals.
- 10. Cross-reference to related systems in other operation and maintenance manuals.
- C. Table of Contents: List each product included in manual, identified by product name, indexed to the content of the volume, and cross-referenced to Specification Section number in Project Manual.
 - 1. If operation or maintenance documentation requires more than one volume to accommodate data, include comprehensive table of contents for all volumes in each volume of the set.
- D. Manual Contents: Organize into sets of manageable size. Arrange contents alphabetically by system, subsystem, and equipment. If possible, assemble instructions for subsystems, equipment, and components of one system into a single binder.
- E. Manuals, Electronic Files: Submit manuals in the form of a multiple file composite electronic PDF file for each manual type required.
 - 1. Electronic Files: Use electronic files prepared by manufacturer where available. Where scanning of paper documents is required, configure scanned file for minimum readable file size.
 - 2. File Names and Bookmarks: Enable bookmarking of individual documents based on file names. Name document files to correspond to system, subsystem, and equipment names used in manual directory and table of contents. Group documents for each system and subsystem into individual composite bookmarked files, then create composite manual, so that resulting bookmarks reflect the system, subsystem, and equipment names in a readily navigated file tree. Configure electronic manual to display bookmark panel on opening file.
- F. Manuals, Paper Copy: Submit manuals in the form of hard copy, bound and labeled volumes.
 - 1. Binders: Heavy-duty, three-ring, vinyl-covered, loose-leaf binders, in thickness necessary to accommodate contents, sized to hold 8-1/2-by-11-inch 11 by 17 maximum size pages, fold to 8-1/2 by 11-inch size and insert into binders as fold out; with clear plastic sleeve on spine to hold label describing contents and with pockets inside covers.
 - a. If two or more binders are necessary to accommodate data of a system, organize data in each binder into groupings by subsystem and related components. Cross-reference other binders if necessary, to provide essential information for proper operation or maintenance of equipment or system.
 - b. Identify each binder on front and spine, with printed title "OPERATION AND MAINTENANCE MANUAL," Project title or name, subject matter of contents and indicate Specification Section number on bottom of spine. Indicate volume number for multiple-volume sets.
 - 2. Dividers: Heavy-paper dividers with plastic-covered tabs for each section of the manual. Mark each tab to indicate contents. Include typed list of products and major components of equipment included in the section on each divider, cross-referenced to Specification Section number and title of Project Manual.
 - 3. Protective Plastic Sleeves: Transparent plastic sleeves designed to enclose diagnostic software storage media for computerized electronic equipment.
 - 4. Supplementary Text: Prepared on 8-1/2-by-11-inch white bond paper.
 - 5. Drawings: Attach reinforced, punched binder tabs on drawings and bind with text.
 - a. If oversize drawings are necessary, fold drawings to same size as text pages and use as foldouts.
 - b. If drawings are too large to be used as foldouts, fold and place drawings in labeled envelopes and bind envelopes in rear of manual. At appropriate locations in manual, insert typewritten pages indicating drawing titles, descriptions of contents, and drawing locations.

2.3 EMERGENCY MANUALS

- A. Content: Organize manual into a separate section for each of the following:
 - 1. Type of emergency.
 - 2. Emergency instructions.
 - 3. Emergency procedures.
- B. Type of Emergency: Where applicable for each type of emergency indicated below, include instructions and procedures for each system, piece of equipment, and component:
 - 1. Fire.
 - 2. Flood.
 - 3. Gas leak.
 - 4. Water leak.
 - 5. Power failure.
 - 6. Water outage.
 - 7. System, subsystem, or equipment failure.
 - 8. Chemical release or spill.
- C. Emergency Instructions: Describe and explain warnings, trouble indications, error messages, and similar codes and signals. Include responsibilities of Owner's operating personnel for notification of Installer, supplier, and manufacturer to maintain warranties.
- D. Emergency Procedures: Include the following, as applicable:
 - 1. Instructions on stopping.
 - 2. Shutdown instructions for each type of emergency.
 - 3. Operating instructions for conditions outside normal operating limits.
 - 4. Required sequences for electric or electronic systems.
 - 5. Special operating instructions and procedures.

2.4 OPERATION MANUALS

- A. Content: In addition to requirements in this Section, include operation data required in individual Specification Sections and the following information:
 - 1. System, subsystem, and equipment descriptions. Use designations for systems and equipment indicated on Contract Documents.
 - 2. Performance and design criteria.
 - 3. Operating standards.
 - 4. Operating procedures.
 - 5. Operating logs.
 - 6. Wiring diagrams.
 - 7. Control diagrams.
 - 8. Piped system diagrams.
 - 9. Precautions against improper use.
 - 10. License requirements including inspection and renewal dates.
- B. Descriptions: Include the following:
 - 1. Product name and model number. Use designations for products indicated on Contract Documents.
 - 2. Manufacturer's name.
 - 3. Equipment identification, model name/number and serial number of each component.
 - 4. Equipment function.
 - 5. Operating characteristics.

- 6. Limiting conditions.
- 7. Performance curves.
- 8. Engineering data and tests.
- 9. Complete nomenclature and number of replacement parts.
- C. Operating Procedures: Include the following, as applicable:
 - 1. Startup procedures.
 - 2. Equipment or system break-in procedures.
 - 3. Routine and normal operating instructions.
 - 4. Regulation and control procedures.
 - 5. Instructions on stopping.
 - 6. Normal shutdown instructions.
 - 7. Seasonal and weekend operating instructions.
 - 8. Required sequences for electric or electronic systems.
 - 9. Special operating instructions and procedures.
- D. Systems and Equipment Controls: Describe the sequence of operation, and diagram controls as installed.
- E. Piped Systems: Diagram piping as installed and identify color-coding where required for identification.

2.5 PRODUCT MAINTENANCE MANUALS

- A. Content: Organize manual into a separate section for each product, material, and finish. Include source information, product information, maintenance procedures, repair materials and sources, and warranties and bonds, as described below.
- B. Source Information: List each product included in manual identified by product name and arranged to match manual's table of contents. For each product, list name, address, and telephone number and other contact information of Installer, supplier and maintenance service agent, cross-reference Specification Section number and title in Project Manual and drawing or schedule designation or identifier where applicable.
- C. Product Information: Include the following, as applicable:
 - 1. Product name, model and serial number.
 - 2. Manufacturer's name.
 - 3. Color, pattern, and texture.
 - 4. Material and chemical composition.
 - 5. Reordering information for specially manufactured products.
- D. Maintenance Procedures: Include manufacturer's written recommendations and the following:
 - 1. Inspection procedures.
 - 2. Types of cleaning agents to be used and methods of cleaning.
 - 3. List of cleaning agents and methods of cleaning detrimental to product.
 - 4. Schedule for routine cleaning and maintenance.
 - 5. Repair instructions.
- E. Repair Materials and Sources: Include lists of materials and local sources of materials and related services.
- F. Warranties and Bonds: Include copies of warranties and bonds and lists of circumstances and conditions that would affect validity of warranties or bonds.
 - 1. Include procedures to follow and required notifications for warranty claims.

2.6 SYSTEMS AND EQUIPMENT MAINTENANCE MANUALS

- A. Content: For each system, subsystem, and piece of equipment not part of a system, include source information, manufacturers' maintenance documentation, maintenance procedures, maintenance and service schedules, spare parts list and source information, maintenance service contracts, and warranty and bond information, as described below.
- B. Source Information: List each system, subsystem, and piece of equipment included in manual identified by product name and arranged to match project specification section and manual's table of contents. For each product, list name, address, and telephone number of Installer or supplier and maintenance service agent, and cross-reference Specification Section number and title in Project Manual and drawing or schedule designation or identifier where applicable.
- C. Manufacturers' Maintenance Documentation: Manufacturers' maintenance documentation including the following information for each component part or piece of equipment:
 - 1. Standard maintenance instructions and bulletins.
 - 2. Drawings, diagrams, and instructions required for maintenance, including disassembly and component removal, replacement parts, product replacement, and assembly.
 - 3. Identification and nomenclature of parts and components.
 - 4. List of items recommended to be stocked as spare parts.
- D. Maintenance Procedures: Include the following information and items that detail essential maintenance procedures:
 - 1. Test and inspection instructions.
 - 2. Troubleshooting guide.
 - 3. Precautions against improper maintenance.
 - 4. Disassembly; component removal, repair, and replacement; and reassembly instructions.
 - 5. Aligning, adjusting, and checking instructions.
 - 6. Demonstration and training video recording, if available.
- E. Maintenance and Service Schedules: Include service and lubrication requirements, list of required lubricants for equipment, and separate schedules for preventive and routine maintenance and service with standard time allotment.
 - 1. Scheduled Maintenance and Service: Tabulate actions for daily, weekly, monthly, quarterly, semiannual, and annual frequencies.
 - 2. Maintenance and Service Record: Include manufacturers' forms for recording maintenance.
- F. Spare Parts List and Source Information: Include lists of replacement and repair parts, with parts identified and cross-referenced to manufacturers' maintenance documentation and local sources of maintenance materials and related services.
- G. Maintenance Service Contracts: Include copies of maintenance agreements with name and telephone number of service agent.
- H. Warranties and Bonds: Include copies of warranties and bonds and lists of circumstances and conditions that would affect validity of warranties or bonds.
 - 1. Include procedures to follow and required notifications for warranty claims.

PART 3 - EXECUTION

3.1 MANUAL PREPARATION

A. Operation and Maintenance Documentation Directory: Prepare a separate manual that provides an organized reference to emergency, operation, and maintenance manuals.

- B. Emergency Manual: Assemble a complete set of emergency information indicating procedures for use by emergency personnel and by Owner's operating personnel for types of emergencies indicated.
- C. Product Maintenance Manual: Assemble a complete set of maintenance data indicating care and maintenance of each product, material, and finish incorporated into the Work.
- D. Operation and Maintenance Manuals: Assemble a complete set of operation and maintenance data indicating operation and maintenance of each system, subsystem, and piece of equipment not part of a system.
 - 1. Engage a factory-authorized service representative to assemble and prepare information for each system, subsystem, and piece of equipment not part of a system.
 - 2. Prepare a separate manual for each system and subsystem, in the form of an instructional manual for use by Owner's operating personnel.
- E. Manufacturers' Data: Where manuals contain manufacturers' standard printed data, include only sheets pertinent to product or component installed. Mark each sheet to identify each product or component incorporated into the Work. If data include more than one item in a tabular format, identify each item using appropriate references from the Contract Documents. Identify data applicable to the Work and delete references to information not applicable.
 - 1. Prepare supplementary text if manufacturers' standard printed data are not available and where the information is necessary for proper operation and maintenance of equipment or systems.
- F. Drawings: Prepare drawings supplementing manufacturers' printed data to illustrate the relationship of component parts of equipment and systems and to illustrate control sequence and flow diagrams. Coordinate these drawings with information contained in record Drawings to ensure correct illustration of completed installation.
 - 1. Do not use original project record documents as part of operation and maintenance manuals.
 - 2. Comply with requirements of newly prepared record Drawings in Section 017839 "Project Record Documents."
- G. Comply with Section 017700 "Closeout Procedures" for schedule for submitting operation and maintenance documentation.

END OF SECTION 017823

SECTION 017839 - PROJECT RECORD DOCUMENTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Special Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for project record documents, including the following:
 - 1. Record Drawings.
 - 2. Record Specifications.
 - 3. Record Product Data.
 - 4. Miscellaneous record submittals.
- B. Related Requirements:
 - 1. Section 012900 "Payment Procedures"
 - 2. Section 013300 "Submittals"
 - 3. Section 017700 "Closeout Procedures" for general closeout procedures.
 - 4. Section 017823 "Operation and Maintenance Data" for operation and maintenance manual requirements.

1.3 CLOSEOUT SUBMITTALS

- A. Record Drawings: Comply with the following:
 - 1. Number of Copies: Submit copies of record Drawings as follows:
 - a. Initial Submittal:
 - 1) Submit PDF electronic files of scanned record prints and one set of file prints.
 - 2) Architect will indicate whether general scope of changes, additional information recorded, and quality of drafting are acceptable.
 - b. Final Submittal:
 - 1) Submit Final Form as indicated below. Include on cover; name and contact information for Contractor, Project Inspector and subcontractors.
 - 2) Print each drawing, whether or not changes and additional information were recorded.
- B. Record Specifications: Submit one paper copy with approved addenda and contract modifications posted on separate pages, inserted before original page with original page crossed out but remaining within the binder. Along with an annotated PDF electronic file of Project's
 - 1. Where record Product Data are required as part of operation and maintenance manuals, submit duplicate marked-up Product Data as a component of manual.
- C. Miscellaneous Record Submittals: See other Specification Sections for miscellaneous record-keeping requirements and submittals in connection with various construction activities.

D. Reports: Submit written report weekly indicating items incorporated into project record documents concurrent with progress of the Work, including revisions, concealed conditions, field changes, product selections, and other notations incorporated.

PART 2 - PRODUCTS

2.1 RECORD DRAWINGS

- A. Record Prints: Maintain one set of marked-up paper copies of the Contract Drawings and Shop Drawings, regularly incorporating new and revised drawings as modifications are issued and up-to-date work progress.
 - 1. Preparation: Mark record prints to show the actual installation where installation varies from that shown originally. Require individual or entity who obtained record data, whether individual or entity is Installer, subcontractor, or similar entity, to provide information for preparation of corresponding marked-up record prints.
 - a. Give particular attention to information on concealed elements that would be difficult to identify or measure and record later.
 - b. Accurately record information in a drawing technique acceptable to the Architect. Before first payment application submit a representative sample of record drawings including a utility site plan and a building plan showing methods of documenting graphics and text. Revise as noted and required to meet specified standards.
 - c. Record data as soon as possible after obtaining it, but not less than weekly. Project Inspector to verify and confirm work is accurately recorded prior to approval and submission of monthly applications for payment.
 - d. Record and check the markup before enclosing concealed installations. Project Inspector to verify and confirm accuracy of markups prior to enclosing concealed installations.
 - e. Cross-reference record prints to corresponding archive photographic documentation.
 - f. Record data shall be drawn with drafting tools in a professional manner, using heavy line weights and 1/8" minimum lettering. Graphic techniques shall match Architects drawings and graphic standards with respect to dimension lines, leader arrows, text symbols, abbreviations, etc. If Contractor does not have a qualified in-house drafter, Contractor shall retain or contract with a professional architectural drafter able to meet quality standards.
 - 2. Content: Types of items requiring marking include, but are not limited to, the following:
 - a. Dimensional changes to Drawings.
 - b. Revisions to details shown on Drawings.
 - c. Depths of foundations below first floor.
 - d. Locations, sizes and depths of underground utilities.
 - e. Revisions to routing of piping and conduits.
 - f. Revisions to electrical circuitry.
 - g. Actual equipment locations.
 - h. Duct size and routing.
 - i. Locations of concealed internal utilities.
 - j. Changes made by Change Order or Construction Change Directive.
 - k. Changes made following Architect's written orders.
 - 1. Details not on the original Contract Drawings.
 - m. Field records for variable and concealed conditions.
 - n. Record information on the Work that is shown only schematically.
 - 3. Mark the Contract Drawings and Shop Drawings completely and accurately. Use personnel proficient at recording graphic information in production of marked-up record prints.

- 4. Mark record sets with erasable, red-colored pencil. Use other colors to distinguish between changes for different categories of the Work at same location.
- 5. Mark important additional information that was either shown schematically or omitted from original Drawings.
- 6. Note Construction Change Directive numbers, alternate numbers, Change Order numbers, and similar identification, where applicable.
- 7. Site Utilities: Locate all new underground work, and all existing underground work encountered, by:
 - a. Horizontal dimensions to two (2) permanent walls, columns or permanent site feature. Locate each change in direction and vertical dimension relevant to survey control.
 - b. Vertical dimensions below finished grade or by invert elevation.
 - c. For existing utilities include utility type, size and approximate direction it is running.
 - d. Cross out routing or location shown on drawings if not in the correct location. Redraw in the actual location.
 - e. For work concealed in a building provide sufficient information so it can be located with reasonable accuracy and ease.

2.2 FINAL FORM RECORD DRAWINGS

- A. Immediately before review for Certificate of Substantial Completion, review marked-up Record Prints with the Architect and Project Inspector for form, content, graphic standards and quality of presentation. Update revise and complete as required to meet quality standards. When authorized, prepare a formal full set of corrected Record Drawing data files as follows:
 - 1. Incorporate all changes and additional information previously marked on Record Prints and developed through project. Professionally redraft in conformance with the graphic standards established in the Contract Documents including dimensions, deletions, additions, revisions and added details, information, notes and notations where applicable. Draft in an approved way that clearly distinguishes these mark-ups from the Contract Documents.
 - 2. Provide the drafted original, one paper copy and one scanned PDF electronic copy.
- B. Newly Prepared Record Drawings: Prepare new Drawings instead of preparing record Drawings where Architect determines that neither the original Contract Drawings nor Shop Drawings are suitable to show actual installation.
 - 1. New Drawings may be required when a Change Order is issued as a result of accepting an alternate, substitution, or other modification.
 - 2. Consult Architect for proper scale and scope of detailing and notations required to record the actual physical installation and its relation to other construction. Integrate newly prepared record Drawings into record Drawing sets; comply with procedures for formatting, organizing, copying, binding, and submitting.
- C. Format: Identify and date each record Drawing; include the designation "PROJECT RECORD DRAWING" in a prominent location.
 - 1. Record Prints: Organize record prints and newly prepared record Drawings into manageable sets. Bind each set with durable paper cover sheets. Include identification on cover sheets.
 - 2. Identification: As follows include current contact information for each entity:
 - a. Project name.
 - b. Date.
 - c. Designation "PROJECT RECORD DRAWINGS."
 - d. Name of Architect.
 - e. Name of Contractor.

2.2 RECORD SPECIFICATIONS

- A. Preparation: Mark Specifications to indicate the actual product installation where installation varies from that indicated in Specifications, addenda, and contract modifications.
 - 1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
 - 2. Mark copy with the proprietary name and model number of products, materials, and equipment furnished, including substitutions and product options selected.
 - 3. Record the name of manufacturer, supplier, Installer, and other information necessary to provide a record of selections made.
 - 4. For each principal product, indicate whether record Product Data has been submitted in operation and maintenance manuals instead of submitted as record Product Data.
 - 5. Note related Change Orders, record Product Data, and record Drawings where applicable.
- B. Format: Submit record Specifications as one original, one paper copy and one scanned PDF electronic copy.

2.3 RECORD PRODUCT DATA

- A. Preparation: Mark Product Data to indicate the actual product installation where installation varies substantially from that indicated in Product Data submittal.
 - 1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
 - 2. Include significant changes in the product delivered to Project site and changes in manufacturer's written instructions for installation.
 - 3. Note related Change Orders and record Drawings where applicable.
- B. Format: two marked-up paper copies of Product Data and scanned PDF electronic file(s).
 - 1. Include record Product Data directory organized by Specification Section number and title, electronically linked to each item of record Product Data.

2.4 MISCELLANEOUS RECORD SUBMITTALS

- A. Assemble miscellaneous records required by other Specification Sections for miscellaneous record keeping and submittal in connection with actual performance of the Work. Bind or file miscellaneous records and identify each, ready for continued use and reference.
- B. Format: Submit miscellaneous record submittals as two marked-up paper copies of Product Data and scanned PDF electronic file(s).
 - 1. Include miscellaneous record submittals directory organized by Specification Section number and title, electronically linked to each item of miscellaneous record submittals.

PART 3 - EXECUTION

3.1 RECORDING AND MAINTENANCE

A. Recording: Maintain one copy of each submittal during the construction period for project record document purposes. Post changes and revisions to project record documents as they occur; do not wait until end of Project.

B. Maintenance of Record Documents and Samples: Store record documents and Samples in the field office apart from the Contract Documents used for construction. Do not use project record documents for construction purposes. Maintain record documents in good order and in a clean, dry, legible condition, protected from deterioration and loss. Provide access to project record documents for Architect's reference during normal working hours.

END OF SECTION 017839

SECTION 017900 - DEMONSTRATION AND TRAINING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Special Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for instructing Owner's personnel, including the following:
 - 1. Instruction in operation and maintenance of systems, subsystems, and equipment.
 - 2. Demonstration and training video recordings & transcripts.
- B. Related Requirements:
 - 1. Section 017700 "Closeout Procedures."
 - 2. Section 017823 "Operation and Maintenance Data."

1.3 INFORMATIONAL SUBMITTALS

- A. Instruction Program: Provide Owner and Owner's maintenance staff on-site training on the use and maintenance of actual:
 - 1. Sealants.
- B. Instruction Program: Submit outline of instructional program for demonstration and training, including a list of training modules and a schedule of proposed dates, times, length of instruction time, and instructors' names for each training module. Coordinate training with Owner and conduct training sessions when Owner's representatives are available. Submit ten (10) days prior to scheduled time of training, learning objective and outline for each training module.

Proposed training modules may include using manufacturer's-produced demonstration and training video recordings for systems, equipment, and products in addition to video recording of live instructional module

- C. Qualification Data: Submit documentation demonstrating qualifications, credentials & experience including but not limited to:
 - 1. For videographer, professional experience producing, lighting, learning objectives, recording, filming, editing and writing educational videos.
 - 2. For facilitator and trainer, professional experience in preparing instructional programs, training module presenting and evaluating participants mastery of topics
- D. Attendance Record: For each training module, submit list of participants and length of instruction time.

1.4 CLOSEOUT SUBMITTALS

- A. Demonstration and Training Video Recordings: Submit two copies within fourteen (14) days of end of each training module.
 - 1. Identification: On each copy, provide an applied label with the following information:
 - a. Name of Project.

- b. Name and address of videographer.
- c. Name of Architect.
- d. Name of Construction Manager.
- e. Name of Contractor.
- f. Date of video recording.
- 2. Transcript: Prepared and bound in format matching operation and maintenance manuals. Mark appropriate identification on front and spine of each binder. Include a cover sheet with same label information as the corresponding video recording. Include name of Project and date of video recording on each page.
- 3. Transcript: Prepared in PDF electronic format. Include a cover sheet with same label information as the corresponding video recording and a table of contents with links to corresponding training components. Include name of Project and date of video recording on each page.
- 4. At completion of training, submit complete training manual(s) for Owner's use prepared in same paper and PDF file format required for operation and maintenance manuals specified in Section 017823 "Operation and Maintenance Data."

1.5 QUALITY ASSURANCE

- A. Facilitator Qualifications: A firm or individual experienced in preparing training modules and educating maintenance personnel in a training program similar in content and extent to that indicated for this Project, and whose work has resulted in training or education with a record of successful learning performance.
- B. Instructor Qualifications: A factory-authorized service representative with a minimum of seven (7) years professional experience complying with requirements in Section 014000 "Quality Requirements," experienced in operation and maintenance procedures and training.
- C. Videographer Qualifications: A professional videographer who is experienced photographing demonstration and training events similar to those required.
- D. Pre-instruction Conference: Conduct conference at Project site to comply with requirements in Section 013100 "Project Management and Coordination." Review methods and procedures related to demonstration and training including, but not limited to, the following:
 - 1. Inspect and discuss locations and other facilities required for instruction.
 - 2. Review and finalize instruction schedule and verify availability of educational materials, instructors' personnel, audiovisual equipment, and facilities needed to avoid delays.
 - 3. Review required content of instruction.
 - 4. For instruction that must occur outside, review weather and forecasted weather conditions and procedures to follow if conditions are unfavorable.

1.6 COORDINATION

- A. Coordinate instruction schedule with Owner's operations. Adjust schedule as required to minimize disrupting Owner's operations and to ensure availability of Owner's personnel.
- B. Coordinate instructors, including providing notification of dates, times, length of instruction time, and course content.
- C. Coordinate content of training modules with content of approved emergency, operation, and maintenance manuals. Do not submit instruction program until operation and maintenance data have been reviewed and approved by Architect.

1.7 INSTRUCTION PROGRAM

- A. Program Structure: Develop an instruction program that includes individual training modules for each system and for equipment not part of a system, as required by individual Specification Sections.
- B. Training Modules: Develop a learning objective and teaching outline for each module. Include a description of specific skills and knowledge that participant is expected to master. For each module, include instruction for the following as applicable to the system, equipment, or component:
 - 1. Basis of System Design, Operational Requirements, and Criteria: Include the following:
 - a. System, subsystem, and equipment descriptions.
 - b. Performance and design criteria if Contractor is delegated design responsibility.
 - c. Operating standards.
 - d. Regulatory requirements.
 - e. Equipment function.
 - f. Operating characteristics.
 - g. Limiting conditions.
 - h. Performance curves.
 - 2. Documentation: Review the following items in detail:
 - a. Emergency manuals.
 - b. Systems and equipment operation manuals.
 - c. Systems and equipment maintenance manuals.
 - d. Product maintenance manuals.
 - e. Project Record Documents.
 - f. Identification systems.
 - g. Warranties and bonds.
 - h. Maintenance service agreements and similar continuing commitments.
 - 3. Emergencies: Include the following, as applicable:
 - a. Instructions on meaning of warnings, trouble indications, and error messages.
 - b. Instructions on stopping.
 - c. Shutdown instructions for each type of emergency.
 - d. Operating instructions for conditions outside of normal operating limits.
 - e. Sequences for electric or electronic systems.
 - f. Special operating instructions and procedures.
 - 4. Operations: Include the following, as applicable:
 - a. Startup procedures.
 - b. Equipment or system break-in procedures.
 - c. Routine and normal operating instructions.
 - d. Regulation and control procedures.
 - e. Control sequences.
 - f. Safety procedures.
 - g. Instructions on stopping.
 - h. Normal shutdown instructions.
 - i. Operating procedures for emergencies.
 - j. Operating procedures for system, subsystem, or equipment failure.
 - k. Seasonal and weekend operating instructions.
 - 1. Required sequences for electric or electronic systems.
 - m. Special operating instructions and procedures.
 - 5. Adjustments: Include the following:

- a. Alignments.
- b. Checking adjustments.
- c. Noise and vibration adjustments.
- d. Economy and efficiency adjustments.
- 6. Troubleshooting: Include the following:
 - a. Diagnostic instructions.
 - b. Test and inspection procedures.
- 7. Maintenance: Include the following:
 - a. Inspection procedures.
 - b. Types of cleaning agents to be used and methods of cleaning.
 - c. List of cleaning agents and methods of cleaning detrimental to product.
 - d. Procedures for routine cleaning.
 - e. Procedures for preventive maintenance.
 - f. Procedures for routine maintenance.
 - g. Instruction on use of special tools.
- 8. Repairs: Include the following:
 - a. Diagnosis instructions.
 - b. Repair instructions.
 - c. Disassembly; component removal, repair, and replacement; and reassembly instructions.
 - d. Instructions for identifying parts and components.
 - e. Review of spare parts needed for operation and maintenance.

1.8 PREPARATION

- A. Assemble educational materials necessary for instruction, including documentation and training module. Assemble training modules into a training manual organized in coordination with requirements in Section 017823 "Operation and Maintenance Data."
- B. Set up instructional equipment at instruction location.

1.9 INSTRUCTION

- A. Facilitator: Engage approved qualified facilitator to prepare instruction program and training modules, to coordinate instructors, and to coordinate between Contractor and Owner for number of participants, instruction times, and location.
- B. Engage approved qualified instructors to instruct Owner's personnel to adjust, operate, and maintain systems, subsystems, and equipment not part of a system.
 - 1. Architect will furnish an instructor to describe basis of system design, operational requirements, criteria, and regulatory requirements.
 - 2. Owner will furnish an instructor to describe Owner's operational philosophy.
 - 3. Owner will furnish Contractor with names and positions of participants.
- C. Scheduling: Provide instruction at mutually agreed-on times. For equipment that requires seasonal operation, provide similar instruction at start of each season.
 - 1. Schedule training with Owner, through Architect, with at least seven (7) days' advance notice.
- D. Training Location and Reference Material: Conduct training on-site in the completed and fully operational facility using the actual equipment in-place. Conduct training using final operation and maintenance data submittals.

- E. Evaluation: At conclusion of each training module, assess and document each participant's mastery of module by use of a demonstration performance-based test. Provide follow up instruction and assessment if required to assume effective training.
- F. Cleanup: Collect used and leftover educational materials and give to Owner. Remove instructional equipment. Restore systems and equipment to condition existing before initial training use.

1.10 DEMONSTRATION AND TRAINING VIDEO RECORDINGS

- A. General: Engage a qualified commercial videographer to record demonstration and training video recordings. Record each training module separately. Include field and classroom instructions and demonstrations, board diagrams, and other visual aids, but not student practice.
 - 1. At beginning of each training module, record each chart containing learning objective and lesson outline.
- B. Digital Video Recordings: Provide high-resolution, digital video in MPEG format, produced by a digital camera with minimum sensor resolution of 12 megapixels and capable of recording in full HD mode with vibration reduction technology.
 - 1. Submit video recordings on CD-ROM or thumb drive.
 - 2. File Hierarchy: Organize folder structure and file locations according to Project Manual table of contents. Provide complete screen-based menu.
 - 3. File Names: Utilize file names based on name of equipment generally described in video segment, as identified in Project specifications.
 - 4. Contractor and Installer Contact File: Using appropriate software, create a file for inclusion on the equipment demonstration and training recording that describes the following for each Contractor involved on the Project, arranged according to Project Manual table of contents:
 - a. Name of Contractor/Installer.
 - b. Business address.
 - c. Business phone number.
 - d. Point of contact.
 - e. Email address.
- C. Recording: Mount camera on tripod before starting recording, unless otherwise necessary to adequately cover area of demonstration and training. Display continuous running time.
 - 1. Film training session(s) in segments not to exceed 15 minutes.
 - a. Produce segments to present a single significant piece of equipment per segment.
 - b. Organize segments with multiple pieces of equipment to follow order of Project Manual table of contents.
 - c. Where a training session on a particular piece of equipment exceeds 15 minutes, stop filming and pause training session. Begin training session again upon commencement of new filming segment.
- D. Light Levels: Verify light levels are adequate to properly light equipment. Verify equipment markings are clearly visible prior to recording.
 - 1. Furnish additional portable lighting as required.
- E. Transcript: Provide a transcript of the narration. Display images and running time captured from videotape opposite the corresponding narration segment.
- F. Preproduced Video Recordings: Provide video recordings used as a component of training modules in same format as recordings of live training.

PART 2 - PRODUCTS

PART 3 - EXECUTION

END OF SECTION 017900

SECTION 024119 - SELECTIVE DEMOLITION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Special Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Demolition and removal of selected portions of building or structure.
- B. Related Requirements:
 - 1. Section 011000 "Summary" for restrictions on use of the premises, Owner-occupancy requirements, and phasing requirements.
 - 2. Section 017300 "Execution" for cutting and patching procedures.

1.3 DEFINITIONS

- A. Remove: Detach items from existing construction and dispose of them off-site unless indicated to be salvaged or reinstalled.
- B. Remove and Salvage: Detach items from existing construction, in a manner to prevent damage, and deliver to Owner ready for reuse.
- C. Remove and Reinstall: Detach items from existing construction, in a manner to prevent damage, prepare for reuse, and reinstall where indicated.
- D. Existing to Remain: Leave existing items that are not to be removed and that are not otherwise indicated to be salvaged or reinstalled.
- E. Dismantle: To remove by disassembling or detaching an item from a surface, using gentle methods and equipment to prevent damage to the item and surfaces; disposing of items unless indicated to be salvaged or reinstalled.

1.4 MATERIALS OWNERSHIP

- A. Unless otherwise indicated, demolition waste becomes property of Contractor.
- B. Historic items, relics, antiques, and similar objects including, but not limited to, cornerstones and their contents, commemorative plaques and tablets, and other items of interest or value to Owner that may be uncovered during demolition remain the property of Owner.
 - 1. Carefully salvage in a manner to prevent damage and promptly return to Owner.

1.5 PREINSTALLATION MEETINGS

- A. Predemolition Conference: Conduct conference at Project site.
 - 1. Inspect and discuss condition of construction to be selectively demolished.
 - 2. Review structural load limitations of existing structure.

SELECTIVE DEMOLITION

- 3. Review and finalize selective demolition schedule and verify availability of materials, demolition personnel, equipment, and facilities needed to make progress and avoid delays.
- 4. Review requirements of work performed by other trades that rely on substrates exposed by selective demolition operations.
- 5. Review areas where existing construction is to remain and requires protection.

1.6 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For refrigerant recovery technician.
- B. Proposed Protection Measures: Submit report, including Drawings, that indicates the measures proposed for protecting individuals and property, for environmental protection, for dust control and, for noise control. Indicate proposed locations and construction of barriers.
- C. Schedule of Selective Demolition Activities: Indicate the following:
 - 1. Detailed sequence of selective demolition and removal work, with starting and ending dates for each activity. Ensure Owner's on-site operations are uninterrupted.
 - 2. Interruption of utility services. Indicate how long utility services will be interrupted.
 - 3. Coordination for shutoff, capping, and continuation of utility services.
 - 4. Use of elevator and stairs.
 - 5. Coordination of Owner's continuing occupancy of portions of existing building and of Owner's partial occupancy of completed Work.
- D. Predemolition Photographs or Video: Show existing conditions of adjoining construction, including finish surfaces, that might be misconstrued as damage caused by demolition operations. Comply with Section 013233 "Photographic Documentation." Submit before Work begins.
- E. Warranties: Documentation indicating that existing warranties are still in effect after completion of selective demolition.

1.7 CLOSEOUT SUBMITTALS

A. Inventory: Submit a list of items that have been removed and salvaged.

1.8 QUALITY ASSURANCE

A. Refrigerant Recovery Technician Qualifications: Certified by an EPA-approved certification program.

1.9 FIELD CONDITIONS

- A. Owner will occupy portions of building immediately adjacent to selective demolition area. Conduct selective demolition so Owner's operations will not be disrupted.
- B. Conditions existing at time of inspection for bidding purpose will be maintained by Owner as far as practical.
- C. Notify Architect of discrepancies between existing conditions and Drawings before proceeding with selective demolition.
- D. Hazardous Materials: It is not expected that hazardous materials will be encountered in the Work.
 - 1. Hazardous materials will be removed by Owner before start of the Work.
 - 2. If suspected hazardous materials are encountered, do not disturb; immediately notify Architect and Owner. Hazardous materials will be removed by Owner under a separate contract.
- E. Storage or sale of removed items or materials on-site is not permitted.

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- F. Utility Service: Maintain existing utilities indicated to remain in service and protect them against damage during selective demolition operations.
 - 1. Maintain fire-protection facilities in service during selective demolition operations.

1.10 COORDINATION

A. Arrange selective demolition schedule so as not to interfere with Owner's operations.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Regulatory Requirements: Comply with governing EPA notification regulations before beginning selective demolition. Comply with hauling and disposal regulations of authorities having jurisdiction.
- B. Standards: Comply with ASSE A10.6 and NFPA 241.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Verify that utilities have been disconnected and capped before starting selective demolition operations.
- B. Review Project Record Documents of existing construction or other existing condition and hazardous material information provided by Owner. Owner does not guarantee that existing conditions are same as those indicated in Project Record Documents.
- C. Survey of Existing Conditions: Record existing conditions by use of preconstruction photographs or video.
 - 1. Comply with requirements specified in Section 013233 "Photographic Documentation."
 - 2. Inventory and record the condition of items to be removed and salvaged. Provide photographs or video of conditions that might be misconstrued as damage caused by salvage operations.
 - 3. Before selective demolition or removal of existing building elements that will be reproduced or duplicated in final Work, make permanent record of measurements, materials, and construction details required to make exact reproduction.

3.2 UTILITY SERVICES AND MECHANICAL/ELECTRICAL SYSTEMS

- A. Existing Services/Systems to Remain: Maintain services/systems indicated to remain and protect them against damage.
- B. Existing Services/Systems to Be Removed, Relocated, or Abandoned: Locate, identify, disconnect, and seal or cap off utility services and mechanical/electrical systems serving areas to be selectively demolished.
 - 1. Owner will arrange to shut off indicated services/systems when requested by Contractor.
 - 2. If services/systems are required to be removed, relocated, or abandoned, provide temporary services/systems that bypass area of selective demolition and that maintain continuity of services/systems to other parts of building.
 - 3. Disconnect, demolish, and remove fire-suppression systems, plumbing, and HVAC systems, equipment, and components indicated on Drawings to be removed.
 - a. Piping to Be Removed: Remove portion of piping indicated to be removed and cap or plug remaining piping with same or compatible piping material.

- b. Piping to Be Abandoned in Place: Drain piping and cap or plug piping with same or compatible piping material and leave in place.
- c. Equipment to Be Removed: Disconnect and cap services and remove equipment.
- d. Equipment to Be Removed and Reinstalled: Disconnect and cap services and remove, clean, and store equipment; when appropriate, reinstall, reconnect, and make equipment operational.
- e. Equipment to Be Removed and Salvaged: Disconnect and cap services and remove equipment and deliver to Owner.
- f. Ducts to Be Removed: Remove portion of ducts indicated to be removed and plug remaining ducts with same or compatible ductwork material.
- g. Ducts to Be Abandoned in Place: Cap or plug ducts with same or compatible ductwork material and leave in place.

3.3 **PROTECTION**

- A. Temporary Protection: Provide temporary barricades and other protection required to prevent injury to people and damage to adjacent buildings and facilities to remain.
 - 1. Provide protection to ensure safe passage of people around selective demolition area and to and from occupied portions of building.
 - 2. Provide temporary weather protection, during interval between selective demolition of existing construction on exterior surfaces and new construction, to prevent water leakage and damage to structure and interior areas.
 - 3. Protect walls, ceilings, floors, and other existing finish work that are to remain or that are exposed during selective demolition operations.
 - 4. Cover and protect furniture, furnishings, and equipment that have not been removed.
 - 5. Comply with requirements for temporary enclosures, dust control, heating, and cooling specified in Section 015000 "Temporary Facilities and Controls."
- B. Temporary Shoring: Design, provide, and maintain shoring, bracing, and structural supports as required to preserve stability and prevent movement, settlement, or collapse of construction and finishes to remain, and to prevent unexpected or uncontrolled movement or collapse of construction being demolished.
 - 1. Strengthen or add new supports when required during progress of selective demolition.
- C. Remove temporary barricades and protections where hazards no longer exist.

3.4 SELECTIVE DEMOLITION, GENERAL

- A. General: Demolish and remove existing construction only to the extent required by new construction and as indicated. Use methods required to complete the Work within limitations of governing regulations and as follows:
 - 1. Proceed with selective demolition systematically, from higher to lower level. Complete selective demolition operations above each floor or tier before disturbing supporting members on the next lower level.
 - 2. Neatly cut openings and holes plumb, square, and true to dimensions required. Use cutting methods least likely to damage construction to remain or adjoining construction. Use hand tools or small power tools designed for sawing or grinding, not hammering and chopping. Temporarily cover openings to remain.
 - 3. Cut or drill from the exposed or finished side into concealed surfaces to avoid marring existing finished surfaces.
 - 4. Do not use cutting torches until work area is cleared of flammable materials. At concealed spaces, such as duct and pipe interiors, verify condition and contents of hidden space before starting flame-cutting operations. Maintain portable fire-suppression devices during flame-cutting operations.
 - 5. Maintain fire watch during and for at least 48 hours after flame-cutting operations.

- 7. Remove decayed, vermin-infested, or otherwise dangerous or unsuitable materials and promptly dispose of off-site.
- 8. Remove structural framing members and lower to ground by method suitable to avoid free fall and to prevent ground impact or dust generation.
- 9. Locate selective demolition equipment and remove debris and materials so as not to impose excessive loads on supporting walls, floors, or framing.
- 10. Dispose of demolished items and materials promptly. Comply with requirements in Section 017419 "Construction Waste Management and Disposal."
- B. Site Access and Temporary Controls: Conduct selective demolition and debris-removal operations to ensure minimum interference with roads, streets, walks, walkways, and other adjacent occupied and used facilities.
- C. Removed and Reinstalled Items:
 - 1. Clean and repair items to functional condition adequate for intended reuse.
 - 2. Pack or crate items after cleaning and repairing. Identify contents of containers.
 - 3. Protect items from damage during transport and storage.
 - 4. Reinstall items in locations indicated. Comply with installation requirements for new materials and equipment. Provide connections, supports, and miscellaneous materials necessary to make item functional for use indicated.
- D. Existing Items to Remain: Protect construction indicated to remain against damage and soiling during selective demolition. When permitted by Architect, items may be removed to a suitable, protected storage location during selective demolition and cleaned and reinstalled in their original locations after selective demolition operations are complete.

3.5 SELECTIVE DEMOLITION PROCEDURES FOR SPECIFIC MATERIALS

- A. Concrete Slabs-on-Grade: Saw-cut perimeter of area to be demolished, and then break up and remove.
- B. Resilient Floor Coverings: Remove floor coverings and adhesive according to recommendations in RFCI's "Recommended Work Practices for the Removal of Resilient Floor Coverings."

3.6 DISPOSAL OF DEMOLISHED MATERIALS

- A. Remove demolition waste materials from Project site and recycle or dispose of them according to Section 017419 "Construction Waste Management and Disposal."
 - 1. Do not allow demolished materials to accumulate on-site.
 - 2. Remove and transport debris in a manner that will prevent spillage on adjacent surfaces and areas.
 - 3. Remove debris from elevated portions of building by chute, hoist, or other device that will convey debris to grade level in a controlled descent.
 - 4. Comply with requirements specified in Section 017419 "Construction Waste Management and Disposal."
- B. Burning: Do not burn demolished materials.

3.7 CLEANING

A. Clean adjacent structures and improvements of dust, dirt, and debris caused by selective demolition operations. Return adjacent areas to condition existing before selective demolition operations began.

END OF SECTION 024119

SECTION 062013 - EXTERIOR FINISH CARPENTRY

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
 - 1. Exterior trim.

1.2 DEFINITIONS

- A. MDO: Plywood with a medium-density overlay on the face.
- B. PVC: Polyvinyl chloride.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of process and factory-fabricated product. Indicate component materials, dimensions, profiles, textures, and colors and include construction and application details.
 - 1. Include data for wood-preservative treatment from chemical-treatment manufacturer and certification by treating plant that treated materials comply with requirements. Indicate type of preservative used and net amount of preservative retained. Include chemical-treatment manufacturer's written instructions for finishing treated material.
 - 2. For products receiving a waterborne treatment, include statement that moisture content of treated materials was reduced before shipment to Project site to levels specified.
- B. Samples: For each exposed product and for each color and texture specified.
- C. Samples for Initial Selection: For each type of product involving selection of colors, profiles, or textures.
- D. Samples for Verification:
 - 1. For each species and cut of lumber and panel products, with half of exposed surface finished; 50 sq. in. for lumber and 8 by 10 inches for panels.

1.4 INFORMATIONAL SUBMITTALS

- A. Compliance Certificates:
 - 1. For lumber that is not marked with grade stamp.
 - 2. For preservative-treated wood that is not marked with treatment-quality mark.
- B. Evaluation Reports: For the following, from ICC-ES:
 - 1. Wood-preservative-treated wood.
- C. Sample Warranties: For manufacturer's warranties.

1.5 DELIVERY, STORAGE, AND HANDLING

A. Stack lumber, plywood, and other panels flat with spacers between each bundle to provide air circulation.

- 1. Protect materials from weather by covering with waterproof sheeting, securely anchored.
- 2. Provide for air circulation around stacks and under coverings.

1.6 FIELD CONDITIONS

- A. Weather Limitations: Proceed with installation only when existing and forecast weather conditions permit work to be performed and at least one coat of specified finish can be applied without exposure to rain, snow, or dampness.
- B. Do not install finish carpentry materials that are wet, moisture damaged, or mold damaged.
 - 1. Indications that materials are wet or moisture damaged include, but are not limited to, discoloration, sagging, or irregular shape.
 - 2. Indications that materials are mold damaged include, but are not limited to, fuzzy or splotchy surface contamination and discoloration.

PART 2 - PRODUCTS

2.1 MATERIALS, GENERAL

- A. Lumber: DOC PS 20 and applicable rules of grading agencies indicated. If no grading agency is indicated, comply with applicable rules of any rules-writing agency certified by the American Lumber Standard Committee's (ALSC) Board of Review. Grade lumber by an agency certified by the ALSC's Board of Review to inspect and grade lumber under the rules indicated.
 - 1. Factory mark each piece of lumber with grade stamp of inspection agency, indicating grade, species, moisture content at time of surfacing, and mill.
 - 2. For exposed lumber, mark grade stamp on end or back of each piece.
- B. Softwood Plywood: DOC PS 1.
- C. Hardboard: ANSI A135.4.

2.2 EXTERIOR TRIM

- A. Lumber Trim for Opaque Finish (Painted Finish):
 - 1. Species and Grade:
 - a. Redwood; RIS [Clear] [Grade B].
 - b. Western red cedar; NLGA, WCLIB, or WWPA [Grade A] [Grade B].
 - c. Hem-fir; NLGA, WCLIB, or WWPA [**Prime or D finish**] [1 Common] [2 Common].
 - 2. Maximum Moisture Content: 19 percent.
 - 3. Finger Jointing: Allowed if made with wet-use adhesive complying with ASTM D5572.
 - 4. Face Surface: Surfaced (smooth).

2.3 MISCELLANEOUS MATERIALS

- A. Fasteners for Exterior Finish Carpentry: Provide nails or screws, in sufficient length to penetrate not less than 1-1/2 inches into wood substrate.
 - 1. For redwood, provide hot-dip galvanized-steel fasteners.
 - 2. For pressure-preservative-treated wood, provide hot-dip galvanized-steel fasteners.
 - 3. For applications not otherwise indicated, provide hot-dip galvanized-steel fasteners.

B. Wood Glue: Waterproof resorcinol glue recommended by manufacturer for exterior carpentry use. EXTERIOR FINISH CARPENTRY 062013 - 2

2.4 FABRICATION

- A. Back out or kerf backs of standing and running trim wider than 5 inches, except members with ends exposed in finished work.
- B. Ease edges of lumber less than 1 inch in nominal thickness to 1/16-inch radius and edges of lumber 1 inch or more in nominal thickness to 1/8-inch radius.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine substrates, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Examine finish carpentry materials before installation. Reject materials that are wet, moisture damaged, and mold damaged.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. Clean substrates of projections and substances detrimental to application.
- B. Prime lumber and moldings to be painted, including both faces and edges, unless factory primed.
 - 1. Cut to required lengths and prime ends.
 - 2. Comply with requirements in Section 099113 "Exterior Painting."

3.3 INSTALLATION, GENERAL

- A. Do not use materials that are unsound, warped, improperly treated or finished, inadequately seasoned, or too small to fabricate with proper jointing arrangements.
 - 1. Do not use manufactured units with defective surfaces, sizes, or patterns.
- B. Install exterior finish carpentry level, plumb, true, and aligned with adjacent materials.
 - 1. Use concealed shims where necessary for alignment.
 - 2. Scribe and cut exterior finish carpentry to fit adjoining work.
 - 3. Refinish and seal cuts as recommended by manufacturer.
 - 4. Install to tolerance of 1/8 inch in 96 inches for level and plumb. Install adjoining exterior finish carpentry with 1/32-inch maximum offset for flush installation and 1/16-inch maximum offset for reveal installation.
 - 5. Coordinate exterior finish carpentry with materials and systems in or adjacent to it.
 - 6. Provide cutouts for mechanical and electrical items that penetrate exterior finish carpentry.

3.4 INSTALLATION OF STANDING AND RUNNING TRIM

- A. Install flat-grain lumber with bark side exposed to weather.
- B. Install cellular PVC trim to comply with manufacturer's written instructions.
- C. Install trim with minimum number of joints as is practical, using full-length pieces from maximum lengths of lumber available. Do not use pieces less than 24 inches long, except where necessary.

- 1. Use scarf joints for end-to-end joints.
- 2. Stagger end joints in adjacent and related members.
- D. Fit exterior joints to exclude water.
 - 1. Cope at returns and miter at corners to produce tight-fitting joints, with full-surface contact throughout length of joint.
 - 2. Plane backs of casings to provide uniform thickness across joints, where necessary for alignment.
- E. Where face fastening is unavoidable, countersink fasteners, fill surface flush, and sand unless otherwise indicated.

3.5 ADJUSTING

- A. Replace exterior finish carpentry that is damaged or does not comply with requirements.
 - 1. Exterior finish carpentry may be repaired or refinished if work complies with requirements and shows no evidence of repair or refinishing.
- B. Adjust joinery for uniform appearance.

3.6 CLEANING

- A. Clean exterior finish carpentry on exposed and semiexposed surfaces.
- B. Touch up factory-applied finishes to restore damaged or soiled areas.

3.7 **PROTECTION**

- A. Protect installed products from damage from weather and other causes during construction.
- B. Remove and replace finish carpentry materials that are wet, moisture damaged, and mold damaged.
 - 1. Indications that materials are wet or moisture damaged include, but are not limited to, discoloration, sagging, or irregular shape.
 - 2. Indications that materials are mold damaged include, but are not limited to, fuzzy or splotchy surface contamination and discoloration.

END OF SECTION 062013

SECTION 099113 - EXTERIOR PAINTING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Special Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes surface preparation and the application of paint systems on the following exterior substrates:
 - 1. Wood.

1.3 DEFINITIONS

- A. Master Painters Institute (MPI)
- B. Gloss Levels as follows:

| Level | Description | Gloss @ 60 degrees | Gloss @ 85 degrees |
|------------|--|--------------------|--------------------|
| 1. Level 1 | a traditional matte finish | max 5 units, and | max 10 units |
| 2. Level 2 | a high side sheen flat – a 'velvet like' finish | Max 10 units, and | 10 – 35 units |
| 3. Level 3 | a traditional 'egg-shell-like' finish | 10-25 units, and | 10 – 35 units |
| 4. Level 4 | a 'satin-like' finish | 20-35 units, and | min. 35 units |
| 5. Level 5 | a traditional semi-gloss | 35 – 70 units | |
| 6. Level 6 | a traditional gloss | 70 – 85 units | |
| 7. Level 7 | a high gloss | More than 85 units | |

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product. Include preparation requirements and application instructions.
 - 1. Include printout of current "MPI Approved Products List" for each product category specified, with the proposed product highlighted.
 - 2. Indicate VOC content.
- B. Samples for Initial Selection: For each type of topcoat product.
- C. Samples for Verification: For each type of paint system and each color and gloss type of topcoat.
 - 1. Submit three (3) samples on rigid backing, 8 inches square.
 - 2. Apply coats on Samples in steps to show each coat required for system.
 - 3. Label each coat of each Sample.
 - 4. Label each Sample for location and application area.

1.5 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials, from the same product run, that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
 - 1. Paint: 5 percent, unopened containers along with unused portions of opened containers, but not less than 1 gal. of each material and color applied.

1.6 DELIVERY, STORAGE, AND HANDLING

- A. Store materials not in use in tightly covered containers in well-ventilated areas with ambient temperatures continuously maintained at not less than 45 deg F.
 - 1. Maintain containers in clean condition, free of foreign materials and residue.
 - 2. Remove rags and waste from storage areas daily.

1.7 FIELD CONDITIONS

- A. Apply paints only when temperature of surfaces to be painted and ambient air temperatures are between 50 and 95 deg F.
- B. Do not apply paints in snow, rain, fog, or mist; when relative humidity exceeds 85 percent; at temperatures less than 5 deg F above the dew point; or to damp or wet surfaces.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Manufacturer:
 - 1. Kelly-Moore Paints.
 - 2. Approved Equal.

2.2 PAINT, GENERAL

- A. MPI Standards: Products shall comply with MPI standards indicated and shall be listed in its "MPI Approved Products Lists."
- B. Material Compatibility:
 - 1. Materials for use within each paint system shall be compatible with one another and substrates indicated, under conditions of service and application as demonstrated by manufacturer, based on testing and field experience.
 - 2. For each coat in a paint system, products shall be recommended in writing by topcoat manufacturers for use in paint system and on substrate indicated.
- C. VOC Content: Products shall comply with VOC limits of authorities having jurisdiction.
- D. Colors: As selected by Architect from manufacturer's full range, including custom colors.

2.3 SOURCE QUALITY CONTROL

A. Testing of Paint Materials: Owner reserves the right to invoke the following procedure:

- 1. Owner will engage the services of a qualified testing agency to sample paint materials. Contractor will be notified in advance and may be present when samples are taken. If paint materials have already been delivered to Project site, samples may be taken at Project site. Samples will be identified, sealed, and certified by testing agency.
- 2. Testing agency will perform tests for compliance with product requirements.
- 3. Owner may direct Contractor to stop applying paints if test results show materials being used do not comply with product requirements. Contractor shall remove noncomplying paint materials from Project site, pay for testing, and repaint surfaces painted with rejected materials. Contractor will be required to remove rejected materials from previously painted surfaces if, on repainting with complying materials, the two paints are incompatible.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine substrates and conditions, with Applicator present, for compliance with requirements for maximum moisture content and other conditions affecting performance of the Work.
- B. Maximum Moisture Content of Substrates: When measured with an electronic moisture meter as follows:
 - 1. Wood: 15 percent.
- C. Exterior Gypsum Board Substrates: Verify that finishing compound is sanded smooth.
- D. Verify suitability of substrates, including surface conditions and compatibility, with existing finishes and primers.
- E. Proceed with coating application only after unsatisfactory conditions have been corrected.
 - 1. Application of coating indicates acceptance of surfaces and conditions.

3.2 PREPARATION

- A. Comply with manufacturer's written instructions and recommendations in "MPI Architectural Painting Specification Manual" applicable to substrates and paint systems indicated.
- B. Remove hardware, covers, plates, and similar items already in place that are removable and are not to be painted. If removal is impractical or impossible because of size or weight of item, provide surface-applied protection before surface preparation and painting.
 - 1. After completing painting operations, use workers skilled in the trades involved to reinstall items that were removed. Remove surface-applied protection.
- C. Clean substrates of substances that could impair bond of paints, including dust, dirt, oil, grease, and incompatible paints and encapsulants.
 - 1. Remove incompatible primers and re-prime substrate with compatible primers or apply tie coat as required to produce paint systems indicated.
- D. Wood Substrates:
 - 1. Scrape and clean knots. Before applying primer, apply coat of knot sealer recommended in writing by topcoat manufacturer for exterior use in paint system indicated.
 - 2. Sand surfaces that will be exposed to view, and dust off.
 - 3. Prime edges, ends, faces, undersides, and backsides of wood.
 - 4. After priming, fill holes and imperfections in the finish surfaces with putty or plastic wood filler. Sand smooth when dried.

3.3 APPLICATION

- A. Apply paints according to manufacturer's written instructions and recommendations in "MPI Architectural Painting Specification Manual."
 - 1. Use applicators and techniques suited for paint and substrate indicated.
 - 2. Paint surfaces behind movable items same as similar exposed surfaces. Before final installation, paint surfaces behind permanently fixed items with prime coat only.
 - 3. Paint both sides and edges of exterior doors and entire exposed surface of exterior door frames.
 - 4. Paint entire exposed surface of window frames and sashes.
 - 5. Do not paint over labels of independent testing agencies or equipment name, identification, performance rating, or nomenclature plates.
 - 6. Primers specified in painting schedules may be omitted on items that are factory primed or factory finished if acceptable to topcoat manufacturers.
- B. Tint undercoats same color as topcoat, but tint each undercoat a lighter shade to facilitate identification of each coat if multiple coats of same material are to be applied. Provide sufficient difference in shade of undercoats to distinguish each separate coat.
- C. If undercoats or other conditions show through topcoat, apply additional coats until cured film has a uniform paint finish, color, and appearance.
- D. Apply paints to produce surface films without cloudiness, spotting, holidays, laps, brush marks, roller tracking, runs, sags, ropiness, or other surface imperfections. Cut in sharp lines and color breaks.
- E. Painting;
 - 1. PAINT ALL surfaces unless indicated otherwise including the following:
 - a. Exposed plumbing piping, fittings and hangers.
 - b. Exposed electrical metal and plastic conduits, fittings and hangers.
 - d. Mechanical & Electrical equipment that is indicated to have a factory-primed finish for field painting.
- F. Paint shall be applied as follows:
 - 1. Apply 3 coat systems (1 primer coat and 2 finish coats). Tint each coat differently

3.4 FIELD QUALITY CONTROL

- A. Dry Film Thickness Testing: Owner may engage the services of a qualified testing and inspecting agency to inspect and test paint for dry film thickness.
 - 1. Contractor shall touch up and restore painted surfaces damaged by testing.
 - 2. If test results show that dry film thickness of applied paint does not comply with paint manufacturer's written recommendations, Contractor shall pay for testing and apply additional coats as needed to provide dry film thickness that complies with paint manufacturer's written recommendations.

3.5 CLEANING AND PROTECTION

- A. At end of each workday, remove rubbish, empty cans, rags, and other discarded materials from Project site.
- B. After completing paint application, clean spattered surfaces. Remove spattered paints by washing, scraping, or other methods. Do not scratch or damage adjacent finished surfaces.

- C. Protect work of other trades against damage from paint application. Correct damage to work of other trades by cleaning, replacing, and refinishing, as approved by Architect, and leave in an undamaged condition.
- D. At completion of construction activities of other trades, touch up and restore damaged or defaced painted surfaces.

3.6 EXTERIOR PAINTING SCHEDULE

- A. Wood: Fence
 - 1. Primer: 1 coat #255 Acry-Shield primer.
 - 2. Finish: 2 coats #1250 Acry-Shield acrylic semi-gloss.

END OF SECTION 099113

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Subcontract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Clearing and grubbing.
 - 2. Stripping and stockpiling topsoil.
 - 3. Removing above- and below-grade site improvements.
 - 4. Temporary erosion- and sedimentation-control measures.
- B. Related Sections:
 - 1. Section 015000 "Temporary Facilities and Controls" for temporary utility services, construction and support facilities, security and protection facilities, and temporary erosion- and sedimentation-control measures.

1.3 DEFINITIONS

- A. Subsoil: All soil beneath the topsoil layer of the soil profile and typified by the lack of organic matter and soil organisms.
- B. Surface Soil: Soil that is present at the top layer of the existing soil profile at the Project site. In undisturbed areas, the surface soil is typically topsoil; but in disturbed areas such as urban environments, the surface soil can be subsoil.
- C. Topsoil: Top layer of the soil profile consisting of existing native surface topsoil or existing in-place surface soil and is the zone where plant roots grow. Its appearance is generally friable, pervious, and black or a darker shade of brown, gray, or red than underlying subsoil; reasonably free of subsoil, clay lumps, gravel, and other objects more than 2 inches in diameter; and free of subsoil and weeds, roots, toxic materials, or other non-soil materials.
- D. Vegetation: Trees, shrubs, groundcovers, grass, and other plants.

1.4 MATERIAL OWNERSHIP

A. Except for stripped topsoil and other materials indicated to be stockpiled or otherwise remain SCHOOL DISTRICT's property, cleared materials shall become subcontractor's property and shall be removed from Project site.

1.5 INFORMATIONAL SUBMITTALS

- A. Existing Conditions: Documentation of existing trees and plantings, adjoining construction, and site improvements that establishes preconstruction conditions that might be misconstrued as damage caused by site clearing.
 - 1. Use sufficiently detailed photographs or videotape.

B. Record Drawings: Identifying and accurately showing locations of capped utilities and other subsurface structural, electrical, and mechanical conditions.

1.6 QUALITY ASSURANCE

A. Preinstallation Conference: Conduct conference if requested by OWNER.

1.7 PROJECT CONDITIONS

- A. Traffic: Minimize interference with adjoining roads, streets, walks, and other adjacent occupied or used facilities during site-clearing operations.
 - 1. Do not close or obstruct streets, walks, or other adjacent occupied or used facilities without permission from SCHOOL DISTRICT and authorities having jurisdiction.
 - 2. Provide alternate routes around closed or obstructed traffic ways if required by ENGINEER or authorities having jurisdiction.
- B. Salvable Improvements: Carefully remove items indicated to be salvaged or discovered on site and store on SCHOOL DISTRICT's premises per SCHOOL DISTRICT direction.
- C. Utility Locator Service: Notify SCHOOL DISTRICT for area where Project is located before site clearing.
- D. Do not commence site clearing operations until temporary erosion- and sedimentation-control measures are in place.
- E. The following practices are prohibited within protection zones:
 - 1. Storage of construction material, debris, or excavated material.
 - 2. Parking vehicles or equipment.
 - 3. Foot traffic.
 - 4. Erection of sheds or structures.
 - 5. Impoundment of water.
 - 6. Excavation or other digging unless otherwise indicated.
 - 7. Attachment of signs to or wrapping materials around trees or plants unless otherwise indicated.
- F. Do not direct vehicle or equipment exhaust towards protection zones.
- G. Prohibit heat sources, flames, ignition sources, and smoking within or near protection zones.
- H. Soil Stripping, Handling, and Stockpiling: Perform as directed by geotechnical report.
- I. Potential non-reusable soil SCHOOL DISTRICT shall remove or provide information on the location of any soil that does not meet SCHOOL DISTRICT internal soil reuse policy.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. Satisfactory Soil Material: Requirements for satisfactory soil material are specified in Section 312000 "Earth Moving."
 - 1. Obtain approved borrow soil material off-site when satisfactory soil material is not available onsite.

PART 3 - EXECUTION

3.1 PREPARATION

- A. Protect and maintain benchmarks and survey control points from disturbance during construction.
- B. Protect existing site improvements to remain from damage during construction.
 - 1. Restore damaged improvements to their original condition, as acceptable to SCHOOL DISTRICT.

3.2 TEMPORARY EROSION AND SEDIMENTATION CONTROL

- A. Provide temporary erosion- and sedimentation-control measures to prevent soil erosion and discharge of soil-bearing water runoff or airborne dust to adjacent properties and walkways, according to erosion- and sedimentation-control Drawings and requirements of authorities having jurisdiction.
- B. Verify that flows of water redirected from construction areas or generated by construction activity do not enter or cross protection zones.
- C. Inspect, maintain, and repair erosion- and sedimentation-control measures during construction until permanent vegetation has been established.
- D. Remove erosion and sedimentation controls and restore and stabilize areas disturbed during removal.

3.3 TREE AND PLANT PROTECTION

A. General: Protect trees and plants remaining on-site according to requirements in Section 015639 "Temporary Tree and Plant Protection." Protect California red-legged frog per mandated conservation efforts documented by SCHOOL DISTRICT.

3.4 EXISTING UTILITIES

- A. SCHOOL DISTRICT will arrange for disconnecting and sealing indicated utilities that serve existing structures before site clearing, when requested by subcontractor.
 - 1. Verify that utilities have been disconnected and capped before proceeding with site clearing.
- B. Locate, identify, disconnect, and seal or cap utilities indicated to be removed or abandoned in place.
 - 1. Arrange with utility companies to shut off indicated utilities.
 - 2. SCHOOL DISTRICT will arrange to shut off indicated utilities when requested by subcontractor.
- C. Interrupting Existing Utilities: Do not interrupt utilities serving facilities occupied by SCHOOL DISTRICT or others unless permitted under the following conditions and then only after arranging to provide temporary utility services according to requirements indicated:
 - 1. Notify SCHOOL DISTRICT not less than two days in advance of proposed utility interruptions.
 - 2. Do not proceed with utility interruptions without SCHOOL DISTRICT's written permission.
- D. Excavate for and remove underground utilities indicated to be removed.

3.5 CLEARING AND GRUBBING

A. Remove obstructions, trees, shrubs, and other vegetation to permit installation of new construction.

SITE CLEARING

- 1. Grind down stumps and remove roots, obstructions, and debris to a depth of 24 inches below exposed subgrade.
- 2. Use only hand methods for grubbing within protection zones.
- 3. Chip removed tree branches and dispose of off-site or per SCHOOL DISTRICT recommendation.
- B. Fill depressions caused by clearing and grubbing operations with satisfactory soil material unless further excavation or earthwork is indicated.
 - 1. Place fill material in horizontal layers not exceeding a loose depth of 8 inches and compact each layer to a density equal to adjacent original ground, according to geotechnical recommendations.

3.6 SITE IMPROVEMENTS

- A. Remove existing above- and below-grade improvements as indicated and necessary to facilitate new construction.
- B. Remove slabs, paving, curbs, gutters, and aggregate base as indicated.
 - 1. Unless existing full-depth joints coincide with line of demolition, neatly saw-cut along line of existing pavement to remain before removing adjacent existing pavement. Saw-cut faces vertically.

3.7 DISPOSAL OF SURPLUS AND WASTE MATERIALS

- A. Remove surplus soil material, unsuitable topsoil, obstructions, demolished materials, and waste materials including trash and debris, and legally dispose of them off SCHOOL DISTRICT's property.
- B. Separate recyclable materials produced during site clearing from other non-recyclable materials. Store or stockpile without intermixing with other materials and transport them to recycling facilities. Do not interfere with other Project work.

END OF SECTION 311000

SECTION 312000 EARTH MOVING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Subcontract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Preparing subgrades for slabs-on-grade, walks, pavements, turf and grasses, and plants.
 - 2. Excavating and backfilling for buildings and structures.
 - 3. Drainage course for concrete slabs-on-grade.
 - 4. Subbase course for concrete walks and pavements.
 - 5. Subbase course and base course for asphalt paving.
 - 6. Subsurface drainage backfill for walls and trenches.
 - 7. Excavating and backfilling trenches for utilities and pits for buried utility structures.
 - 8. Excavating well hole to accommodate elevator-cylinder assembly.
- B. Related Sections:
 - 1. Section 311000 "Site Clearing" for site stripping, grubbing, stripping and stockpiling topsoil, and removal of above- and below-grade improvements and utilities.

1.3 DEFINITIONS

- A. Backfill: Soil material used to fill an excavation.
 - 1. Initial Backfill: Backfill placed beside and over pipe in a trench, including haunches to support sides of pipe.
 - 2. Final Backfill: Backfill placed over initial backfill to fill a trench.
- B. Base Course: Aggregate layer placed between the subbase course and hot-mix asphalt paving.
- C. Bedding Course: Aggregate layer placed over the excavated subgrade in a trench before laying pipe.
- D. Borrow Soil: Satisfactory soil imported from off-site for use as fill or backfill.
- E. Drainage Course: Aggregate layer supporting the slab-on-grade that also minimizes upward capillary flow of pore water.
- F. Excavation: Removal of material encountered above subgrade elevations and to lines and dimensions indicated.
 - 1. Authorized Additional Excavation: Excavation below subgrade elevations or beyond indicated lines and dimensions as directed by SCHOOL DISTRICT. Authorized additional excavation and replacement material will be paid for according to Subcontract provisions for changes in the Work.
 - 2. Bulk Excavation: Excavation more than 10 feet in width and more than 30 feet in length.
 - 3. Unauthorized Excavation: Excavation below subgrade elevations or beyond indicated lines and dimensions without direction by SCHOOL DISTRICT. Unauthorized excavation, as well as remedial work directed by SCHOOL DISTRICT, shall be without additional compensation.

G. Fill: Soil materials used to raise existing grades. EARTH MOVING

- H. Rock: Rock material in beds, ledges, unstratified masses, conglomerate deposits, and boulders of rock material 3/4 cu. yd. or more in volume that exceed a standard penetration resistance of 100 blows/2 inches when tested by a geotechnical testing agency, according to ASTM D 1586.
- I. Structures: Buildings, footings, foundations, retaining walls, slabs, tanks, curbs, mechanical and electrical appurtenances, or other man-made stationary features constructed above or below the ground surface.
- J. Subbase Course: Aggregate layer placed between the subgrade and base course for hot-mix asphalt pavement, or aggregate layer placed between the subgrade and a cement concrete pavement or a cement concrete or hot-mix asphalt walk.
- K. Subgrade: Uppermost surface of an excavation or the top surface of a fill or backfill immediately below subbase, drainage fill, drainage course, or topsoil materials.
- L. Utilities: On-site underground pipes, conduits, ducts, and cables, as well as underground services within buildings.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of the following manufactured products required:
 - 1. Geotextiles.
 - 2. Warning tapes.

1.5 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For qualified testing agency.
- B. Material Test Reports: For each on-site and borrow soil material proposed for fill and backfill as follows:
 - 1. Classification according to ASTM D 2487.
 - 2. Laboratory compaction curve according to ASTM D 1557.
- C. Preexcavation Photographs or Videotape: Show existing conditions of adjoining construction and site improvements, including finish surfaces, that might be misconstrued as damage caused by earth moving operations. Submit before earth moving begins.

1.6 QUALITY ASSURANCE

A. Geotechnical Testing Agency Qualifications: Qualified according to ASTM E 329 and ASTM D 3740 for testing indicated.

1.7 PROJECT CONDITIONS

- A. Traffic: Minimize interference with adjoining roads, streets, walks, and other adjacent occupied or used facilities during earth moving operations.
 - 1. Do not close or obstruct streets, walks, or other adjacent occupied or used facilities without permission from SCHOOL DISTRICT and authorities having jurisdiction.
 - 2. Provide alternate routes around closed or obstructed traffic ways if required by SCHOOL DISTRICT or authorities having jurisdiction.
- B. Improvements on Adjacent Property: Authority for performing earth moving indicated on property adjacent SCHOOL DISTRICT's property will be obtained by SCHOOL DISTRICT before award of Subcontract.

- 1. Do not proceed with work on adjacent property until directed by SCHOOL DISTRICT.
- C. Utility Locator Service: Notify SCHOOL DISTRICT for area where Project is located before beginning earth moving operations.
- D. Do not commence earth moving operations until temporary erosion- and sedimentation-control measures, specified in Section 015000 "Temporary Facilities and Controls," Section 311000 and "Site Clearing," are in place.

PART 2 - PRODUCTS

2.1 SOIL MATERIALS

- A. General: Provide borrow soil materials when sufficient satisfactory soil materials are not available from excavations.
- B. Satisfactory Soils: Materials shall be free of rock or gravel larger than 3 inches in any dimension, debris, waste, frozen materials, vegetation, and other deleterious matter per current geotechnical report.
 - 1. Plasticity Index: R value greater than 40, P.I. 12 or less.
- C. Subbase Material: Naturally or artificially graded mixture of natural or crushed gravel, crushed stone, and natural or crushed sand; ASTM D 2940; with at least 90 percent passing a 1-1/2-inch sieve and not more than 12 percent passing a No. 200 sieve.
- D. Base Course: Naturally or artificially graded mixture of natural or crushed gravel, crushed stone, and natural or crushed sand; ASTM D 2940; with at least 95 percent passing a 1-1/2-inch sieve and not more than 8 percent passing a No. 200 sieve.
- E. Engineered Fill: Naturally or artificially graded mixture of natural or crushed gravel, crushed stone, and natural or crushed sand; ASTM D 2940; with at least 90 percent passing a 1-1/2-inch sieve and not more than 12 percent passing a No. 200 sieve.
- F. Bedding Course: Naturally or artificially graded mixture of natural or crushed gravel, crushed stone, and natural or crushed sand; ASTM D 2940; except with 100 percent passing a 1-inch sieve and not more than 8 percent passing a No. 200 sieve.
- G. Drainage Course: Narrowly graded mixture of washed crushed stone, or crushed or uncrushed gravel; ASTM D 448; coarse-aggregate grading Size 57; with 100 percent passing a 1-1/2-inch sieve and 0 to 5 percent passing a No. 8 sieve.
- H. Filter Material: Narrowly graded mixture of natural or crushed gravel, or crushed stone and natural sand; ASTM D 448; coarse-aggregate grading Size 67; with 100 percent passing a 1-inch sieve and 0 to 5 percent passing a No. 4 sieve.
- I. Sand: ASTM C 33; fine aggregate.
- J. Impervious Fill: Clayey gravel and sand mixture capable of compacting to a dense state.

2.2 ACCESSORIES

- A. Detectable Warning Tape: Acid- and alkali-resistant, polyethylene film warning tape manufactured for marking and identifying underground utilities, a minimum of 6 inches wide and 4 mils thick, continuously inscribed with a description of the utility, with metallic core encased in a protective jacket for corrosion protection, detectable by metal detector when tape is buried up to 30 inches deep; colored as follows:
 - 1. Red: Electric.

- 2. Yellow: Gas, oil, steam, and dangerous materials.
- 3. Orange: Telephone and other communications.
- 4. Blue: Water systems.
- 5. Green: Sewer systems.

PART 3 - EXECUTION

3.1 PREPARATION

- A. Protect structures, utilities, sidewalks, pavements, and other facilities from damage caused by settlement, lateral movement, undermining, washout, and other hazards created by earth moving operations.
- B. Protect and maintain erosion and sedimentation controls during earth moving operations.
- C. Protect subgrades and foundation soils from freezing temperatures and frost. Remove temporary protection before placing subsequent materials.

3.2 DEWATERING

- A. Prevent surface water and ground water from entering excavations, from ponding on prepared subgrades, and from flooding Project site and surrounding area.
- B. Protect subgrades from softening, undermining, washout, and damage by rain or water accumulation.
 - 1. Reroute surface water runoff away from excavated areas. Do not allow water to accumulate in excavations. Do not use excavated trenches as temporary drainage ditches.

3.3 EXCAVATION, GENERAL

- A. Unclassified Excavation: Excavate to subgrade elevations regardless of the character of surface and subsurface conditions encountered. Unclassified excavated materials may include rock, soil materials, and obstructions. No changes in the Subcontract Sum or the Subcontract Time will be authorized for rock excavation or removal of obstructions.
 - 1. If excavated materials intended for fill and backfill include unsatisfactory soil materials and rock, replace with satisfactory soil materials.
- B. Classified Excavation: Excavate to subgrade elevations. Material to be excavated will be classified as earth and rock. Do not excavate rock until it has been classified and cross sectioned by SCHOOL DISTRICT. The Subcontract Sum will be adjusted for rock excavation according to unit prices included in the Subcontract Documents. Changes in the Subcontract Time may be authorized for rock excavation.
 - 1. Earth excavation includes excavating pavements and obstructions visible on surface; underground structures, utilities, and other items indicated to be removed; together with soil, boulders, and other materials not classified as rock or unauthorized excavation.

3.4 EXCAVATION FOR STRUCTURES

A. Excavate to indicated elevations and dimensions within a tolerance of plus or minus 1 inch. If applicable, extend excavations a sufficient distance from structures for placing and removing concrete formwork, for installing services and other construction, and for inspections.

- 1. Excavations for Footings and Foundations: Do not disturb bottom of excavation. Excavate by hand to final grade just before placing concrete reinforcement. Trim bottoms to required lines and grades to leave solid base to receive other work.
- 2. Excavation for Underground Tanks, Basins, and Mechanical or Electrical Utility Structures: Excavate to elevations and dimensions indicated within a tolerance of plus or minus 1 inch. Do not disturb bottom of excavations intended as bearing surfaces.
- 3. Refer to building pad grading recommendations per latest Geotechnical Report.

3.5 EXCAVATION FOR WALKS AND PAVEMENTS

A. Excavate surfaces under walks and pavements to indicated lines, cross sections, elevations, and subgrades.

3.6 EXCAVATION FOR UTILITY TRENCHES

- A. Excavate trenches to indicated gradients, lines, depths, and elevations.
- B. Excavate trenches to uniform widths to provide the following clearance on each side of pipe or conduit. Excavate trench walls vertically from trench bottom to 12 inches higher than top of pipe or conduit unless otherwise indicated.
 - 1. Clearance: As indicated on drawings.
- C. Trench Bottoms: Excavate and shape trench bottoms to provide uniform bearing and support of pipes and conduit. Shape subgrade to provide continuous support for bells, joints, and barrels of pipes and for joints, fittings, and bodies of conduits. Remove projecting stones and sharp objects along trench subgrade.
 - 1. For pipes and conduit less than 6 inches in nominal diameter, hand-excavate trench bottoms and support pipe and conduit on an undisturbed subgrade.
 - 2. For pipes and conduit 6 inches or larger in nominal diameter, shape bottom of trench to support bottom 90 degrees of pipe or conduit circumference. Fill depressions with tamped sand backfill.
 - 3. For flat-bottomed, multiple-duct conduit units, hand-excavate trench bottoms and support conduit on an undisturbed subgrade.
 - 4. Excavate trenches 6 inches deeper than elevation required in rock or other unyielding bearing material to allow for bedding course.
- D. Trenches in Tree- and Plant-Protection Zones:
 - 1. Hand-excavate to indicated lines, cross sections, elevations, and subgrades. Use narrow-tine spading forks to comb soil and expose roots. Do not break, tear, or chop exposed roots. Do not use mechanical equipment that rips, tears, or pulls roots.
 - 2. Do not cut main lateral roots or taproots; cut only smaller roots that interfere with installation of utilities.
 - 3. Cut and protect roots according to requirements in Section 015639 "Temporary Tree and Plant Protection."

3.7 SUBGRADE INSPECTION

- A. Notify SCHOOL DISTRICT when excavations have reached required subgrade.
- B. If SCHOOL DISTRICT determines that unsatisfactory soil is present, continue excavation and replace with compacted backfill or fill material as directed.
- C. Proof-roll subgrade below the building slabs and pavements with a pneumatic-tired or equivalent machine to identify soft pockets and areas of excess yielding. Do not proof-roll wet or saturated subgrades.

EARTH MOVING

- 1. Completely proof-roll subgrade in one direction, repeating proof-rolling in direction perpendicular to first direction. Limit vehicle speed to 3 mph.
- 2. Excavate soft spots, unsatisfactory soils, and areas of excessive pumping or rutting, as determined by SCHOOL DISTRICT, and replace with compacted backfill or fill as directed.
- D. Authorized additional excavation and replacement material will be paid for according to Subcontract provisions for unit prices changes in the Work.

3.8 UNAUTHORIZED EXCAVATION

- A. Fill unauthorized excavation under foundations or wall footings by extending bottom elevation of concrete foundation or footing to excavation bottom, without altering top elevation. Lean concrete fill, with 28-day compressive strength of 2500 psi, may be used when approved by SCHOOL DISTRICT.
 - 1. Fill unauthorized excavations under other construction, pipe, or conduit as directed by SCHOOL DISTRICT at no additional cost to SCHOOL DISTRICT.

3.9 STORAGE OF SOIL MATERIALS

- A. Stockpile borrow soil materials and excavated satisfactory soil materials without intermixing. Place, grade, and shape stockpiles to drain surface water. Cover to prevent windblown dust.
 - 1. Stockpile soil materials away minimum 2 feet from edge of excavations for every 1 foot depth of excavation ratio (2:1). Do not store within drip line of remaining trees.

3.10 BACKFILL

- A. Place and compact backfill in excavations promptly, but not before completing the following:
 - 1. Construction below finish grade including, where applicable, subdrainage, dampproofing, waterproofing, and perimeter insulation.
 - 2. Surveying locations of underground utilities for Record Documents.
 - 3. Testing and inspecting underground utilities.
 - 4. Removing concrete formwork.
 - 5. Removing trash and debris.
 - 6. Removing temporary shoring and bracing, and sheeting.
 - 7. Installing permanent or temporary horizontal bracing on horizontally supported walls.
- B. Place backfill on subgrades free of mud, frost, snow, or ice.

3.11 UTILITY TRENCH BACKFILL

- A. Place backfill on subgrades free of mud, frost, snow, or ice.
- B. Place and compact bedding course on trench bottoms and where indicated. Shape backfill course to provide continuous support for bells, joints, and barrels of pipes and for joints, fittings, and bodies of conduits.
- C. Trenches under Footings: Backfill trenches excavated under footings and within 18 inches of bottom of footings with satisfactory soil then fill with concrete to elevation of bottom of footings. Concrete is specified in Section 033000 "Cast-in-Place Concrete".
- D. Backfill voids with satisfactory soil while removing shoring and bracing.

- E. Place and compact initial backfill of subbase material, free of particles larger than 1 inch in any dimension, to a height of 12 inches over the pipe or conduit.
 - 1. Carefully compact initial backfill under pipe haunches and compact evenly up on both sides and along the full length of piping or conduit to avoid damage or displacement of piping or conduit. Coordinate backfilling with utilities testing.
- F. Place and compact final backfill of satisfactory soil to final subgrade elevation.
- G. Install detectable warning tape directly above utilities, 12 inches below finished grade, except 6 inches below subgrade under pavements and slabs.

3.12 SOIL FILL

- A. Plow, scarify, bench, or break up sloped surfaces steeper than 1 vertical to 4 horizontal so fill material will bond with existing material.
- Β. Place and compact fill material in layers to required elevations as follows:
 - 1. Under walks and pavements, use satisfactory soil material.
 - Under steps and ramps, use engineered fill. 2.
 - 3. Under building slabs, use engineered fill.
 - Under footings and foundations, use engineered fill. 4.
- C. Place soil fill on subgrades free of mud, frost, snow, or ice.

3.13 SOIL MOISTURE CONTROL

- A. Uniformly moisten or aerate subgrade and each subsequent fill or backfill soil layer before compaction to within 2 percent of optimum moisture content.
 - 1. Do not place backfill or fill soil material on surfaces that are muddy, frozen, or contain frost or ice.
 - 2. Remove and replace, or scarify and air dry, otherwise satisfactory soil material that exceeds optimum moisture content by 2 percent and is too wet to compact to specified dry unit weight.

3.14 COMPACTION OF SOIL BACKFILLS AND FILLS

- A. Place backfill and fill soil materials in layers not more than 8 inches in loose depth for material compacted by heavy compaction equipment, and not more than 4 inches in loose depth for material compacted by hand-operated tampers.
- B. Place backfill and fill soil materials evenly on all sides of structures to required elevations, and uniformly along the full length of each structure.
- C. Compact soil materials to not less than the following percentages of maximum dry unit weight according to ASTM D 1557:
 - Under structures, building slabs, steps, and pavements, scarify and recompact top 12 inches of 1. existing subgrade and each layer of backfill or fill soil material at 95 percent.
 - 2. Under walkways, scarify and recompact top 6 inches below subgrade and compact each layer of backfill or fill soil material at 92 percent.
 - Under turf or unpaved areas, scarify and recompact top 6 inches below subgrade and compact each 3. layer of backfill or fill soil material at 90 percent.
 - 4. For utility trenches, compact each layer of initial and final backfill soil material at 85 percent or as indicated on plans.

EARTH MOVING

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3.15 GRADING

- A. Contractor shall review Geotechnical recommendations prepared by Earth Systems dated May 1, 2020.
- B. General: Uniformly grade areas to a smooth surface, free of irregular surface changes. Comply with compaction requirements and grade to cross sections, lines, and elevations indicated.
 - 1. Provide a smooth transition between adjacent existing grades and new grades.
 - 2. Cut out soft spots, fill low spots, and trim high spots to comply with required surface tolerances.
- C. Site Rough Grading: Slope grades to direct water away from buildings and to prevent ponding. Finish subgrades to required elevations within the following tolerances:
 - 1. Turf or Unpaved Areas: Plus or minus 1 inch.
 - 2. Walks: Plus or minus 1 inch.
 - 3. Pavements: Plus or minus 1/2 inch.
- D. Grading inside Building Lines: Finish subgrade to a tolerance of 1/2 inch when tested with a 10-foot straightedge.

3.16 SUBBASE AND BASE COURSES UNDER PAVEMENTS AND WALKS

- A. Contractor shall review Geotechnical recommendations prepared by Earth Systems dated May 1, 2020.
- B. Place subbase course and base course on subgrades free of mud, frost, snow, or ice.
- C. On prepared subgrade, place subbase course and base course under pavements and walks as follows:
 - 1. Install separation geotextile if required on prepared subgrade according to manufacturer's written instructions, overlapping sides and ends.
 - 2. Place base course material over subbase course under hot-mix asphalt pavement.
 - 3. Shape subbase course and base course to required crown elevations and cross-slope grades.
 - 4. Place subbase course and base course 6 inches or less in compacted thickness in a single layer.
 - 5. Place subbase course and base course that exceeds 6 inches in compacted thickness in layers of equal thickness, with no compacted layer more than 6 inches thick or less than 3 inches thick.
 - 6. Compact subbase course and base course at optimum moisture content to required grades, lines, cross sections, and thickness to not less than 95 percent of maximum dry unit weight according to ASTM D 1557.
- D. Pavement Shoulders: Place shoulders along edges of subbase course and base course to prevent lateral movement. Construct shoulders, at least 12 inches wide, of satisfactory soil materials and compact simultaneously with each subbase and base layer to not less than 95 percent of maximum dry unit weight according to ASTM D 1557.

3.17 FIELD QUALITY CONTROL

- A. Special Inspections: SCHOOL DISTRICT will engage a qualified special inspector to perform the following special inspections:
 - 1. Determine prior to placement of fill that site has been prepared in compliance with requirements.
 - 2. Determine that fill material and maximum lift thickness comply with requirements.
 - 3. Determine, at the required frequency, that in-place density of compacted fill complies with requirements.
- B. Testing Agency: SCHOOL DISTRICT will engage a qualified geotechnical engineering testing agency to perform tests and inspections.

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- C. Geotechnical Engineer to inspect and test subgrades and each fill or backfill layer. Proceed with subsequent earth moving only after test results for previously completed work comply with requirements.
- D. Footing Subgrade: At footing subgrades, at least one test of each soil stratum will be performed to verify design bearing capacities. Subsequent verification and approval of other footing subgrades may be based on a visual comparison of subgrade with tested subgrade when approved by SCHOOL DISTRICT.
- E. Geotechnical Engineer will test compaction of soils in place according to ASTM D 1556, ASTM D 2167, ASTM D 2922, and/or ASTM D 2937, as applicable. Tests will be performed at the following locations and frequencies:
 - 1. Paved and Building Slab Areas: At subgrade and at each compacted fill and backfill layer, at least one test for every 2000 sq. ft. or less of paved area or building slab, but in no case fewer than three tests.
 - 2. Foundation Wall Backfill: At each compacted backfill layer, at least one test for every 100 feet or less of wall length, but no fewer than two tests.
 - 3. Trench Backfill: At each compacted initial and final backfill layer, at least one test for every 150 feet or less of trench length, but no fewer than two tests.
- F. When testing agency reports that subgrades, fills, or backfills have not achieved degree of compaction specified, scarify and moisten or aerate, or remove and replace soil materials to depth required; recompact and retest until specified compaction is obtained.

3.18 PROTECTION

- A. Protecting Graded Areas: Protect newly graded areas from traffic, freezing, and erosion. Keep free of trash and debris.
- B. Repair and reestablish grades to specified tolerances where completed or partially completed surfaces become eroded, rutted, settled, or where they lose compaction due to subsequent construction operations or weather conditions.
 - 1. Scarify or remove and replace soil material to depth as directed by SCHOOL DISTRICT; reshape and recompact.
- C. Where settling occurs before Project correction period elapses, remove finished surfacing, backfill with additional soil material, compact, and reconstruct surfacing.
 - 1. Restore appearance, quality, and condition of finished surfacing to match adjacent work, and eliminate evidence of restoration to greatest extent possible.

3.19 DISPOSAL OF SURPLUS AND WASTE MATERIALS

- A. Remove surplus satisfactory soil and waste materials, including unsatisfactory soil, trash, and debris, and legally dispose of them off SCHOOL DISTRICT's property.
- B. Transport surplus satisfactory soil to designated storage areas on SCHOOL DISTRICT's property. Stockpile or spread soil as directed by SCHOOL DISTRICT.
 - 1. Remove waste materials, including unsatisfactory soil, trash, and debris, and legally dispose of them off SCHOOL DISTRICT's property.

END OF SECTION 312000

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SECTION 312300 TRENCHING, BACKFILLING, AND COMPACTING

PART 1 - GENERAL

1.1 SUMMARY

- A. Trench, backfill, and compact as specified herein and as needed for installation of underground utilities associated with the Work.
- B. Related work:
 - 1. Documents affecting work of this Section include, but are not necessarily limited to, General Conditions, Supplementary Conditions, and Sections in Division 01 of these Specifications.

1.2 SYSTEM DESCRIPTION

- A. Quality Assurance:
 - 1. Use adequate numbers of skilled workmen who are thoroughly trained and experienced in the necessary crafts and who are completely familiar with the specified requirements and the methods needed for proper performance of the work of this Section.
 - 2. Use equipment adequate in size, capacity, and numbers to accomplish the work in a timely manner.
 - 3. In addition to complying with requirements of governmental agencies having jurisdiction, comply with the directions of the construction soil engineer.

PART 2 - PRODUCTS

2.1 SOIL MATERIALS

- A. Fill and backfill materials:
 - 1. Provide soil materials free from organic matter and deleterious substances, containing no rocks or lumps over 3" in greatest dimension, and with not more than 10% of the rocks or lumps larger than 1" in their greatest dimension.
 - 2. Fill material is subject to the approval of the construction soil engineer, and is that material removed from excavations or imported from offsite borrow areas, predominantly granular, non-expansive soil free from roots and other deleterious matter.
 - 3. Imported fill material shall, in addition, have 10 to 40% by weight passing the #200 sieve, a plasticity index of less than 15, and a liquid limit of less than 30%.

2.2 OTHER MATERIALS

A. Provide other materials, not specifically described but required for a complete and proper installation, as selected by the Contractor subject to the approval of the Architect.

PART 3 - EXECUTION

3.1 SURFACE CONDITIONS

A. Examine the areas and conditions under which work of this Section will be performed. Correct conditions detrimental to timely and proper completion of the Work. Do not proceed until unsatisfactory conditions are corrected.

3.2 FINISH ELEVATIONS AND LINES

A. Comply with documents and existing elevations.

3.3 PROCEDURES

- A. Utilities:
 - 1. Unless shown to be removed, protect active utility lines shown on the Drawings or otherwise made known to the Contractor prior to trenching. If damaged, repair or replace at no additional cost to the Owner.
 - 2. If active utility lines are encountered, and are not shown on the Drawings or otherwise made known to the Contractor, promptly take necessary steps to assure that service is not interrupted.
 - 3. If service is interrupted as a result of work under this Section, immediately restore service by repairing the damaged utility at no additional cost to the Owner.
 - 4. If existing utilities are found to interfere with the permanent facilities being constructed under this Section, immediately notify the Architect and secure his instructions.
 - 5. Do not proceed with permanent relocation of utilities until written instructions are received from the Architect.
- B. Protection of persons and property:
 - 1. Barricade open holes and depressions occurring as part of the Work, and post warning lights on property adjacent to or with public access.
 - 2. Operate warning lights during hours from dusk to dawn each day and as otherwise required.
 - 3. Protect structures, utilities, sidewalks, pavements and other facilities from damage caused by settlement, lateral movement, washout, and other hazards created by operations under this Section.
- C. De-watering:
 - 1. Remove all water, including rainwater; encountered during trench and substructure work to an approved location by pumps, drains, and other approved methods.
 - 2. Keep trenches and site construction area free from water.

- D. Use means necessary to prevent dust becoming a nuisance to the public, to neighbors, and to other work being performed on or near the site.
- E. Maintain access to adjacent areas at all times.

3.4 TRENCHING

- A. Provide sheeting and shoring necessary for protection of the Work and for the safety of personnel.
 - 1. Prior to backfilling, remove all sheeting.
 - 2. Do not permit sheeting to remain in the trenches except when, in the opinion of the Architect, field conditions or the type of sheeting or methods of construction such as use of concrete bedding are such as to make removal of sheeting impracticable. In such cases, the Architect may permit portions of sheeting to be cut off and remain in the trench.
- B. Open cut:
 - 1. Excavate for utilities by open cut.
 - 2. If conditions at the site prevent such open cut, and if approved by the Architect, trenching may be used.
 - 3. Short sections of a trench may be tunneled if, in the opinion of the Architect, the conductor can be installed safely and backfill can be compacted properly into such tunnel.
 - 4. Where it becomes necessary to excavate beyond the limits of normal excavation lines in order to remove boulders or other interfering objects, backfill the voids remaining after removal of the objects as directed by the construction soil engineer.
 - 5. When the void is below the subgrade for the utility bedding, use approved earth materials and compact to the relative density directed by the construction soil engineer, but in no case to a relative density less than 90%.
 - 6. When the void is the side of the utility trench or open cut, use approved earth or sand compacted as approved by the construction soil engineer, but in no case to a relative density less than 85%.
 - 7. Remove boulders and other interfering objects, and backfill voids left by such removals, at no additional cost to the Owner.
 - 8. Excavating for appurtenances:
 - a. Excavate for manholes and similar structures to a distance sufficient to leave at least 12" clear between outer surfaces and the embankment or shoring that may be used to hold and protect the banks.

- b. Overdepth excavation beyond such appurtenances that has not been directed will be considered unauthorized. Fill with sand, gravel, or lean concrete as directed by the construction soil engineer, and at no additional cost to the Owner.
- C. Trench to the minimum width necessary for proper installation of the utility, with sides as nearly vertical as possible. Accurately grade the bottom to provide uniform bearing for the utility.
- D. Depressions:
 - 1. Dig bell holes and depressions for joints after the trench has been graded. Provide uniform bearing for the pipe on prepared bottom of the trench.
 - 2. Except where rock is encountered, do not excavate below the depth indicated or specified.
 - 3. Where rock is encountered, excavate rock to a minimum overdepth of 4" below the trench depth indicated or specified.
- E. Where utility runs traverse public property or are subject to governmental or utility company jurisdiction, provide depth, bedding, over, and other requirements as sedt forth by legally constituted authority having jurisdiction, but in no case less than the depth shown in the Contract Documents.
- F. Where trenching occurs in existing lawns, remove turf in sections and keep damp. Replace turf upon completion of the backfilling.
- G. Cover:
 - 1. Provide minimum trench depth indicated below to maintain a minimum cover over the top of the installed item below the finish grade or subgrade:
 - a. Areas subject to vehicular traffic:
 - (1) Sanitary sewers: 24";
 - (2) Storm drains: 24".
 - b. Areas not subject to vehicular traffic:
 - (1) Sanitary sewers: 18";
 - (2) Storm drains: 18".
 - c. All areas:
 - (1) Water lines: 18";
 - (2) Natural gas lines: 18";
 - (3) Electrical cables: 24";
 - (4) Electrical ducts: 18".
 - d. Concrete encased:
 - (1) Pipe sleeves for water and gas lines: 18";
 - (2) Sanitary sewers and storm drains: 12";
 - (3) Electrical ducts: 18".

3. Where the minimum cover is not provided, encase the pipes in concrete as indicated. Provide concrete with a minimum 28-day compressive strength of 2500 psi.

3.5 BEDDING

A. Provide bedding as indicated in the Drawings.

3.6 BACKFILLING

- A. General:
 - 1. Do not completely backfill trenches until required pressure and leakage tests have been performed, and until the utilities systems as installed conform to the requirements specified in the pertinent Sections of these Specifications.
 - 2. Except as otherwise specified or directed for special conditions, backfill trenches to the ground surface with selected material approved by the construction soil engineer.
 - 3. Reopen trenches that have been improperly backfilled, to a depth as required for proper compaction. Refill and compact as specified, or otherwise correct to the approval of the construction soil engineer.
 - 4. Do not allow or cause any of the Work performed or installed to be covered up or enclosed by work of this Section prior to required inspections, tests, and approvals.
 - 5. Should any of the Work be so enclosed or covered up before it has been approved, uncover all such Work and, after approvals have been made, refill and compact as specified, all at no additional cost to the Owner.
- B. Lower portion of trench:
 - 1. Deposit approved backfill and bedding material in layers of 12" maximum thickness, and compact with suitable tampers to 90% relative density (85% in landscape areas), until there is a cover of not less than 24" over sewers and 12" over other utility lines.
 - 2. Take special care in backfilling and bedding operations to not damage pipe and pipe coatings.
- C. Remainder of trench:
 - 1. Except for special materials for pavements, backfill the remainder of the trench with approved backfill.
 - 2. Deposit backfill material in layers not exceeding the thickness specified, and compact each layer to the minimum density indicated by the construction soil engineer.
- D. Adjacent to buildings: Mechanically compact backfill within ten feet of buildings.

3.7 TEST FOR DISPLACEMENT OF SEWERS AND STORM DRAINS

- A. Check sewers and storm drains to determine whether displacement has occurred after the trench has been backfilled to above the pipe and has been compacted as specified.
- B. Flash a light between manholes or, if the manholes have not yet been constructed, between the locations of the manholes, by means of a flashlight or by reflecting sunlight with a mirror.
- C. If the illuminated interior of the pipeline shows poor alignment, displaced pipes, or any other defects, correct the defects to the specified conditions and at no additional cost to the Owner.

3.8 PIPE JACKING

E.

A. The Contractor may, at his option, install steel pipe casings, tongue and groove reinforced concrete pipes, and steel pipes under existing roads or pavements by jacking into place using procedures approved by the governmental agencies having jurisdiction and approved by the construction soil engineer.

3.9 TUNNELING OPERATIONS

A. The Contractor may, at his option, tunnel pipes into position using procedures approved by the construction soil engineer and the governmental agencies having jurisdiction.

3.10 FIELD QUALITY CONTROL

- A. The construction soil engineer will instruct open cuts and trenches before installation of utilities, and will make the following tests:
 - 1. Assure that trenches are not backfilled until all tests have been completed;
 - 2. Check backfilling for proper layer thickness and compaction;
 - 3. Verify that test results conform to the specified requirements, and that sufficient tests are performed;
 - 4. Assure that defective work is removed and properly replaced.

END OF SECTION 312300

SECTION 321216 ASPHALT PAVING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Subcontract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 **REFERENCES**

- A. The following documents form a part of these specifications to the extent stated herein.
- B. State of California, Department of Transportation (CALTRANS)
 - CALTRANS Standard Specifications: Sec 26. Aggregate Bases Sec 37. Bituminous Seals Sec 39. Asphalt Concrete Sec 88 Geosynthetics Sec 92. Asphalts Sec 93. Liquid Asphalts Sec 94. Asphaltic Emulsions

1.3 SUMMARY

- A. Section Includes:
 - 1. Cold milling of existing asphalt pavement.
 - 2. Hot-mix asphalt patching.
 - 3. Hot-mix asphalt paving.
 - 4. Hot-mix asphalt overlay.
 - 5. Asphalt curbs.
 - 6. Asphalt traffic-calming devices.
 - 7. Asphalt surface treatments.
- B. Related Requirements:
 - 1. Section 312000 "Earth Moving" for subgrade preparation, fill material, unbound-aggregate subbase and base courses, and aggregate pavement shoulders.

1.4 PRECONSTRUCTION MEETINGS

- A. Preconstruction Conference: Conduct conference if required by SCHOOL DISTRICT.
 - 1. Review methods and procedures related to hot-mix asphalt paving including, but not limited to, the following:
 - a. Review proposed sources of paving materials, including capabilities and location of plant that will manufacture hot-mix asphalt.
 - b. Review requirements for protecting paving work, including restriction of traffic during installation period and for remainder of construction period.

1.5

- A. Product Data: For each type of product.
 - Include technical data and tested physical and performance properties. 1.
 - Job-Mix Designs: Certification, by authorities having jurisdiction, of approval of each job mix 2. proposed for the Work.
 - 3. Job-Mix Designs: For each job mix proposed for the Work.

1.6 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For manufacturer and testing agency.
- B. Material Certificates: For each paving material.
- C. Material Test Reports: For each paving material, by a qualified testing agency.
- D. Field quality-control reports.

1.7 QUALITY ASSURANCE

- Manufacturer Qualifications: Perform work in accordance with the State of California, Department of A. Transportation (CALTRANS) Standard Specifications, Sec 26, 37, 39, 88 92, 93, and 94.
- Regulatory Requirements: Comply with materials, workmanship, and other applicable requirements of Β. State of California, Department of Transportation (CALTRANS) Standard Specifications for asphalt paving work.
 - 1. Measurement and payment provisions and safety program submittals included in standard specifications do not apply to this Section.

1.8 FIELD CONDITIONS

- Environmental Limitations: Do not apply asphalt materials if subgrade is wet or excessively damp, if rain A. is imminent or expected before time required for adequate cure, or if the following conditions are not met:
 - 1. Tack Coat: Minimum surface temperature of 60 deg F.
 - 2. Slurry Seal: Comply with CALTRANS Standard Specification Section 37-3.03D(4)(a)(iii);
 - Asphalt Base Course: Minimum surface temperature of 50 deg F and rising at time of placement. 3.
 - Asphalt Surface Course: Minimum surface temperature of 60 deg F at time of placement. 4.

PART 2 - PRODUCTS

2.1 AGGREGATES

General: Use materials and gradations that comply with CALTRANS Standard Specification Section 39-1.02E: Aggregate:

1. 1/2-inch maximum HMA, Type A for asphalt concrete overlay

2.2 ASPHALT MATERIALS

A. Asphalt Binder: Comply with CALTRANS Standard Specification Section 39-1.02C Hot Mix Asphalt

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PG 64-10.

- B. Tack Coat: Comply with CALTRANS Standard Specification Section 39-1.02B, Hot Mix Asphalt, grade SS1h asphaltic emulsion.
- C. Fog Seal: Comply with CALTRANS Standard Specification Section 37-2.02D; Bituminous Seals, grade SS1h asphaltic emulsion.
- D. Water: Potable.

2.3 AUXILIARY MATERIALS

- A. Reclaimed Asphalt Pavement: Comply with CALTRANS Standard Specification Section 39-1.02F.
- B. Herbicide: Commercial chemical for weed control, registered by the EPA, and not classified as "restricted use" for locations and conditions of application. Provide in granular, liquid, or wettable powder form.
- C. Sand: Comply with CALTRANS Standard Specification Section 39-1.02E.
- D. Geotechnical Subsurface Reinforcement: Comply with CALTRANS Standard Specification Section 88-102D

2.4 MIXES

- A. Hot-Mix Asphalt: 1/2-inch HMA Type A in accordance with Section 39 of the Standard Specifications.
 - 1. Construction Process: Standard.
 - 2. Tack Coat: as required by the Standard Specifications.
 - 3. Asphalt Binder: PG 64-10 (Monterey County).

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Verify that subgrade is dry and in suitable condition to begin paving.
- B. Proceed with paving only after unsatisfactory conditions have been corrected.

3.2 COLD MILLING

- A. Clean existing pavement surface of loose and deleterious material immediately before cold milling. Remove existing asphalt pavement by cold milling to grades and cross sections indicated.
 - 1. Mill to a depth of 2 inches.
 - 2. Mill to a uniform finished surface free of excessive gouges, grooves, and ridges.
 - 3. Control rate of milling to prevent tearing of existing asphalt course.
 - 4. Repair or replace curbs, manholes, and other construction damaged during cold milling.
 - 5. Excavate and trim unbound-aggregate base course, if encountered, and keep material separate from milled hot-mix asphalt.
 - 6. Patch surface depressions deeper than 1 inch after milling, before wearing course is laid.
 - 7. Handle milled asphalt material according to approved waste management plan required in Section 017419 "Construction Waste Management and Disposal."
 - 8. Keep milled pavement surface free of loose material and dust.
 - 9. Do not allow milled materials to accumulate on-site unless requested by SCHOOL DISTRICT.

3.3 PATCHING

- A. Asphalt Pavement: Saw cut perimeter of patch and excavate existing pavement section to sound base. Excavate rectangular or trapezoidal patches, extending 12 inches into perimeter of adjacent sound pavement, unless otherwise indicated. Cut excavation faces vertically. Remove excavated material. Recompact existing unbound-aggregate base course to form new subgrade.
- B. Tack Coat: Before placing patch material, apply tack coat uniformly to vertical asphalt surfaces abutting the patch. Apply at a rate of 0.05 gal./sq. yd..
 - 1. Allow tack coat to cure per manufacturers requirements, undisturbed before applying hot-mix asphalt paving.
 - 2. Avoid smearing or staining adjoining surfaces, appurtenances, and surroundings. Remove spillages and clean affected surfaces.
- C. Placing Patch Material: Fill excavated pavement areas with hot-mix asphalt base mix for full thickness of patch and, while still hot, compact flush with adjacent surface.

3.4 REPAIRS

- A. Leveling Course: Install and compact leveling course consisting of hot-mix asphalt surface course to level sags and fill depressions deeper than 1 inch in existing pavements.
 - 1. Install leveling wedges in compacted lifts not exceeding 3 inches thick.
- B. Crack and Joint Filling: Remove existing joint filler material from cracks or joints to a depth of 1/4 inch.
 - 1. Clean cracks and joints in existing hot-mix asphalt pavement.
 - 2. Use emulsified-asphalt slurry to seal cracks and joints less than 1/4 inch wide. Fill flush with surface of existing pavement and remove excess.
 - 3. Use hot-applied joint sealant to seal cracks and joints more than 1/2 inch wide. Fill flush with surface of existing pavement and remove excess.
 - 4. Refer to "3.3 Patching" for cracks greater than $\frac{1}{2}$ inch.

3.5 SURFACE PREPARATION

- A. General: Immediately before placing asphalt materials, remove loose and deleterious material from substrate surfaces. Ensure that prepared subgrade is ready to receive paving.
- B. Herbicide Treatment: Apply herbicide according to manufacturer's recommended rates and written application instructions. Apply to dry, prepared subgrade or surface of compacted-aggregate base before applying paving materials.
- C. Tack Coat: Apply uniformly to surfaces of existing pavement at a rate of 0.15 gal./sq. yd.
 - 1. Allow tack coat to cure per manufacturers requirements undisturbed before applying hot-mix asphalt paving.
 - 2. Avoid smearing or staining adjoining surfaces, appurtenances, and surroundings. Remove spillages and clean affected surfaces.

3.6 PLACING HOT-MIX ASPHALT

A. Machine place hot-mix asphalt on prepared surface, spread uniformly, and strike off. Place asphalt mix by hand in areas inaccessible to equipment in a manner that prevents segregation of mix. Place each course to required grade, cross section, and thickness when compacted.

ASPHALT PAVING

- 1. Place hot-mix asphalt base course in number of lifts and thicknesses indicated.
- 2. Place hot-mix asphalt surface course in single lift.
- 3. Spread mix at a minimum temperature of 250 deg F.
- 4. Begin applying mix along centerline of crown for crowned sections and on high side of one-way slopes unless otherwise indicated.
- 5. Regulate paver machine speed to obtain smooth, continuous surface free of pulls and tears in asphalt-paving mat.
- B. Place paving in consecutive strips not less than 10 feet wide unless infill edge strips of a lesser width are required.
 - 1. After first strip has been placed and rolled, place succeeding strips and extend rolling to overlap previous strips. Overlap mix placement about 1 to 1-1/2 inches from strip to strip to ensure proper compaction of mix along longitudinal joints.
 - 2. Complete a section of asphalt base course before placing asphalt surface course.
- C. Promptly correct surface irregularities in paving course behind paver. Use suitable hand tools to remove excess material forming high spots. Fill depressions with hot-mix asphalt to prevent segregation of mix; use suitable hand tools to smooth surface.

3.7 JOINTS

A. Construct joints to ensure a continuous bond between adjoining paving sections. Construct joints free of depressions, with same texture and smoothness as other sections of hot-mix asphalt course.

3.8 COMPACTION

- A. General: Begin compaction as soon as placed hot-mix paving will bear roller weight without excessive displacement. Compact hot-mix paving with hot, hand tampers or with vibratory-plate compactors in areas inaccessible to rollers.
 - 1. Complete compaction before mix temperature cools to 185 deg F.
- B. Breakdown Rolling: Complete breakdown or initial rolling immediately after rolling joints and outside edge. Examine surface immediately after breakdown rolling for indicated crown, grade, and smoothness. Correct laydown and rolling operations to comply with requirements.
- C. Intermediate Rolling: Begin intermediate rolling immediately after breakdown rolling while hot-mix asphalt is still hot enough to achieve specified density. Continue rolling until hot-mix asphalt course has been uniformly compacted to the following density:
 - 1. Average Density: 96 percent of reference laboratory density according to ASTM D 6927, but not less than 94 percent or greater than 100 percent.
- D. Finish Rolling: Finish roll paved surfaces to remove roller marks while hot-mix asphalt is still warm.
- E. Edge Shaping: While surface is being compacted and finished, trim edges of pavement to proper alignment. Bevel edges while asphalt is still hot; compact thoroughly.
- F. Repairs: Remove paved areas that are defective or contaminated with foreign materials and replace with fresh, hot-mix asphalt. Compact by rolling to specified density and surface smoothness.
- G. Protection: After final rolling, do not permit vehicular traffic on pavement until it has cooled and hardened.
- H. Erect barricades to protect paving from traffic until mixture has cooled enough not to become marked.

ASPHALT PAVING

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3.9 INSTALLATION TOLERANCES

- A. Pavement Thickness: Compact each course to produce the thickness indicated within the following tolerances:
 - 1. Base Course: Plus or minus 1/2 inch.
 - 2. Surface Course: Plus 1/4 inch, no minus.
- B. Pavement Surface Smoothness: Compact each course to produce a surface smoothness within the following tolerances as determined by using a 12-foot straightedge applied transversely or longitudinally to paved areas:
 - 1. Base Course: 1/4 inch.
 - 2. Surface Course: 1/8 inch.
 - 3. Crowned Surfaces: Test with crowned template centered and at right angle to crown. Maximum allowable variance from template is 1/4 inch.

3.10 SURFACE TREATMENTS

- A. Fog Seals: Apply fog seal at a rate of 0.10 to 0.15 gal./sq. yd. to existing asphalt pavement and allow to cure. With fine sand, lightly dust areas receiving excess fog seal.
- B. Slurry Seals: Apply slurry seals according to CALTRANS Standard Specification Section 37-3.
 - 1. Roll slurry seal to remove ridges and provide a uniform, smooth surface.

3.11 FIELD QUALITY CONTROL

- A. Testing Agency: SCHOOL DISTRICT will engage a qualified testing agency to perform tests and inspections.
- B. Thickness: In-place compacted thickness of hot-mix asphalt courses will be determined according to ASTM D 3549.
- C. Surface Smoothness: Finished surface of each hot-mix asphalt course will be tested for compliance with smoothness tolerances.
- D. FLOOD TEST
 - A. Prior to application of seal coat, perform a flood test in the presence of SCHOOL DISTRICT.

Method:

- 1. Flood the entire asphaltic concrete paved area with water by use of a tank truck or hoses.
- 2. If a depression is found where water ponds to a depth of more than 1/8" in six feet, fill or otherwise correct to provide proper drainage.
- 3. Feather and smooth the edges of fill so that the joint between fill and original surface is invisible.

3.12 WASTE HANDLING

A. General: Handle asphalt-paving waste according to approved waste management plan required in Section 017419 "Construction Waste Management and Disposal."

END OF SECTION 321216

SECTION 321313 CONCRETE PAVING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Subcontract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 **REFERENCES**

- A. The following documents form a part of these specifications to the extent stated herein.
- B. State of California, Department of Transportation (CALTRANS)
 - 1. CALTRANS Standard Specifications: Sec 37 Bituminous Seals Sec 90 Concrete

1.3 SUMMARY

- A. Section Includes:
 - 1. Walks.
- B. Related Sections:
 - 1. Section 033000 "Cast-in-Place Concrete" for general building applications of concrete.

1.4 DEFINITIONS

A. Cementitious Materials: Portland cement alone or in combination with one or more of blended hydraulic cement, fly ash and other pozzolans, and ground granulated blast-furnace slag.

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Design Drawings: Indicate pavement markings, lane separations, and defined parking spaces. Indicate, with international symbol of accessibility, spaces allocated for people with disabilities.
- C. Samples for Initial Selection: For each type of product, ingredient, or admixture requiring color selection.
- D. Other Action Submittals:
 - 1. Design Mixtures: For each concrete paving mixture. Include alternate design mixtures when characteristics of materials, Project conditions, weather, test results, or other circumstances warrant adjustments.
- E. Color:
 - 1. Light colored concrete can reduce heat island effect in urban areas. Specify concrete with an emissivity of .9 when tested for ASTM 408 and an initial reflectance of at least .25 when tested for ASTM E903.

1.6 INFORMATIONAL SUBMITTALS

A. Perform submittals in accordance with CALTRANS Sec 90-1.01C

1.7 QUALITY CONTROL and ASSURANCE

- A. Perform Quality Control and Assurance in accordance with CALTRANS Sec 90-1.01D
- B. Retain subparagraph below, which is required by ACI 301 and ASTM C 31. ASTM C 1077 notes that relevant field or laboratory technician certification by ACI, NRMCA, PCA, or the National Institute for Certification in Engineering Technologies may demonstrate evidence of competence.
 - 1. Personnel conducting field tests shall be qualified as ACI Concrete Field Testing Technician, Grade 1, according to ACI CP-1 or an equivalent certification program.
- C. Concrete Testing Service: Engage a qualified testing agency to perform material evaluation tests and to design concrete mixtures.
- D. ACI Publications: Comply with ACI 301 unless otherwise indicated.

1.8 PROJECT CONDITIONS

A. Traffic Control: Maintain access for vehicular and pedestrian traffic as required for other construction activities based on the California Manual of Uniform Traffic Control Devices (CA MUTCD).

PART 2 - PRODUCTS

2.1 FORMS

- A. Form Materials: Plywood, metal, metal-framed plywood, or other approved panel-type materials to provide full-depth, continuous, straight, and smooth exposed surfaces.
 - 1. Use flexible or uniformly curved forms for curves with a radius of 100 feet or less.
- B. Form-Release Agent: Commercially formulated form-release agent that will not bond with, stain, or adversely affect concrete surfaces and that will not impair subsequent treatments of concrete surfaces.

2.2 STEEL REINFORCEMENT

- A. Plain-Steel Welded Wire Reinforcement: ASTM A 185, fabricated from steel wire into flat sheets.
- B. Reinforcing Bars: ASTM A 615, Grade 60; deformed.
- C. Galvanized Reinforcing Bars: ASTM A 767, Class II zinc coated, hot-dip galvanized after fabrication and bending; with ASTM A 615, Grade 60 deformed bars.
- D. Epoxy-Coated Reinforcing Bars: ASTM A 775 or ASTM A 934; with ASTM A 615, Grade 60 deformed bars.
- E. Steel Bar Mats: ASTM A 184; with ASTM A 615, Grade 60, deformed bars; assembled with clips.
- F. Joint Dowel Bars: ASTM A 615, Grade 60 plain-steel bars ; zinc coated (galvanized) after fabrication according to ASTM A 767, Class I coating. Cut bars true to length with ends square and free of burrs.
- G. Epoxy-Coated, Joint Dowel Bars: ASTM A 775; with ASTM A 615, Grade 60, plain-steel bars.
- H. Tie Bars: ASTM A 615, Grade 60, deformed.

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- I. Hook Bolts: ASTM A 307, Grade A, internally and externally threaded. Design hook-bolt joint assembly to hold coupling against paving form and in position during concreting operations, and to permit removal without damage to concrete or hook bolt.
- J. Bar Supports: Bolsters, chairs, spacers, and other devices for spacing, supporting, and fastening reinforcing bars, welded wire reinforcement, and dowels in place. Manufacture bar supports according to CRSI's "Manual of Standard Practice" from steel wire, plastic, or precast concrete of greater compressive strength than concrete specified, and as follows:
 - 1. Equip wire bar supports with sand plates or horizontal runners where base material will not support chair legs.
 - 2. For epoxy-coated reinforcement, use epoxy-coated or other dielectric-polymer-coated wire bar supports.
- K. Epoxy Repair Coating: Liquid, two-part, epoxy repair coating, compatible with epoxy coating on reinforcement.
- L. Zinc Repair Material: ASTM A 780.

2.3 CONCRETE MATERIALS

- A. Perform in accordance with CALTRANS Sec 90-1.02 MATERIALS
 - 1. Sec 90-1.02A General
 - 2. Sec 90-1.02B Cementitious Materials
 - 3. Sec 90-1.02C Aggregates
 - 4. Sec 90-1.02D Water
 - 5. Sec 90-1.02E Admixtures
 - 6. Sec 90-1.02F Proportioning Concrete
 - 7. Sec 90-1.02G Mixing and Transporting Concrete
 - 8. Sec 90-1.02H Concrete in Corrosive Environments
 - 9. Sec 90-1.02I Concrete in Freeze-Thaw Areas
 - 10. Sec 90-1.02J Curing Compound

2.4 CURING MATERIALS

- A. Perform in accordance with CALTRANS Sec 90-1.03 CONSTRUCTION
 - 1. Sec 90-1.03A General
 - 2. Sec 90-1.03B Curing Concrete
 - 3. Sec 90-1.03C Protecting Concrete

2.5 RELATED MATERIALS

- A. Joint Fillers: ASTM D 1751, asphalt-saturated cellulosic fiber in preformed strips.
- B. Slip-Resistive Aggregate Finish: Factory-graded, packaged, rustproof, nonglazing, abrasive aggregate of fused aluminum-oxide granules or crushed emery aggregate containing not less than 50 percent aluminum oxide and not less than 20 percent ferric oxide; unaffected by freezing, moisture, and cleaning materials.
- C. Bonding Agent: ASTM C 1059, Type II, non-redispersible, acrylic emulsion or styrene butadiene.
- D. Epoxy Bonding Adhesive: ASTM C 881, two-component epoxy resin capable of humid curing and bonding to damp surfaces; of class suitable for application temperature, of grade complying with requirements, and of the following types:

- 1. Types IV and V, load bearing, for bonding hardened or freshly mixed concrete to hardened concrete.
- E. Chemical Surface Retarder: Water-soluble, liquid, set retarder with color dye, for horizontal concrete surface application, capable of temporarily delaying final hardening of concrete to a depth of 1/8 to 1/4 inch. Use only when approved by SCHOOL DISTRICT.
- F. Rock Salt: Sodium chloride crystals, kiln dried, coarse gradation with 100 percent passing 3/8-inch sieve and 85 percent retained on a No. 8 sieve.

2.6 CONCRETE MIXTURES

- A. Prepare design mixtures, proportioned according to ACI 301, for each type and strength of normal-weight concrete, and as determined by either laboratory trial mixtures or field experience.
 - 1. Use a qualified independent testing agency for preparing and reporting proposed concrete design mixtures for the trial batch method.
 - 2. When automatic machine placement is used, determine design mixtures and obtain laboratory test results that meet or exceed requirements.
- B. Proportion mixtures to provide normal-weight concrete with the following properties:
 - 1. Compressive Strength (28 Days): 3000 psi.
- C. Add air-entraining admixture at manufacturer's prescribed rate to result in normal-weight concrete at point of placement having an air content according to ASTM C260:
- D. Chemical Admixtures: Use admixtures according to manufacturer's written instructions.

2.7 CONCRETE MIXING

- A. Ready-Mixed Concrete: Measure, batch, and mix concrete materials and concrete according to ASTM C 94. Furnish batch certificates for each batch discharged and used in the Work.
 - 1. When air temperature is between 85 and 90 deg F, reduce mixing and delivery time from 1-1/2 hours to 75 minutes; when air temperature is above 90 deg F, reduce mixing and delivery time to 60 minutes.
- B. Project-Site Mixing: Measure, batch, and mix concrete materials and concrete according to ASTM C 94. Mix concrete materials in appropriate drum-type batch machine mixer.
 - 1. For concrete batches of 1 cu. yd. or smaller, continue mixing at least 1-1/2 minutes, but not more than 5 minutes after ingredients are in mixer, before any part of batch is released.
 - 2. For concrete batches larger than 1 cu. yd., increase mixing time by 15 seconds for each additional 1 cu. yd..
 - 3. Provide batch ticket for each batch discharged and used in the Work, indicating Project identification name and number, date, mixture type, mixing time, quantity, and amount of water added.

PART 3 - EXECUTION

3.1 EXAMINATION

A. Examine exposed subgrades and subbase surfaces for compliance with requirements for dimensional, grading, and elevation tolerances.

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- B. Proof-roll prepared subbase surface below concrete paving to identify soft pockets and areas of excess yielding.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 PREPARATION

A. Remove loose material from compacted subbase surface immediately before placing concrete.

3.3 EDGE FORMS AND SCREED CONSTRUCTION

- A. Set, brace, and secure edge forms, bulkheads, and intermediate screed guides to required lines, grades, and elevations. Install forms to allow continuous progress of work and so forms can remain in place at least 24 hours after concrete placement.
- B. Clean forms after each use and coat with form-release agent to ensure separation from concrete without damage.

3.4 STEEL REINFORCEMENT

- A. General: Comply with CRSI's "Manual of Standard Practice" for fabricating, placing, and supporting reinforcement.
- B. Clean reinforcement of loose rust and mill scale, earth, ice, or other bond-reducing materials.
- C. Arrange, space, and securely tie bars and bar supports to hold reinforcement in position during concrete placement. Maintain minimum cover to reinforcement.
- D. Install welded wire reinforcement in lengths as long as practicable. Lap adjoining pieces at least one full mesh, and lace splices with wire. Offset laps of adjoining widths to prevent continuous laps in either direction.
- E. Zinc-Coated Reinforcement: Use galvanized-steel wire ties to fasten zinc-coated reinforcement. Repair cut and damaged zinc coatings with zinc repair material.
- F. Epoxy-Coated Reinforcement: Use epoxy-coated steel wire ties to fasten epoxy-coated reinforcement. Repair cut and damaged epoxy coatings with epoxy repair coating according to ASTM D 3963/D 3963M.
- G. Install fabricated bar mats in lengths as long as practicable. Handle units to keep them flat and free of distortions. Straighten bends, kinks, and other irregularities, or replace units as required before placement. Set mats for a minimum 2-inch overlap of adjacent mats.

3.5 JOINTS

- A. General: Form construction, isolation, and contraction joints and tool edges true to line, with faces perpendicular to surface plane of concrete. Construct transverse joints at right angles to centerline unless otherwise indicated.
 - 1. When joining existing paving, place transverse joints to align with previously placed joints unless otherwise indicated.
- B. Construction Joints: Set construction joints at side and end terminations of paving and at locations where paving operations are stopped for more than one-half hour unless paving terminates at isolation joints.
 - 1. Continue steel reinforcement across construction joints unless otherwise indicated. Do not continue reinforcement through sides of paving strips unless otherwise indicated.

- 3. Butt Joints: Use bonding agent at joint locations where fresh concrete is placed against hardened or partially hardened concrete surfaces.
- 4. Keyed Joints: Provide preformed keyway-section forms or bulkhead forms with keys unless otherwise indicated. Embed keys at least 1-1/2 inches into concrete.
- 5. Doweled Joints: Install dowel bars and support assemblies at joints where indicated. Lubricate or coat with asphalt one-half of dowel length to prevent concrete bonding to one side of joint.
- C. Isolation Joints: Form isolation joints of preformed joint-filler strips abutting concrete curbs, catch basins, manholes, inlets, structures, other fixed objects, and where indicated.
 - 1. Locate expansion joints at intervals of 50 feet unless otherwise indicated.
 - 2. Extend joint fillers full width and depth of joint.
 - 3. Terminate joint filler not less than 1/2 inch or more than 1 inch below finished surface if joint sealant is indicated.
 - 4. Place top of joint filler flush with finished concrete surface if joint sealant is not indicated.
 - 5. Furnish joint fillers in one-piece lengths. Where more than one length is required, lace or clip joint-filler sections together.
 - 6. During concrete placement, protect top edge of joint filler with metal, plastic, or other temporary preformed cap. Remove protective cap after concrete has been placed on both sides of joint.
- D. Contraction Joints: Form weakened-plane contraction joints, sectioning concrete into areas as indicated. Construct contraction joints for a depth equal to at least one-fourth of the concrete thickness, as follows:
 - 1. Grooved Joints: Form contraction joints after initial floating by grooving and finishing each edge of joint with grooving tool to a 1/4-inch radius. Repeat grooving of contraction joints after applying surface finishes.
 - 2. Sawed Joints: Form contraction joints with power saws equipped with shatterproof abrasive or diamond-rimmed blades. Cut 1/8-inch-wide joints into concrete when cutting action will not tear, abrade, or otherwise damage surface and before developing random contraction cracks.
 - 3. Doweled contraction Joints: Install dowel bars and support assemblies at joints where indicated. Lubricate or coat with asphalt one-half of dowel length to prevent concrete bonding to one side of joint.
- E. Edging: After initial floating, tool edges of paving, gutters, curbs, and joints in concrete with an edging tool to a 1/4-inch radius. Repeat tooling of edges after applying surface finishes.

3.6 CONCRETE PLACEMENT

- A. Before placing concrete, inspect and complete formwork installation, and items to be embedded or castin.
- B. Remove snow, ice, or frost from subbase surface before placing concrete. Do not place concrete on frozen surfaces.
- C. Moisten subbase to provide a uniform dampened condition at time concrete is placed. Do not place concrete around manholes or other structures until they are at required finish elevation and alignment.
- D. Comply with ACI 301 requirements for measuring, mixing, transporting, and placing concrete.
- E. Do not add water to concrete during delivery or at Project site. Do not add water to fresh concrete after testing.

- F. Deposit and spread concrete in a continuous operation between transverse joints. Do not push or drag concrete into place or use vibrators to move concrete into place.
- G. Consolidate concrete according to ACI 301 by mechanical vibrating equipment supplemented by hand spading, rodding, or tamping.
- H. Screed paving surface with a straightedge and strike off.
- I. Cold-Weather Placement: Protect concrete work from physical damage or reduced strength that could be caused by frost, freezing, or low temperatures. Comply with ACI 306.1 and the following:
 - 1. When air temperature has fallen to or is expected to fall below 40 deg F, uniformly heat water and aggregates before mixing to obtain a concrete mixture temperature of not less than 50 deg F and not more than 80 deg F at point of placement.
 - 2. Do not use frozen materials or materials containing ice or snow.
 - 3. Do not use calcium chloride, salt, or other materials containing antifreeze agents or chemical accelerators unless otherwise specified and approved in design mixtures.
- J. Hot-Weather Placement: Comply with ACI 301 and as follows when hot-weather conditions exist:
 - 1. Cool ingredients before mixing to maintain concrete temperature below 90 deg F at time of placement. Chilled mixing water or chopped ice may be used to control temperature, provided water equivalent of ice is calculated in total amount of mixing water. Using liquid nitrogen to cool concrete is Subcontractor's option.
 - 2. Cover steel reinforcement with water-soaked burlap so steel temperature will not exceed ambient air temperature immediately before embedding in concrete.
 - 3. Fog-spray forms, steel reinforcement, and subgrade just before placing concrete. Keep subgrade moisture uniform without standing water, soft spots, or dry areas.

3.7 FLOAT FINISHING

- A. General: Do not add water to concrete surfaces during finishing operations.
- B. Float Finish: Begin the second floating operation when bleed-water sheen has disappeared and concrete surface has stiffened sufficiently to permit operations. Float surface with power-driven floats or by hand floating if area is small or inaccessible to power units. Finish surfaces to true planes. Cut down high spots and fill low spots. Refloat surface immediately to uniform granular texture.

3.8 CONCRETE PROTECTION AND CURING

- A. General: Protect freshly placed concrete from premature drying and excessive cold or hot temperatures.
- B. Comply with ACI 306.1 for cold-weather protection.
- C. Evaporation Retarder: Apply evaporation retarder to concrete surfaces if hot, dry, or windy conditions cause moisture loss approaching 0.2 lb/sq. ft. x h before and during finishing operations. Apply according to manufacturer's written instructions after placing, screening, and bull floating or darbying concrete but before float finishing.
- D. Begin curing after finishing concrete but not before free water has disappeared from concrete surface.
- E. Curing Methods: Cure concrete by a combination of these as follows:
 - 1. Moisture Curing: Keep surfaces continuously moist for not less than seven days with the following materials:
 - a. Water.
 - b. Continuous water-fog spray.

- c. Absorptive cover, water saturated and kept continuously wet. Cover concrete surfaces and edges with 12-inch lap over adjacent absorptive covers.
- 2. Moisture-Retaining-Cover Curing: Cover concrete surfaces with moisture-retaining cover, placed in widest practicable width, with sides and ends lapped at least 12 inches and sealed by waterproof tape or adhesive. Immediately repair any holes or tears occurring during installation or curing period using cover material and waterproof tape.
- 3. Curing Compound: Apply uniformly in continuous operation by power spray or roller according to manufacturer's written instructions. Recoat areas that have been subjected to heavy rainfall within three hours after initial application. Maintain continuity of coating, and repair damage during curing period.

3.9 PAVING TOLERANCES

- A. Comply with tolerances in ACI 117 and as follows:
 - 1. Elevation: 1/4 inch.
 - 2. Thickness: Plus 3/8 inch, minus 1/4 inch.
 - 3. Surface: Gap below 10-foot long, unleveled straightedge not to exceed 1/2 inch.
 - 4. Alignment of Tie-Bar End Relative to Line Perpendicular to Paving Edge: 1/2 inch per 12 inches of tie bar.
 - 5. Lateral Alignment and Spacing of Dowels: 1 inch.
 - 6. Vertical Alignment of Dowels: 1/4 inch.
 - 7. Alignment of Dowel-Bar End Relative to Line Perpendicular to Paving Edge: 1/4 inch per 12 inches of dowel.
 - 8. Joint Spacing: 3 inches.
 - 9. Contraction Joint Depth: Plus 1/4 inch, no minus.
 - 10. Joint Width: Plus 1/8 inch, no minus.

3.10 FIELD QUALITY CONTROL

- A. Testing Agency: SCHOOL DISTRICT will engage a qualified testing agency to perform tests and inspections.
- B. Test results shall be reported in writing to SCHOOL DISTRICT, concrete manufacturer, and Subcontractor within 48 hours of testing. Reports of compressive-strength tests shall contain Project identification name and number, date of concrete placement, name of concrete testing and inspecting agency, location of concrete batch in Work, design compressive strength at 28 days, concrete mixture proportions and materials, compressive breaking strength, and type of break for both 7- and 28-day tests.
- C. Nondestructive Testing: Impact hammer, sonoscope, or other nondestructive device may be permitted by SCHOOL DISTRICT but will not be used as sole basis for approval or rejection of concrete.
- D. Additional Tests: Testing and inspecting agency shall make additional tests of concrete when test results indicate that slump, air entrainment, compressive strengths, or other requirements have not been met, as directed by SCHOOL DISTRICT.
- E. Concrete paving will be considered defective if it does not pass tests and inspections.
- F. Additional testing and inspecting, at Subcontractor's expense, will be performed to determine compliance of replaced or additional work with specified requirements.
- G. Prepare test and inspection reports.

3.11 REPAIRS AND PROTECTION

- A. Remove and replace concrete paving that is broken, damaged, or defective or that does not comply with requirements in this Section. Remove work in complete sections from joint to joint unless otherwise approved by SCHOOL DISTRICT.
- B. Drill test cores, where directed by SCHOOL DISTRICT, when necessary to determine magnitude of cracks or defective areas. Fill drilled core holes in satisfactory paving areas with portland cement concrete bonded to paving with epoxy adhesive.
- C. Protect concrete paving from damage. Exclude traffic from paving for at least 14 days after placement. When construction traffic is permitted, maintain paving as clean as possible by removing surface stains and spillage of materials as they occur.
- D. Maintain concrete paving free of stains, discoloration, dirt, and other foreign material. Sweep paving not more than two days before date scheduled for Substantial Completion inspections.

END OF SECTION 321313